

## = Linear Vector Space (LVS) =

Def: objects in  $\mathbb{V}$  form a LVS if they satisfy

1) Closure:  $|A\rangle + |B\rangle \in \mathbb{V}$

2) Scalar multiplication is distributive in vectors:

$$a(|A\rangle + |B\rangle) = a|A\rangle + a|B\rangle$$

3) Scalar multiplication is distributive in scalars:

$$(a+b)|A\rangle = a|A\rangle + b|A\rangle \quad \left( \cancel{b|A\rangle} \right) = ab|A\rangle$$

4) Scalar multiplication is associative:

$$a(b|A\rangle) = ab|A\rangle$$

5) Addition is commutative:

$$|A\rangle + |B\rangle = |B\rangle + |A\rangle$$

6) Addition is associative:

$$|A\rangle + (|B\rangle + |C\rangle) = (|A\rangle + |B\rangle) + |C\rangle = \dots$$

7) Null vector exists, s.t.:

$$|A\rangle + |0\rangle = |A\rangle \quad (\text{"10"} \text{ or } \emptyset)$$

8) Addition-inverse exists, s.t.:

$$|A\rangle + |-A\rangle = |0\rangle$$

Def: The field of the vector space is defined by the scalars  $a, b, c, \dots$

$a, b, c, \dots \in \mathbb{R} \rightarrow$  real vector space (RVS)

$a, b, c, \dots \in \mathbb{C} \rightarrow$  complex vector space (CVS)

## Linear Independence of a Vector Set :

Consider the relation

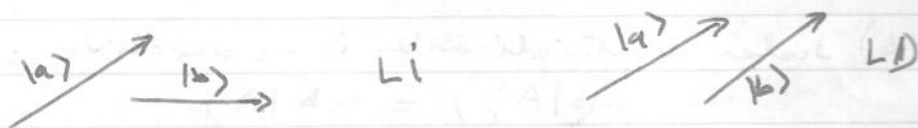
$$\sum_{i=1}^n a_i |i\rangle = \vec{0} \quad (1) \quad \rightarrow \text{null vector, or "0"}$$

a)  $\{|i\rangle\}_{i=1}^n$  is a linearly independent (LI) set iff

(1) is satisfied only for  $a_i = 0, i=1, \dots, n$

b) otherwise  $\{|i\rangle\}_{i=1}^n$  is linearly dependent (LD)

Example:



$$|1\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$|2\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$|3\rangle = \begin{pmatrix} -2 \\ 0 \\ -2 \end{pmatrix} = - (2|2\rangle - |1\rangle) \Rightarrow \{|i\rangle\}_{i=1,2,3} \text{ is LD}$$

$$|1\rangle = (110)$$

$$|2\rangle = (101)$$

$$|3\rangle = (321)$$

$$\left. \begin{array}{l} |1\rangle = (110) \\ |2\rangle = (101) \\ |3\rangle = (321) \end{array} \right\} \text{LD} \quad (321) = 2(110) + (101)$$

$$|3\rangle = 2|1\rangle + |2\rangle$$

$$|1\rangle = (110)$$

$$|2\rangle = (101)$$

$$|3\rangle = (011)$$

$\left. \begin{array}{l} |1\rangle = (110) \\ |2\rangle = (101) \\ |3\rangle = (011) \end{array} \right\} \text{LI}$

Dimension

A vector space has dimension "n" if it accommodates a maximum of n linearly independent vectors

$$\mathbb{V}^n(\mathbb{R}) \rightarrow \text{for real field}$$

$$\mathbb{V}^n(\mathbb{C}) \rightarrow \text{for complex field}$$

$$n=3 \quad 2 \times 2 \rightarrow n=4 \quad \text{LI vectors}$$

Example

2x2:  $|1\rangle = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$

$|2\rangle = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$

$|3\rangle = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$

$|4\rangle = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$

$\Rightarrow$  Li, complete:

$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = a|1\rangle + b|2\rangle + c|3\rangle + d|4\rangle$

$a, b, c, d \in \mathbb{R} \rightarrow$  real 4-D space

$\in \mathbb{C} \rightarrow$  complex 4-D space

Theorem Any vector  $|v\rangle \in \mathbb{V}^n$  can be written as a LC of  $n$  Li vectors  $\{|i\rangle\}_{i=1}^n \in \mathbb{V}^n$

$|v\rangle = \sum_{i=1}^n a_i |i\rangle$

BASIS

Definition A set of  $n$  Li vectors in an  $n$ -D vector space  $\mathbb{V}^n$  is called a basis.

$a_i$  - components of  $|v\rangle$  in the  $\{|i\rangle\}_{i=1}^n$  basis

\* The expansion is unique.

Adding vectors = adding components:

$|v\rangle = \sum_i a_i |i\rangle \in \mathbb{V}^n$

$|w\rangle = \sum_i b_i |i\rangle \in \mathbb{V}^n$   
 $\Rightarrow |v\rangle + |w\rangle = \sum_i (a_i + b_i) |i\rangle \in \mathbb{V}^n$

Multiplying by scalars:

$|v\rangle = \sum_i a_i |i\rangle \in \mathbb{V}^n$

$b|v\rangle = b \sum_i a_i |i\rangle = \sum_i (b a_i) |i\rangle \in \mathbb{V}^n$

OPERATIONS

## Inner Product of Vectors

→ generalization of the 2D, 3D dot product.

\* Axioms of IP:

A1) Skew-symmetry:  $\langle A|B \rangle = \langle B|A \rangle^*$

A2) Positive definiteness:  $\langle A|A \rangle \geq 0$ ; 0 iff  $|A\rangle = 0$

A3) Linearity:  $\langle V|(a|A\rangle + b|B\rangle) = a\langle V|A\rangle + b\langle V|B\rangle$   
 $(= \langle V|aA + bB \rangle)$

~~$\langle aA + bB|V \rangle = \langle V|aA + bB \rangle^*$~~

$$= \left[ \langle V|(a|A\rangle + b|B\rangle) \right]^*$$

$$= a^* \langle V|A \rangle^* + b^* \langle V|B \rangle^*$$

$$= a^* \langle A|V \rangle + b^* \langle B|V \rangle$$

⇒ IP is antilinear on the first factor

orthogonal

$|A\rangle$  &  $|B\rangle$  are orthogonal if  $\langle A|B \rangle (= \langle B|A \rangle) = 0$

Norm

$|A| = \sqrt{\langle A|A \rangle}$  is the norm of  $|A\rangle$ . If  $|A| = 1$ ,  $|A\rangle$  is "normalized to unity"

orthogonal basis

A set of unit-norm orthogonal <sup>basis</sup> vectors ( $|L_i\rangle$ ) is called an orthogonal basis.

$\langle 1 \rangle$  is an orthonormal basis

if  $|A\rangle = \sum_i a_i |L_i\rangle$ ,  $|B\rangle = \sum_i b_i |L_i\rangle$ , then

$$\langle A|B \rangle = \sum_i \sum_j a_i^* b_j \langle L_i|L_j \rangle ; \langle L_i|L_j \rangle = \delta_{ij} = \begin{cases} 1, & i=j \\ 0, & i \neq j \end{cases}$$

$$\Rightarrow \left| \langle A|B \rangle = \sum_i a_i^* b_i \right| ; \left| \langle A|A \rangle = \sum_i |a_i|^2 \right| \geq 0$$

Matrix  
representation

$$|A\rangle = \sum_{i=1}^n a_i |i\rangle \equiv \begin{bmatrix} a_1 \\ a_2 \\ a_3 \\ \vdots \\ a_n \end{bmatrix} \text{ in the } \{|i\rangle\}_{i=1, \dots, n}$$

$$\langle A| = \sum_{i=1}^n a_i^* \langle i| \equiv (a_1^* \ a_2^* \ a_3^* \ \dots \ a_n^*)$$

$$\langle A|B\rangle = (a_1^* \ \dots \ a_n^*) \begin{pmatrix} b_1 \\ \vdots \\ b_n \end{pmatrix} = \sum_i a_i^* b_i$$

### Dirac Notation and Dual Spaces

$$| \rangle \equiv \begin{pmatrix} \vdots \end{pmatrix} \text{ ket} \quad \leftarrow \text{dual spaces}$$

$$\langle | \equiv (\dots) \text{ bra} \quad \leftarrow$$

$$|A\rangle \equiv \begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix}; \quad \langle A| \equiv (a_1^* \ \dots \ a_n^*)$$

$\hookrightarrow$  adjoint (or transpose conjugate)

\* Expansion in an orthonormal basis:

$$|A\rangle = \sum_i a_i |i\rangle; \quad \langle j|A\rangle = \sum_i a_i \langle j|i\rangle = \sum_i a_i \delta_{ij} = a_j \leftarrow \text{j-th component of } |A\rangle$$

$$\Rightarrow |A\rangle = \sum_i a_i |i\rangle = \sum_i |i\rangle \langle i|A\rangle$$

Example:

$$|A\rangle = \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_n \end{pmatrix} = a_1 \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} + a_2 \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{pmatrix} + \dots + a_n \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{pmatrix}$$

Adjoint operation:  $a|V\rangle \leftrightarrow a^* \langle V|$   
 $a \begin{pmatrix} v_1 \\ \vdots \\ v_n \end{pmatrix} \leftrightarrow a^* (v_1^* \dots v_n^*)$  } transpose + conjugate

$|A\rangle = \sum_{i=1}^n a_i |i\rangle \leftrightarrow \langle A| = \sum_{i=1}^n \langle i| a_i^*$

$a_i = \langle i|A\rangle \leftrightarrow a_i^* = \langle A|i\rangle$

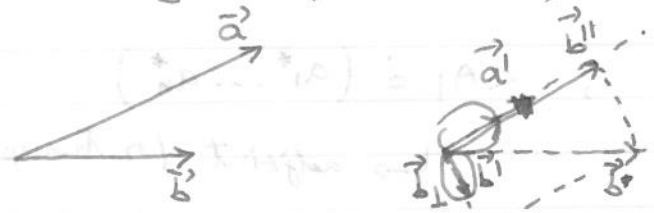
$|A\rangle = \sum_i |i\rangle \langle i|A\rangle \leftrightarrow \langle A| = \sum_i \langle A|i\rangle \langle i|$

The Gram-Schmidt orthogonalization Theorem

2D Example:

Transform a two-vector unorthogonal basis into an orthogonal basis:

$\{\vec{a}, \vec{b}\} \rightarrow \{\vec{a}', \vec{b}' \perp \vec{a}'\}$



- 1)  $\vec{a}' = \frac{\vec{a}}{|\vec{a}|}$  unit vector
- 2)  $\vec{b}'_{\perp} = \vec{b} - \vec{b}_{\parallel} = \vec{b} - \vec{a}' |\vec{b}_{\parallel}|$
- 3)  $\vec{b}' = \frac{\vec{b}'_{\perp}}{|\vec{b}'_{\perp}|}$  unit vector

$\Rightarrow \{\vec{a}, \vec{b}\} \rightarrow \left\{ \frac{\vec{a}}{|\vec{a}|}, \frac{\vec{b} - \vec{b}_{\parallel}}{|\vec{b} - \vec{b}_{\parallel}|} \right\}$

General vector space

Start with Li basis  $\{|a\rangle, |b\rangle, |c\rangle, \dots\}$ , not-orthonormal.

$|\alpha\rangle = \frac{|a\rangle}{|a|} = \frac{|a\rangle}{\sqrt{\langle a|a\rangle}}$

$|\beta\rangle = \frac{|b\rangle - |\alpha\rangle \langle \alpha|b\rangle}{\sqrt{(\langle b| - \langle \alpha| \langle b| \alpha\rangle)(|b\rangle - |\alpha\rangle \langle \alpha|b\rangle)}} \quad (|\beta\rangle \perp |\alpha\rangle)$

$|\gamma\rangle = \frac{|c\rangle - |\alpha\rangle \langle \alpha|c\rangle - |\beta\rangle \langle \beta|c\rangle}{\sqrt{(\langle c| - \langle \alpha| \langle c| \alpha\rangle - \langle \beta| \langle c| \beta\rangle)(|c\rangle - |\alpha\rangle \langle \alpha|c\rangle - |\beta\rangle \langle \beta|c\rangle)}} \quad (|\gamma\rangle \perp |\alpha\rangle \perp |\beta\rangle)$

Gram-Schmidt

Try at home :

$$|a\rangle = \begin{pmatrix} 3 \\ 0 \\ 0 \end{pmatrix}, |b\rangle = \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}, |c\rangle = \begin{pmatrix} 0 \\ 2 \\ 5 \end{pmatrix} \quad \text{Li not-orthogonal basis}$$

go to the orthonormal basis

$$|\alpha\rangle = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, |\beta\rangle = \begin{pmatrix} 0 \\ 1/\sqrt{5} \\ 2/\sqrt{5} \end{pmatrix}, |\gamma\rangle = \begin{pmatrix} 0 \\ -2/\sqrt{5} \\ 1/\sqrt{5} \end{pmatrix}$$

Dimensionality of a space ( $n$ ) is given by the maximum number of mutually orthogonal vectors.

Important Vector Inequalities :

\* Schwarz :

$$|\langle A|B\rangle| \leq |A| \cdot |B|$$

\* Triangle :

$$|A+B| \leq |A| + |B|$$

Subspaces

Def. | A subspace is a subset of the elements of a given vector space  $V$ , which forms a space in itself. Call subspace "i" of dimensionality  $n_i$ ,  $V_i^{n_i}$

Def II For two subspaces  $V_i^{n_i}$  and  $V_j^{n_j}$  (of  $V$ ), their sum is

$$V_i^{n_i} \oplus V_j^{n_j} = V_k^{n_k} \text{ as the set containing}$$

1) all elements of  $V_i^{n_i}$

2) all elements of  $V_j^{n_j}$

3) all linear combinations of 1 & 2 (for closure)

## Linear Operators

→ Transformations on vectors:  $\hat{\Omega}|V\rangle = |V'\rangle$

(→ consider ops that keep vectors within a given LVS)

$$\langle V|\hat{\Omega} = \langle V'| \quad \text{in the bra-space}$$

\* Linear operators:

$$\hat{\Omega}(\alpha|A\rangle) = \alpha \hat{\Omega}|A\rangle$$

$$\hat{\Omega}(\alpha|A\rangle + \beta|B\rangle) = \alpha \hat{\Omega}|A\rangle + \beta \hat{\Omega}|B\rangle$$

and similar in dual (bra) space ...

### Examples

1) Identity op:

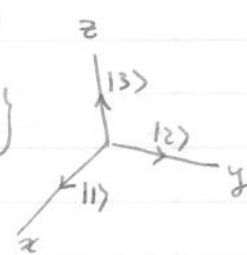
$$\hat{I}|A\rangle = |A\rangle, \quad \langle A|\hat{I} = \langle A|$$

2) Spectral Rotation by  $\pi/2$  rad about axis  $\hat{z}$ :

$$\hat{R}(\pi/2 \hat{z})|1\rangle = |2\rangle \quad \text{for } \{|1\rangle, |2\rangle, |3\rangle\}$$

$$\hat{R}(\pi/2 \hat{z})|2\rangle = -|1\rangle = e^{i\pi}|1\rangle \quad \text{figure} \rightarrow$$

$$\hat{R}(\pi/2 \hat{z})|3\rangle = |3\rangle$$



↳ check linearity of  $\hat{R}(\pi/2 \hat{z})$

\* In a basis  $\{|i\rangle\}_{i=1}^n$ :  $|A\rangle = \sum_{i=1}^n a_i |i\rangle$

$$\hat{\Omega}|A\rangle = \hat{\Omega} \sum_i a_i |i\rangle$$

$$= \sum_i a_i \hat{\Omega}|i\rangle = \sum_i a_i |i'\rangle$$

if  $\hat{\Omega}|i\rangle = |i'\rangle$  is known



$$\hat{\Omega} = \sum_{ij} \langle i | \hat{\Omega} | j \rangle |i\rangle \langle j| = \sum_{ij} \langle i | \hat{\Omega} | j \rangle |i\rangle \langle j|$$

↳ expression of an operator in an orthonormal basis

Do m.p.10 for MR of ops

\* Product of operators:

$$\hat{\Lambda} \hat{\Omega} |A\rangle = \hat{\Lambda} (\hat{\Omega} |A\rangle) = \hat{\Lambda} |\Omega A\rangle$$

Just a "label" describing the effect of  $\hat{\Omega}$  on  $|A\rangle$

\* Attention: in general

$$\hat{\Lambda} \hat{\Omega} - \hat{\Omega} \hat{\Lambda} = [\hat{\Lambda}, \hat{\Omega}] \neq 0$$

$$[\hat{\Lambda}, \hat{\Omega}] = \text{commutator of } \hat{\Lambda}, \hat{\Omega}$$

\* Inverse operators:

$$\hat{\Omega}^{-1} \hat{\Omega} = \hat{\Omega} \hat{\Omega}^{-1} = \hat{1}$$

$$(\hat{\Lambda} \hat{\Omega})^{-1} = \hat{\Omega}^{-1} \hat{\Lambda}^{-1} \quad \triangle!$$

↳ show, by action on a vector (in a basis) or via  $\hat{1}$ .

$$\begin{aligned} (\hat{\Lambda} \hat{\Omega})^{-1} (\hat{\Lambda} \hat{\Omega}) &\stackrel{!}{=} \hat{1} = (\hat{\Lambda} \hat{\Omega}) (\hat{\Lambda} \hat{\Omega})^{-1} = (\hat{\Lambda} \hat{\Omega}) (\hat{\Omega}^{-1} \hat{\Lambda}^{-1}) \\ &= \hat{\Lambda} (\hat{\Lambda}^{-1} \hat{\Omega} \hat{\Omega}^{-1}) \hat{\Lambda}^{-1} = \hat{\Lambda} \hat{1} \hat{\Lambda}^{-1} \\ &= \hat{\Lambda} \hat{\Lambda}^{-1} = \hat{1} \end{aligned}$$

$$(\hat{\Lambda} \hat{\Omega})^{-1} |A\rangle = (\hat{\Lambda} \hat{\Omega})^{-1} \sum_i a_i |i\rangle = \sum_i a_i (\hat{\Lambda} \hat{\Omega})^{-1} |i\rangle$$

$$(\hat{\Lambda} \hat{\Omega}) (\hat{\Lambda} \hat{\Omega})^{-1} |A\rangle \stackrel{!}{=} \sum_i a_i (\hat{\Lambda} \hat{\Omega}) (\hat{\Lambda} \hat{\Omega})^{-1} |i\rangle \stackrel{?}{=} \sum_i a_i \hat{1} |i\rangle = |A\rangle$$

$$\langle A | (\hat{\Lambda} \hat{\Omega}) (\hat{\Lambda} \hat{\Omega})^{-1} |A\rangle \stackrel{!}{=} \sum_j \sum_i a_j^* a_i \langle j | \hat{\Lambda} \hat{\Omega} (\hat{\Lambda} \hat{\Omega})^{-1} |i\rangle$$

$$\stackrel{?}{=} \langle A | A \rangle = \sum_i |a_i|^2 = \sum_j \sum_i a_j^* a_i \langle j | \hat{1} |i\rangle \stackrel{!}{=} \sum_j \sum_i a_j^* a_i \langle j | \hat{\Lambda} \hat{\Omega} \hat{\Omega}^{-1} \hat{\Lambda}^{-1} |i\rangle$$

$\Rightarrow (\hat{\Lambda} \hat{\Omega})^{-1} = \hat{\Omega}^{-1} \hat{\Lambda}^{-1}$  p.e.d.

# Matrix Representations of an Operator

$$|A\rangle = \sum_{i=1}^n a_i |i\rangle \equiv (a_1, \dots, a_n) \text{ in } \{|i\rangle\}_{i=1}^n \text{ basis}$$

What are the matrix elements of an operator  $\hat{\Omega}$  in the same basis?

$$\hat{\Omega}|A\rangle = \sum_{i=1}^n a_i \hat{\Omega}|i\rangle = \sum_{i=1}^n a_i |i'\rangle$$

$|i'\rangle$  basis change by  $\hat{\Omega}$ . How does the state change? vector  $|A\rangle$ 's representation

$$\langle j | \hat{\Omega} | A \rangle = \langle j | \sum_{i=1}^n a_i \hat{\Omega} | i \rangle = \sum_{i=1}^n a_i \langle j | \hat{\Omega} | i \rangle$$

$$\langle j | A' \rangle \equiv a'_j \quad \left( = \sum_{i=1}^n a_i \langle j | i' \rangle \right)$$

$$\equiv \sum_{i=1}^n a_i \Omega_{ji}$$

$j$ th component of transformed ket  $|A'\rangle$

where  $\Omega_{ji} \equiv \langle j | \hat{\Omega} | i \rangle$  is the  $ji$ th matrix element of  $\hat{\Omega}$  in the basis  $\{|i\rangle\}_{i=1}^n$

So  $a'_j = \sum_{i=1}^n a_i \Omega_{ji}$  or in MR:

$$\begin{pmatrix} a'_1 \\ a'_2 \\ \vdots \\ a'_n \end{pmatrix} = \begin{pmatrix} \Omega_{11} & \Omega_{12} & \dots & \Omega_{1n} \\ \Omega_{21} & \Omega_{22} & \dots & \Omega_{2n} \\ \dots & \dots & \dots & \dots \\ \Omega_{n1} & \Omega_{n2} & \dots & \Omega_{nn} \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_n \end{pmatrix}$$

new vector components (in original basis  $\{|i\rangle\}$ )

matrix elements of operator  $\hat{\Omega}$  that changes the basis from  $|i\rangle$  to  $|i'\rangle = \hat{\Omega}|i\rangle$

old vector components (in original basis  $\{|i\rangle\}$ )

$$|A'\rangle = \hat{\Omega} |A\rangle$$

$$\hat{\Omega} = \sum_{ij} |i\rangle \Omega_{ij} \langle j| = \sum_{ij} \Omega_{ij} |i\rangle \langle j|$$

### Projection Operators

$$|A\rangle = \sum_{i=1}^n a_i |i\rangle = \sum_{i=1}^n |i\rangle \langle i| A\rangle \equiv \left( \sum_{i=1}^n \hat{P}_i \right) |A\rangle$$

$\hat{P}_i \equiv |i\rangle \langle i|$  - projection operator that "projects" vector  $|A\rangle$  onto basis vector  $|i\rangle$

$$\Delta \quad \left\| \hat{1} = \sum_{i=1}^n |i\rangle \langle i| = \sum_{i=1}^n \hat{P}_i \quad - \text{Completeness relation} \right\|$$

$$\hat{P}_i |A\rangle = |i\rangle \langle i| A\rangle = a_i |i\rangle$$

$$\langle A | \hat{P}_i = \langle A | i\rangle \langle i| = a_i^* \langle i|$$

$$\hat{P}_i \hat{P}_j = |i\rangle \langle i| j\rangle \langle j| = |i\rangle \delta_{ij} \langle j| \quad \text{for orthonormal basis } \{|i\rangle\}_{i=1, \dots, n}$$

$$= \delta_{ij} \hat{P}_{ij} \quad (\text{check it!})$$

MR of  $\hat{P}_i$ :

- "kl" matrix element of  $\hat{P}_i$ :  $(\hat{P}_i)_{kl} = \langle k | i\rangle \langle i | l\rangle = \delta_{ki} \delta_{li} = \delta_{ik} \delta_{il} = \delta_{ik} \delta_{il}$

Example:

Let  $|i\rangle = (0 \ 0 \ 0 \ \dots \ 1 \ \dots \ 0) \Rightarrow \langle i| = \begin{pmatrix} 0 \\ 0 \\ 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{pmatrix}$

$\Rightarrow \hat{P}_i = |i\rangle \langle i| = (0 \ \dots \ 1 \ \dots \ 0) \begin{pmatrix} 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{pmatrix}$

Let  $|i\rangle = \begin{pmatrix} 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{pmatrix}$

$\langle i| = (0 \ \dots \ 1 \ \dots \ 0)$

$\Rightarrow \hat{P}_i = |i\rangle \langle i| = \begin{pmatrix} 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{pmatrix} (0 \ \dots \ 1 \ \dots \ 0)$

$\hat{P}_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} (0 \ 1 \ 0) = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$

$|2 \times 2|$

$= \begin{pmatrix} 0 & \dots & 0 \\ \vdots & 1 & \vdots \\ 0 & \dots & 0 \end{pmatrix}$

MR of Operator Products

$$(\hat{\Omega} \hat{\Lambda})_{ij} = \langle i | \hat{\Omega} \hat{\Lambda} | j \rangle = \langle i | \hat{\Omega} \hat{1} \hat{\Lambda} | j \rangle$$

$$= \langle i | \hat{\Omega} \sum_k |k\rangle \langle k| \hat{\Lambda} | j \rangle =$$

$$= \sum_k \langle i | \hat{\Omega} | k \rangle \langle k | \hat{\Lambda} | j \rangle = \sum_k \Omega_{ik} \Lambda_{kj}$$

$\uparrow$   
n x n matrix multiplication rule

Adjoint of an Operator

Remember:  $\alpha |A\rangle \leftrightarrow \langle A | \alpha^*$ ,  $\alpha$  - scalar

Now with op:  $\hat{\Omega} |A\rangle \leftrightarrow \langle A | \hat{\Omega}^\dagger$ ,  $\hat{\Omega}^\dagger$  is the adjoint of  $\hat{\Omega}$

MR of  $\hat{\Omega}^\dagger$  in  $\{|i\rangle\}$  basis:

$$(\hat{\Omega}^\dagger)_{ij} = \langle i | \hat{\Omega}^\dagger | j \rangle = (\langle j | \hat{\Omega} | i \rangle)^* = \Omega_{ji}^*$$

$\uparrow$   
matrix of  $\hat{\Omega}^\dagger$

$\uparrow$   
transpose & conjugate of matrix for  $\hat{\Omega}$

Adjoint of operator product  $\hat{\Lambda} \hat{\Omega}$

$$\begin{aligned} \hat{\Lambda} \hat{\Omega} |A\rangle &= \hat{\Lambda} (\hat{\Omega} |A\rangle) \\ \langle A | (\hat{\Lambda} \hat{\Omega})^\dagger &= (\hat{\Lambda} \hat{\Omega} |A\rangle)^* = (\hat{\Lambda} (\hat{\Omega} |A\rangle))^* \\ &= (\langle A | \hat{\Omega}^\dagger) \hat{\Lambda}^\dagger = \langle A | (\hat{\Omega}^\dagger \hat{\Lambda}^\dagger) \end{aligned}$$

$$\Rightarrow (\hat{\Lambda} \hat{\Omega})^\dagger = \hat{\Omega}^\dagger \hat{\Lambda}^\dagger$$

## Hermitian, Anti-Hermitian and Unitary Operators

Def 1

(Anti-) Hermitian

$$\text{Hermitian} : \hat{\Omega}^\dagger = \hat{\Omega}$$

$$\text{Anti-hermitian} : \hat{\Omega}^\dagger = -\hat{\Omega}$$

Usefulness: Any operator can be decomposed in a Hermitian part and an anti-Hermitian part:

$$\hat{\Omega} = \underbrace{\frac{\hat{\Omega} + \hat{\Omega}^\dagger}{2}}_H + \underbrace{\frac{\hat{\Omega} - \hat{\Omega}^\dagger}{2}}_{a-H.}$$

(Analogy with complex numbers:

$$a = \underbrace{\frac{a + a^*}{2}}_{\text{purely real}} + \underbrace{\frac{a - a^*}{2}}_{\text{purely imaginary}}$$

Def 1

Unitary

$$\text{Unitary operator} : \hat{U}^\dagger \hat{U} = \hat{U} \hat{U}^\dagger = \mathbb{1}$$

i.e.  $\hat{U}^{-1} = \hat{U}^\dagger$

(Analogy with complex numbers:

$$\text{for } a = e^{i\varphi}, \quad a a^* = a^* a = 1)$$

Properties:

1) if  $\hat{A}$  and  $\hat{B}$  are unitary, so is  $\hat{A}\hat{B}$  or  $\hat{B}\hat{A}$

2) unitary operators preserve the inner product between vectors on which they act (and their lengths)

$$\begin{aligned} |A'\rangle &= \hat{U}|A\rangle \\ |B'\rangle &= \hat{U}|B\rangle \end{aligned}$$

$$\langle A'|B'\rangle = \langle A|\hat{U}^\dagger \hat{U}|B\rangle = \langle A|B\rangle$$

→ more important than it seems  $\triangle$

Note Unitary operators represent generalized rotations in  $n$ -dimensional space

(analogy with "rotations" in the complex plane  $a = e^{i\phi}$ )



in orthonormal basis  $\{|i\rangle\}_{i=1, \dots, n}$

$$\begin{aligned} \hat{U}^\dagger \hat{U} = \hat{1} &\Rightarrow \delta_{ij} = \langle i | j \rangle \\ &= \langle i | \hat{1} | j \rangle = \langle i | \hat{U}^\dagger \hat{U} | j \rangle \\ &= \langle i | \hat{U}^\dagger (\hat{1}) \hat{U} | j \rangle \\ &= \langle i | \hat{U}^\dagger \left( \sum_{k=1}^n |k\rangle \langle k| \right) \hat{U} | j \rangle \\ &= \sum_{k=1}^n \langle i | \hat{U}^\dagger | k \rangle \langle k | \hat{U} | j \rangle \end{aligned}$$

$$(\hat{U}^\dagger)_{ik} = U_{ki}^*$$

$$= \sum_{k=1}^n (U^\dagger)_{ik} U_{kj}$$

or

$$= \sum_{k=1}^n \langle k | \hat{U} | i \rangle^* \langle k | \hat{U} | j \rangle$$

$$= \sum_{k=1}^n U_{ki}^* U_{kj}$$

for columns of  $\begin{pmatrix} U_{11} & \dots & U_{1n} \\ \vdots & & \vdots \\ U_{n1} & \dots & U_{nn} \end{pmatrix}$

or, starting with

$$\hat{U} \hat{U}^\dagger = \hat{1} \Rightarrow \delta_{ij} = \dots = \sum_{k=1}^n U_{ik} U_{jk}^*$$

for rows of  $\begin{pmatrix} U_{11} & \dots & U_{1n} \\ \vdots & & \vdots \\ U_{n1} & \dots & U_{nn} \end{pmatrix}$

## Active and Passive Transformations in a LVS

1) For all vectors  $|V_i\rangle$ , do  $|V_i\rangle \rightarrow \hat{U}|V_i\rangle$  ← active transform

Then, for any operator  $\hat{\Omega}$  the matrix elements become:

$$\langle V_i | \hat{\Omega} | V_j \rangle \rightarrow \langle \hat{U}^\dagger V_i | \hat{\Omega} | \hat{U} V_j \rangle$$

$$\langle V_i | \hat{\Omega} | V_j \rangle \rightarrow \langle V_i | \hat{U}^\dagger \hat{\Omega} \hat{U} | V_j \rangle$$

(active transformation) ← vectors affected

2) Same effect if  $\hat{\Omega} \rightarrow \hat{U}^\dagger \hat{\Omega} \hat{U}$  (passive transf.)

← vectors unaffected

## Eigenvalue Problems

For any operator there exists a set of "proper" vectors such that

$$\hat{\Omega} |V\rangle = \omega |V\rangle$$

↑ eigenvalue ("e-value")  
↑ eigenvector ("e-vector") or eigenket

### Example

→ e-value problem for the identity operator  $\hat{1}$ :

$$\hat{1} |V\rangle (= |V\rangle)$$

↳ all kets are e-kets for  $\hat{1}$

↳ the only e-value is = 1

Solution to E-value Problems. The Characteristic Eqn

$$\hat{\Omega} |v\rangle = \omega |v\rangle \rightarrow (\hat{\Omega} - \hat{1}\omega) |v\rangle = |\phi\rangle$$

$$|v\rangle = (\hat{\Omega} - \hat{1}\omega)^{-1} |\phi\rangle$$

#  $|\phi\rangle \neq 0$  null vector  
 $\downarrow \triangleleft$   
 this is always  $= |\phi\rangle$

The inverse  $(\hat{\Omega} - \hat{1}\omega)^{-1}$  does not exist!

(matrix theory)

$$\det(\hat{\Omega} - \omega \hat{1}) \stackrel{!}{=} 0$$

if nontrivial solutions to the e-value problems are needed

\* Finding e-values \*

$$\langle i | (\hat{\Omega} - \omega \hat{1}) | v \rangle = \langle i | \phi \rangle = 0$$

basis bra

$$\begin{aligned} \langle i | \hat{\Omega} - \omega \hat{1} | \hat{1} | v \rangle &= \langle i | (\hat{\Omega} - \omega \hat{1}) \sum_{k=1}^n |k\rangle \langle k| v \rangle \\ &= \sum_k \langle i | \hat{\Omega} - \omega \hat{1} | k \rangle \langle k | v \rangle = \sum_k \left[ \langle i | \hat{\Omega} | k \rangle - \omega \langle i | k \rangle \right] \langle k | v \rangle \\ &= \sum_k (\Omega_{ik} - \omega \delta_{ik}) v_k \stackrel{!}{=} 0 \end{aligned}$$

MR →

$$\det(\hat{\Omega} - \omega \hat{1}) \stackrel{!}{=} 0 \Leftrightarrow \sum_{m=0}^n c_m \omega^m = 0$$

characteristic equation

characteristic polynomial

(k'th component)

Ex. 1.8.4 / 33 Shenker



Theorem 1) The e-values of a Hermitian operator are real. (easy to prove)

Theorem 1) To any Hermitian operator, there exists at least one orthonormal basis formed of the e-vectors of the operator. The operator is diagonal in this eigenbasis (with its e-values on the diagonal) (~~lengthy~~ lengthy proof)

Definition

Degeneracy: More e-vectors for one e-value

$$\hat{H} |w_1\rangle = w |w_1\rangle$$
$$\hat{H} |w_2\rangle = w |w_2\rangle$$

Theorem 1) The e-values of a unitary operator are complex numbers of unit modulus

Theorem 1) The e-vectors of a unitary operator are mutually orthogonal. (no degeneracy is assumed)

Proofs:  $\hat{U} |u_i\rangle = u_i |u_i\rangle, \hat{U} |u_j\rangle = u_j |u_j\rangle$

$$\langle u_j | \hat{U}^\dagger \hat{U} |u_i\rangle = u_j^* u_i \langle u_j | u_i\rangle$$
$$\uparrow$$
$$= \langle u_j | u_i\rangle \Rightarrow$$

$\Rightarrow (1 - u_j^* u_i) \langle u_j | u_i\rangle = 0$

- if  $i=j \Rightarrow u_i^* u_i = |u_i|^2 = 1$  (since  $\langle u_j | u_i\rangle \neq 0$ )
- if  $i \neq j \Rightarrow \langle u_j | u_i\rangle = 0$  (since  $|u_i\rangle \neq |u_j\rangle \Rightarrow u_i \neq u_j \Rightarrow u_i u_j^* \neq u_i u_i^* = |u_i|^2 = 1$ )

## Commutator algebra

Commutator  $[\hat{A}, \hat{B}] = \hat{A}\hat{B} - \hat{B}\hat{A}$

Def: If  $[\hat{A}, \hat{B}] = 0$  then " $\hat{A}, \hat{B}$  commute"

Theorem: Hermitian operators commute if their product is also Hermitian.

$$\hat{A}^\dagger = \hat{A}, \hat{B}^\dagger = \hat{B}; [\hat{A}, \hat{B}] = 0 \Rightarrow \hat{A}\hat{B} = \hat{B}\hat{A}$$

$$\Rightarrow \left. \begin{array}{l} (\hat{A}\hat{B})^\dagger = (\hat{B}\hat{A})^\dagger \\ (\hat{A}\hat{B})^\dagger = \hat{B}^\dagger \hat{A}^\dagger = \hat{B}\hat{A} \end{array} \right\} \Rightarrow \boxed{(\hat{B}\hat{A})^\dagger = \hat{B}\hat{A} \text{ and } (\hat{A}\hat{B})^\dagger = \hat{A}\hat{B}}$$

Properties  
of  
commutators

1)  $[\hat{A}, \hat{B}] = -[\hat{B}, \hat{A}]$  antisymmetry

2)  $[\hat{A}, \hat{B} + \hat{C}] = [\hat{A}, \hat{B}] + [\hat{A}, \hat{C}]$  linearity

3)  $[\hat{A}, \hat{B}]^\dagger = [\hat{B}^\dagger, \hat{A}^\dagger]$  Hermitian conjugate (adjoint) of comm.

4)  $[\hat{A}, \hat{B}\hat{C}] = [\hat{A}, \hat{B}]\hat{C} + \hat{B}[\hat{A}, \hat{C}]$  distributivity  
 $[\hat{A}\hat{B}, \hat{C}] = \hat{A}[\hat{B}, \hat{C}] + [\hat{A}, \hat{C}]\hat{B}$

5)  $[\hat{A}, [\hat{B}, \hat{C}]] + [\hat{B}, [\hat{C}, \hat{A}]] + [\hat{C}, [\hat{A}, \hat{B}]] = 0$  Jacobi identity

6)  $[\hat{A}, \hat{B}^n] = \sum_{j=0}^{n-1} \hat{B}^j [\hat{A}, \hat{B}] \hat{B}^{n-j-1}$  generalized distributivity

$$[\hat{A}^n, \hat{B}] = \sum_{j=0}^{n-1} \hat{A}^{n-j-1} [\hat{A}, \hat{B}] \hat{A}^j$$

7)  $[\hat{A}, a] = 0$  where  $a = \text{any scalar}$

Commutators do not (always) behave like numbers  $\triangleleft$   
 Operators  $\triangleleft$

## Infinite and Finite Unitary Transformations

### Functions of Operators

$$f(\hat{A}) = \sum_{n=0}^{\infty} a_n \hat{A}^n \quad \text{Taylor expansion, if } \hat{A} \text{ is a linear op.}$$

$$\begin{aligned} \text{Example: } f(\hat{A}) = e^{a\hat{A}} &= \sum_{n=0}^{\infty} \frac{a^n}{n!} \hat{A}^n \\ &= \hat{1} + a\hat{A} + \frac{a^2}{2!} \hat{A}\hat{A} + \frac{a^3}{3!} \hat{A}\hat{A}\hat{A} + \dots \end{aligned}$$

- commutators:

$$1) \text{ if } [\hat{A}, \hat{B}] = 0 \Rightarrow [f(\hat{A}), \hat{B}] = 0 \text{ where } f(\hat{A}) \text{ is any function of } \hat{A}.$$

$$2) [\hat{A}, f(\hat{A})] = 0; [\hat{A}^n, f(\hat{A})] = 0; [f(\hat{A}), g(\hat{A})] = 0$$

- Hermitian conjugates (adjoints):

$$[f(\hat{A})]^{\dagger} = f^*(\hat{A}^{\dagger})$$

- For  $\hat{A}^{\dagger} = \hat{A}$  (Hermitian),  $f(\hat{A})$  is not always Hermitian (only if  $f(\cdot)$  is a real function)

$$f(\hat{A}) = e^{\hat{A}} \Rightarrow [f(\hat{A})]^{\dagger} = (e^{\hat{A}})^{\dagger} = \left( \sum_{n=0}^{\infty} \frac{a^n}{n!} \hat{A}^n \right)^{\dagger}$$

$$= \sum_{n=0}^{\infty} \frac{(a^*)^n}{n!} (\hat{A}^{\dagger})^n$$

$$= f(\hat{A}) \text{ iff } a^* = a \text{ i.e. } a \in \mathbb{R} \text{ and } \hat{A}^{\dagger} = \hat{A} \text{ i.e. Hermitian}$$

$$f(\hat{A}) = e^{i\hat{A}} \Rightarrow [f(\hat{A})]^{\dagger} = e^{-i\hat{A}^{\dagger}}$$

$$\text{- Relations: } e^{\hat{A}} e^{\hat{B}} = (e^{\hat{A}+\hat{B}})^{e^{[\hat{A},\hat{B}]/2}} \neq e^{\hat{A}+\hat{B}} \text{ if } [\hat{A},\hat{B}] \neq 0$$

$$e^{\hat{A}} \hat{B} e^{-\hat{A}} = \hat{B} + [\hat{A}, \hat{B}] + \frac{1}{2!} [\hat{A}, [\hat{A}, \hat{B}]] + \frac{1}{3!} [\hat{A}, [\hat{A}, [\hat{A}, \hat{B}]]] + \dots$$

## Infinitesimal and Finite Unitary Transformations

Goal: Effects of unitary transformations on  $| \psi \rangle$ 's,  $\langle \psi |$ 's, operators, and scalars.

$$| \psi' \rangle = \hat{U} | \psi \rangle, \quad \langle \psi' | = \langle \psi | \hat{U}^\dagger$$

- let operator  $\hat{A}$  s.t.  $\hat{A} | \psi \rangle = | \phi \rangle$ . What is  $\hat{A}' | \psi' \rangle = | \phi' \rangle = ?$ , under the above unitary transformation?

$$\hat{A}' | \psi' \rangle \stackrel{!}{=} \hat{A}' \hat{U} | \psi \rangle \stackrel{!}{=} | \phi' \rangle = \hat{U} | \phi \rangle \quad \Rightarrow \quad \hat{A}' \hat{U} | \psi \rangle = \hat{U} | \phi \rangle \stackrel{!}{=} \hat{U} \hat{A} | \psi \rangle$$

$$\hat{U}^\dagger \Rightarrow \hat{U}^\dagger \hat{A}' \hat{U} | \psi \rangle = \hat{U}^\dagger \hat{U} \hat{A} | \psi \rangle = \hat{A} | \psi \rangle$$

$$\Rightarrow \boxed{\hat{U}^\dagger \hat{A}' \hat{U} = \hat{A}} \quad \text{|| } \hat{U} \leftarrow \hat{U}^\dagger$$

$$\Leftrightarrow \hat{U} \hat{U}^\dagger \hat{A}' \hat{U} \hat{U}^\dagger = \hat{U} \hat{A} \hat{U}^\dagger$$

$$\Rightarrow \boxed{\hat{A}' = \hat{U} \hat{A} \hat{U}^\dagger}$$

extremely useful when calculating the effect of operators ~~is~~ under a change of basis via a unitary transformation

Infinitesimal Unitary Transformations

Let a unitary transformation  $\hat{U}$  depend on an infinitesimal parameter  $\epsilon$ :

$$\hat{U}_\epsilon(\hat{G}) = \hat{1} + i\epsilon \hat{G}, \quad \text{where } \hat{G} \text{ is the generator of the infinitesimal transformation } \hat{U}$$

- unitary iff  $\epsilon \in \mathbb{R}$  and  $\hat{G}^\dagger = -\hat{G}$ :

$$\hat{U}_\epsilon \hat{U}_\epsilon^\dagger = (\hat{1} + i\epsilon \hat{G})(\hat{1} - i\epsilon \hat{G}^\dagger) = \hat{1} + i\epsilon(\hat{G} - \hat{G}^\dagger) + \epsilon^2 \hat{G} \hat{G}^\dagger \approx \hat{1} + i\epsilon(\hat{G} - \hat{G}^\dagger)$$

$\mathcal{O}(\epsilon^2)$

$$(= \hat{1} \text{ if } \hat{G} = \hat{G}^\dagger \text{ and } \epsilon \in \mathbb{R})$$

- Action on a vector  $|\psi\rangle$

$$|\psi'\rangle = \hat{U}_\epsilon(\hat{G})|\psi\rangle = (\hat{1} + i\epsilon\hat{G})|\psi\rangle = |\psi\rangle + i\epsilon\hat{G}|\psi\rangle$$

a small (infinitesimal) correction to  $|\psi\rangle$  ...

$$= |\psi\rangle + \delta|\psi\rangle$$

- Transformation of an operator  $\hat{A}$ :

$$\hat{A}' = \hat{U}\hat{A}\hat{U}^\dagger = (\hat{1} + i\epsilon\hat{G})\hat{A}(\hat{1} - i\epsilon\hat{G}^\dagger)$$

$$= \hat{A} + i\epsilon[\hat{G}, \hat{A}] \text{ (neglecting } \mathcal{O}(\epsilon^2) \text{ in } \epsilon \dots)$$

$\hookrightarrow$  invariant if  $[\hat{G}, \hat{A}] = 0$

\* A finite unitary transformation results from applying many successive infinitesimal transformations:

- Let  $\hat{U}_\alpha(\hat{G})$  be a finite unitary transf. with finite "step"  $\alpha$ :

$$\hat{U}_\alpha(\hat{G}) = \lim_{N \rightarrow \infty} \prod_{k=1}^N \hat{U}_\epsilon(\hat{G}) = \lim_{N \rightarrow \infty} \prod_{k=1}^N (\hat{1} + i\epsilon\hat{G})$$

$\epsilon = \frac{\alpha}{N}$

$$\lim_{N \rightarrow \infty} \prod_{k=1}^N (\hat{1} + i\frac{\alpha}{N}\hat{G}) = \lim_{N \rightarrow \infty} (\hat{1} + i\alpha\frac{\hat{G}}{N})^N$$

$$= e^{i\alpha\hat{G}}$$

Note: If  $[\hat{G}, \hat{A}] = 0$  then  $\hat{A}' = e^{i\alpha\hat{G}}\hat{A}e^{-i\alpha\hat{G}} = \hat{A}$  (show at home)

HW

## Change of Basis and Unitary Transformations

Basis change  
 $|\phi_n\rangle \rightarrow |\phi'_n\rangle$ ,  $n = 1, 2, 3, \dots$   $|\phi'_n\rangle = \hat{U} |\phi_n\rangle$   
 old  $\rightarrow$  new

Formulation of the problem  
 Knowing the components of kets and bras, and operators in the old basis  $\{|\phi_n\rangle\}$ , what ~~are~~ do they become in the new basis  $\{|\phi'_n\rangle\}$ ?

Any ket, bra  
 $|\psi'_n\rangle = [?] |\psi_n\rangle$ ,  $\langle \psi'_n| = \langle \psi_n| [?]$   
 $\uparrow$  transformation matrix  $\uparrow$

M.R. of any operator  
 $A'_{mn} = ?$  in terms of  $A_{mn}$  in the old basis

Components in new basis  
 $\langle \phi'_m | \psi \rangle = \langle \phi'_m | \hat{1}_{\text{old}} | \psi \rangle = \langle \phi'_m | \sum_n |\phi_n\rangle \langle \phi_n| \psi \rangle$   
 $= \sum_n \langle \phi'_m | \phi_n \rangle \langle \phi_n | \psi \rangle$   
 $= \sum_n U_{mn} \langle \phi_n | \psi \rangle$   
 $\uparrow$  basis transformation matrix elements  $\leftarrow$  comp. in old basis

$$U_{mn} \equiv \langle \phi'_m | \phi_n \rangle ; \boxed{\hat{U}^\dagger \hat{U} = \hat{U} \hat{U}^\dagger = \hat{1} \text{ i.e. unitary}}$$

MR :  $|\psi'_n\rangle = \hat{U} |\psi_n\rangle$  ;  $\langle \psi'_n| = \langle \psi_n| \hat{U}^\dagger$  ("active transf.")  
 new old new old

Operators :  $A'_{mn} = \langle \phi'_m | \hat{A} | \phi'_n \rangle = \langle \phi'_m | \hat{1}_{\text{old}} | \hat{A} | \hat{1}_{\text{old}} | \phi'_n \rangle$   
 $= \langle \phi'_m | \sum_j |\phi_j\rangle \langle \phi_j| \hat{A} \sum_i |\phi_i\rangle \langle \phi_i| \phi'_n \rangle$   
 $= \sum_{jii} \underbrace{\langle \phi'_m | \phi_j \rangle}_{U_{mj}} \underbrace{\langle \phi_j | \hat{A} | \phi_i \rangle}_{A_{ji}} \underbrace{\langle \phi_i | \phi'_n \rangle}_{U_{in}^*} = \sum_{jii} U_{mj} A_{ji} U_{in}^*$



## The 5 Postulates of Quantum Mechanics

### P1: State of a system

The state of any physical system is fully specified, at any time  $t$ , by a state vector  $|\psi(t)\rangle$  in a Hilbert space  $\mathcal{H}$ . Any superposition of state vectors is also a state vector.

### P2: Observables and Operators

To every measurable quantity  $A$  - an "observable" or "dynamic variable" - there corresponds a linear Hermitian operator  $\hat{A}$  whose eigenvectors form a complete basis.

### P3: Measurements and Eigenvalues

The measurement of an observable  $A$  is represented by the action of operator  $\hat{A}$  on state vector  $|\psi(t)\rangle$ . The only possible outcomes of the measurements are the eigenvalues  $\{a_n\}$  of operator  $\hat{A}$ .

If the measurement outcome is  $e$ -value  $a_n$ , the state of the system immediately after the measurement is given by the projection of the ~~(initial)~~ state vector  $|\psi(t)\rangle$  onto eigenvector  $|a_n\rangle$  corresponding to  $e$ -value  $a_n$ , for operator  $\hat{A}$ , i.e.:

$$|\psi\rangle_{\substack{\text{right} \\ \text{after} \\ \text{measurement}}} = \frac{\hat{P}_n |\psi(t)\rangle}{\sqrt{\langle \psi | \hat{P}_n | \psi \rangle}} = \frac{|a_n\rangle \langle a_n | \psi(t)\rangle}{\sqrt{|\langle a_n | \psi \rangle|^2}}$$

### P4: Probabilistic Outcome of Measurements

↓ collapse

(a) Discrete spectra: When measuring observable  $A$  of a system in state  $|\psi\rangle$ , the probability of obtaining  $e$ -value  $a_n$  (non-degenerate!) is

$$P_{\#}(a_n) = \frac{|\langle a_n | \psi \rangle|^2}{\langle \psi | \psi \rangle}$$

→ if  $|\psi\rangle$  is normalized i.e.  $\langle \psi | \psi \rangle = 1 \rightarrow P(a_n) = |\langle a_n | \psi \rangle|^2$



For normalized  $|\psi\rangle$ ,  $\langle\psi|\psi\rangle = 1$  and  $\langle a_n|\psi\rangle$  is the "probability amplitude" to find the system in state  $|a_n\rangle$

~~if~~ - ~~if the eigenvalue~~

- if the eigenvalue  $a_n$  is  $M$ -times degenerate, then

$$P(a_n) = \frac{\sum_{j=1}^M |\langle a_n^j | \psi \rangle|^2}{\langle \psi | \psi \rangle}$$

- if the system is already in an eigenstate of  $A$ , then a measurement of observable  $A$  yields eigenvalue  $a_n$  with 100% certainty i.e.  $P(a_n) = 1$  (since  $\hat{A}|a_n\rangle = a_n|a_n\rangle$ )

(b) Continuous spectra:

- define the probability density to ~~find~~ measure a value for  $A$  between  $a$  and  $a+da$ :

$$dP(a) = \frac{|\psi(a)|^2 da}{\langle \psi | \psi \rangle} = \frac{|\psi(a)|^2 da}{\int da' |\psi(a')|^2}$$

$$P = |\psi(a)|^2 da \text{ for } \langle \psi | \psi \rangle = 1$$

## P5 Time Evolution

The state of a system evolves in time according to the Schrödinger equation:

$$i\hbar \frac{d|\psi(t)\rangle}{dt} = \hat{H}|\psi(t)\rangle$$

$\hat{H}$  is a linear Hermitian operator that corresponds to the total energy of the system

Stern-Gerlach Experiment. Spin-1/2 Statistics

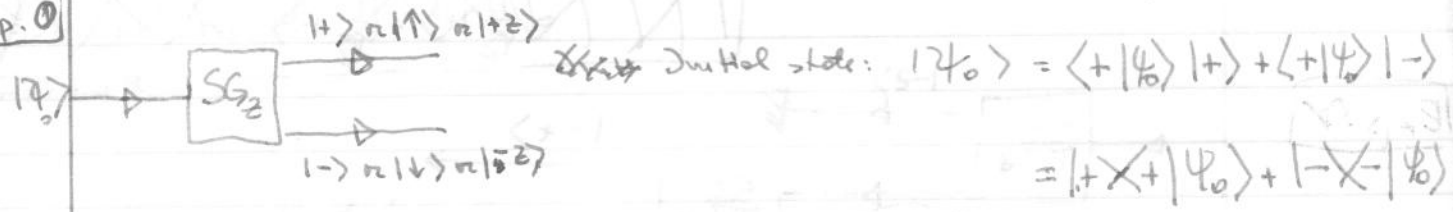
SG (1922): Ag atoms in inhomogeneous magnetic field  $\vec{B} = B(z)\hat{z}$ .

$\vec{F} = -\nabla U = -\nabla(-\vec{\mu} \cdot \vec{B})$   
 $= \nabla(\vec{\mu} \cdot \vec{B}) \approx (\mu_z \partial_z B) \hat{z}$   
 $(\vec{\mu} = \frac{2e\hbar}{2m_e c} \vec{S} = \frac{\hbar}{m_e c} \vec{S})$

Ag: 46 electrons forming a spherical "cloud", 47<sup>th</sup> electron ~ loosely bound  
 ↳ responsible for Ag's intrinsic spin

$|F| \approx \mu_z \frac{e}{m_e c} S_z \frac{\partial B}{\partial z}$   
 $[S \uparrow; \vec{\mu} = \frac{\hbar}{m_e c} \vec{S}]$

Exp. ①



$S_z$  observable

$\hat{S}_z |+\rangle = \hbar/2 |+\rangle ; \hat{S}_z |-\rangle = -\hbar/2 |-\rangle$   
 $(\hbar = 6.582 \times 10^{-16} \text{ eVs})$   
 $\hat{S}_z^+ = \hat{S}_z$  Hermitian p.

$|\psi_0\rangle = a_+ |+\rangle + a_- |-\rangle ; a_{\pm} = \langle \pm | \psi_0 \rangle = 1/\sqrt{2}$

← no phase factor by convention

$P_{\uparrow} = |a_+|^2 ; P_{\downarrow} = |a_-|^2 ; P_{\uparrow \text{ or } \downarrow} = |a_+|^2 + |a_-|^2 = 1$  (normalized)

$\frac{1}{2} \quad \frac{1}{2}$

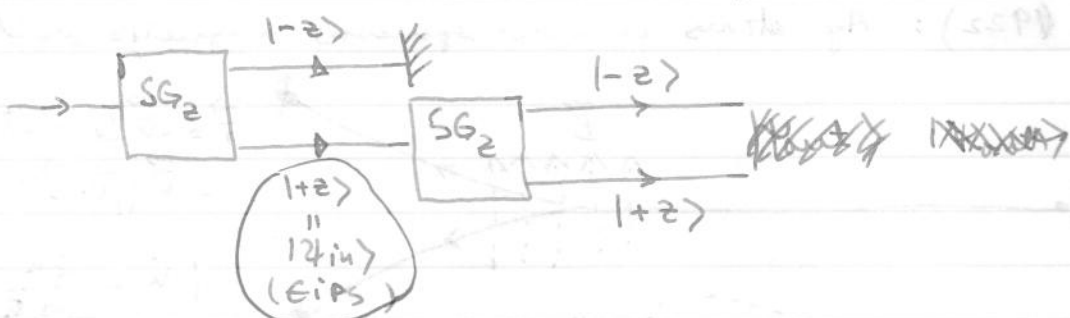
$\{|+\rangle, |-\rangle\}$  form an orthonormal basis:

$\langle + | - \rangle = \langle - | + \rangle = 0$   
 $\langle + | + \rangle = \langle - | - \rangle = 1$

$\langle \psi_0 | \psi_0 \rangle = 1 \Rightarrow$   
 $|a_+|^2 + |a_-|^2 = 1$

Sequential SG devices. Ensembles of identically prepared systems: (EIPS)

Ex p. 4  $|\psi_0\rangle$



$|\psi_{in}\rangle \rightarrow$  state of identically prepared atoms (all with  $S_z = +\frac{1}{2}$ )

$$|\psi_{in}\rangle = |z+\rangle = |z+\rangle \langle z+| \psi_{in}\rangle + |z-\rangle \langle z-| \psi_{in}\rangle$$

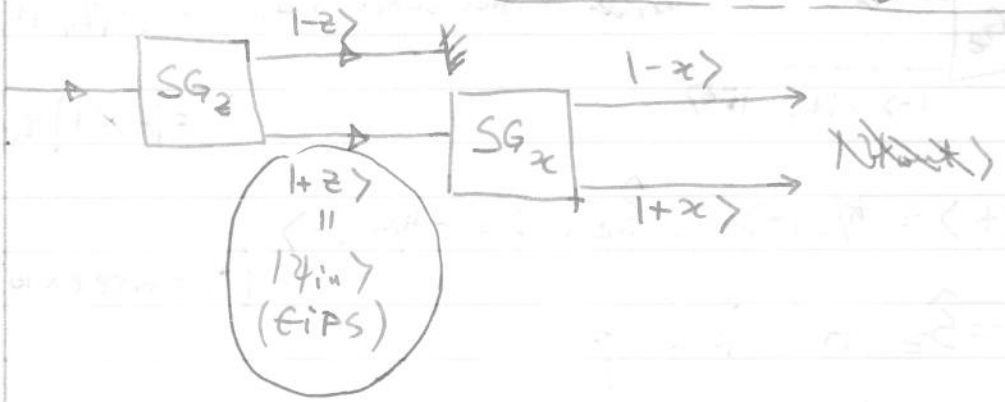
$$= |z+\rangle \langle z+| z+\rangle + |z-\rangle \langle z-| z+\rangle = |z+\rangle$$

$$a_+ = 1 ; a_- = 0$$

$$|\psi_{out}\rangle = \frac{1}{\sqrt{2}} |z+\rangle + \frac{1}{\sqrt{2}} |z-\rangle$$

*not too important*

Ex p. 5



$$|\psi_{in}\rangle = |z+\rangle = |x+\rangle \langle x+| \psi_{in}\rangle + |x-\rangle \langle x-| \psi_{in}\rangle$$

$$= |x+\rangle \langle x+| z+\rangle + |x-\rangle \langle x-| z+\rangle$$

$$= \frac{1}{\sqrt{2}} |x+\rangle + \frac{1}{\sqrt{2}} |x-\rangle \text{ in } \{|x\pm\rangle\} \text{ basis!}$$

$$= ? \text{ in } \{|z\pm\rangle\} \text{ basis?}$$

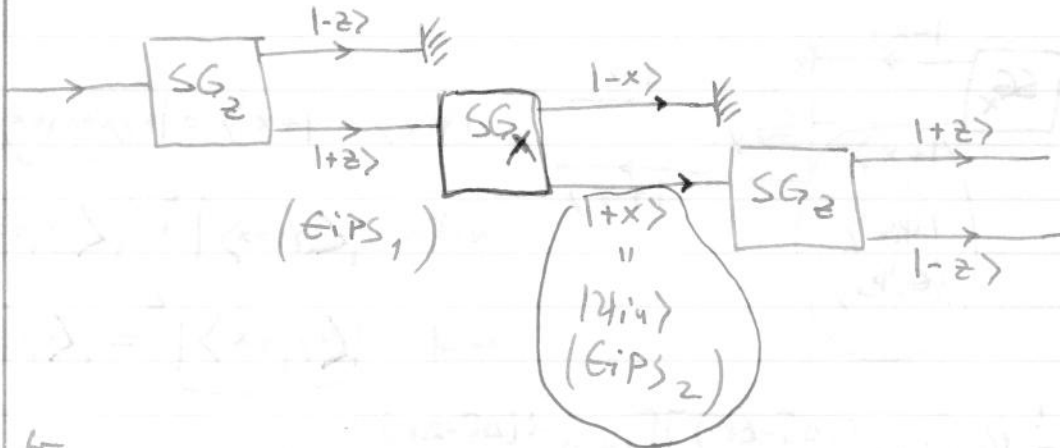
$$\text{Need: } |x+\rangle = |z+\rangle \langle z+| x+\rangle + |z-\rangle \langle z-| x+\rangle = \begin{Bmatrix} a_+ \\ b_+ \end{Bmatrix} |z+\rangle + \begin{Bmatrix} a_- \\ b_- \end{Bmatrix} |z-\rangle$$

$$a_2 \begin{Bmatrix} |x+\rangle \\ |x-\rangle \end{Bmatrix} = \begin{bmatrix} a_+ & a_- \\ b_+ & b_- \end{bmatrix} \begin{Bmatrix} |z+\rangle \\ |z-\rangle \end{Bmatrix}$$

unitary  
Adjoint is Hermitian matrix

Experimentally, to obtain the unitary transformation from  $|+x\rangle$  to  $\{|z\rangle\}$  (or  $|-x\rangle$  to  $\{|z\rangle\}$ ) one needs to create another SIPS by subsequently blocking off  $S_z = -\hbar/2$  (or  $S_z = +\hbar/2$ ) and adding one more  $SG_z$ :

Exp. 3



$$|4i\rangle = |+x\rangle = a_+ |z\rangle + a_- |-z\rangle, \quad a_{\pm} = ?$$

$$|2ii\rangle = |-x\rangle = b_+ |z\rangle + b_- |-z\rangle, \quad b_{\pm} = ?$$

$$\text{Stat: } |a_+|^2 = |a_-|^2 = 1/2; \quad |a_+|^2 + |a_-|^2 = 1 \text{ (total probab.)}$$

$$\Rightarrow a_+ = 1/\sqrt{2} e^{i\delta_1}, \quad a_- = 1/\sqrt{2} e^{i\delta_2}$$

$$\Rightarrow |+x\rangle = 1/\sqrt{2} (e^{i\delta_1} |z\rangle + e^{i\delta_2} |-z\rangle)$$

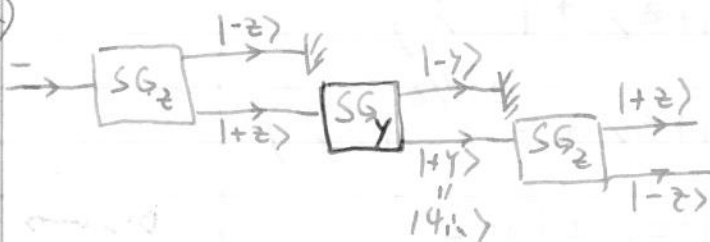
$$= 1/\sqrt{2} e^{i\delta_1} (|z\rangle + e^{i(\delta_2 - \delta_1)} |-z\rangle)$$

Do expectation value  $\langle S_z \rangle = (\hbar/2)(a_+^2 - a_-^2)$  and uncertainty  $\sim$  p. 30(a)  $\rightarrow$

Exp. 3'

(S in book)

Now for  $|+y\rangle \rightarrow \{|z\rangle, |-z\rangle\}$  (or  $|-y\rangle \rightarrow \{|z\rangle, |-z\rangle\}$ ):



$$|4i\rangle = |+y\rangle = a_+ |z\rangle + a_- |-z\rangle \quad (\text{or } |-y\rangle = b_+ |z\rangle + b_- |-z\rangle)$$

$$|a_+|^2 = |a_-|^2 = 1/2 \Rightarrow a_+ = 1/\sqrt{2} e^{i\delta_1}, \quad a_- = 1/\sqrt{2} e^{i\delta_2}$$

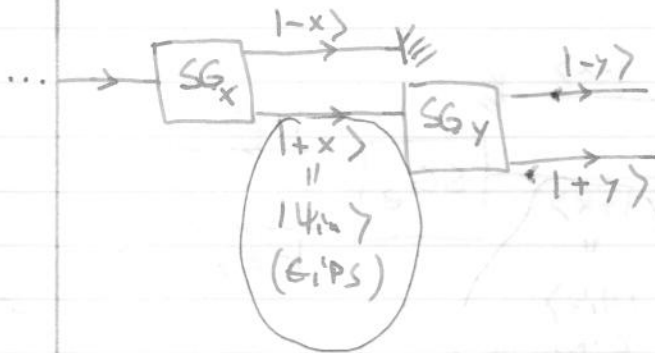
$$\Rightarrow |+y\rangle = 1/\sqrt{2} e^{i\delta_1} (|z\rangle + e^{i(\delta_2 - \delta_1)} |-z\rangle) \quad ||$$

Combine statistics for  $S_x, S_y$  i.e. GIPS for  $S_x$  ( $z \rightarrow y$ ):

Let  $\Delta\delta \equiv \delta_2 - \delta_1$ ,  $\Delta\alpha \equiv \alpha_2 - \alpha_1$ ,  $|\pm z\rangle \equiv |\pm\rangle!$

$$|+x\rangle = \frac{1}{\sqrt{2}} e^{i\delta_1} (|+\rangle + e^{i\Delta\delta} |-\rangle)$$

$$|+y\rangle = \frac{1}{\sqrt{2}} e^{i\delta_1} (|+\rangle + e^{i\Delta\alpha} |-\rangle); \langle+y| = \frac{1}{\sqrt{2}} e^{-i\delta_1} (\langle+| + e^{-i\Delta\alpha} \langle-|)$$



$$|\psi\rangle = |+x\rangle = \frac{1}{\sqrt{2}} (|+y\rangle + |y\rangle) + \frac{1}{\sqrt{2}} (|+x\rangle + |x\rangle)$$

with  $|\langle+y|+x\rangle|^2 + |\langle-y|+x\rangle|^2 = 1$

and  $|\langle+y|+x\rangle|^2 = |\langle-y|+x\rangle|^2 = \frac{1}{2}$

$$\Leftrightarrow \frac{1}{2} \stackrel{!}{=} \frac{1}{4} [1 + e^{i(\Delta\delta - \Delta\alpha)}] [1 + e^{-i(\Delta\delta - \Delta\alpha)}] = \dots$$

$$= \frac{1}{2} [1 + \cos(\Delta\delta - \Delta\alpha)]$$

$$\Rightarrow \cos(\Delta\delta - \Delta\alpha) \stackrel{!}{=} 0 \Rightarrow \Delta\delta - \Delta\alpha \stackrel{!}{=} \pm \pi/2$$

Let  $\Delta\delta = 0 \Leftrightarrow \left. \begin{aligned} \delta_1 &= \delta_2 \\ \Delta\alpha &= \pm \pi/2 = \alpha_2 - \alpha_1 \end{aligned} \right\} \Rightarrow \left. \begin{aligned} \alpha_2 &= \pm \pi/2 = \Delta\alpha \\ \delta_2 &= 0 = \Delta\delta \end{aligned} \right\}$

$\hookrightarrow$  at  $\left\{ \begin{aligned} \delta_1 &= \delta_2 = 0 \\ \alpha_1 &= 0 \end{aligned} \right\}$

Arbitrary Phase:

$$\Rightarrow \left\{ \begin{aligned} |+_x\rangle &= \frac{1}{\sqrt{2}} (|+z\rangle \pm |-z\rangle) \\ |+_y\rangle &= \frac{1}{\sqrt{2}} (|+z\rangle + e^{i\pi/2} |-z\rangle) \\ &= \frac{1}{\sqrt{2}} (|+z\rangle \pm i |-z\rangle) \end{aligned} \right.$$

$D_L \rightarrow$    
 right handedness   
 left handedness   
 (Book p. 20)

Spin-1/2 <sup>summarized</sup> ~~operator~~:

$$\hat{S}_x |\pm x\rangle = \pm \hbar/2 |\pm x\rangle$$

$$\hat{S}_y |\pm y\rangle = \pm \hbar/2 |\pm y\rangle$$

$$\hat{S}_z |\pm z\rangle = \pm \hbar/2 |\pm z\rangle$$

In basis of  $\hat{S}_z$  i.e.  $\{|\pm z\rangle\}$  or  $\{| \pm \rangle\}$ :

$$\hat{S}_x |\pm x\rangle = \pm \hbar/2 \frac{1}{\sqrt{2}} (|+\rangle \pm |-\rangle)$$

$$\hat{S}_y |\pm y\rangle = \pm \hbar/2 \frac{1}{\sqrt{2}} (|+\rangle \pm i|-\rangle)$$

$$\hat{S}_z |\pm z\rangle = \pm \hbar/2 |\pm z\rangle$$

Spectral Decomposition of an Operator

Any operator:  $\hat{A} = \sum_i \hat{A} |a_i\rangle \langle a_i| = \sum_i |a_i\rangle \langle a_i| \hat{A} \sum_j |a_j\rangle \langle a_j|$

EVP of  $\hat{A}$

$\hat{A} |a_i\rangle = a_i |a_i\rangle$   
 $i=1, \dots, n$

$= \sum_{ij} |a_i\rangle \langle a_i| \hat{A} |a_j\rangle \langle a_j| = \sum_{ij} |a_i\rangle A_{ij} \langle a_j| = \sum_{ij} A_{ij} |a_i\rangle \langle a_j|$

but  $A_{ij} = \langle a_i | \hat{A} | a_j \rangle = \langle a_i | a_j \rangle a_j = \delta_{ij} a_j$

$\Rightarrow \hat{A} = \sum_{ij} a_j \delta_{ij} |a_i\rangle \langle a_j| = \sum_i a_i |a_i\rangle \langle a_i|$

So,  $\hat{S}_x = +\hbar/2 |+\rangle \langle +| - \hbar/2 |-\rangle \langle -| = \hbar/2 (|+\rangle \langle +| + |-\rangle \langle -|)$  ✓

$\hat{S}_y = +\hbar/2 |+\rangle \langle +| - \hbar/2 |-\rangle \langle -| = i\hbar/2 (-|+\rangle \langle -| + |-\rangle \langle +|)$  ✓

$\hat{S}_z = +\hbar/2 |+\rangle \langle +| - \hbar/2 |-\rangle \langle -| = \hbar/2 (|+\rangle \langle +| - |-\rangle \langle -|)$  ✓

$\hat{S}_x = \hbar/2 \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}; \hat{S}_y = \hbar/2 \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}; \hat{S}_z = \hbar/2 \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

(2.2.) Rotation in spin space  
 - spin states precess about  $\vec{B}$  fields etc...



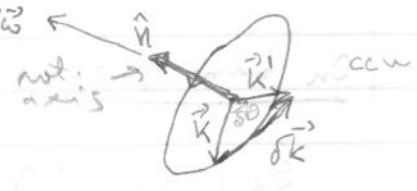
$\hat{R}_z(\theta/2) : |+\alpha\rangle = \hat{R}_z(\theta/2) |+\beta\rangle$  ccw = "positive" rotations (defn)

For  $|+\beta\rangle = a|+\alpha\rangle + b|-\alpha\rangle : \hat{R}_z(\theta/2) |+\beta\rangle = \dots = a|+\alpha\rangle + b|-\alpha\rangle$

$\hat{R}_z^\dagger(\theta/2) : \langle +\alpha| = \langle +\alpha| \hat{R}_z^\dagger(\theta/2)$  s.t.  $1 = \langle +\alpha|+\alpha\rangle = \dots = \langle +\alpha|+\alpha\rangle$   
 $\Rightarrow \boxed{\hat{R}^\dagger = \hat{R}^{-1}}$  unitary operator :  $\hat{R}^\dagger \hat{R} = 1 \rightarrow$  general  $U$  (p.13-15)

Generators of Rotations

1) infinitesimal rotations:  
 $\vec{k}' = \vec{k} + \delta\vec{k} = \vec{k} + \delta\vec{\omega} \times \vec{k} ; \delta\vec{\omega} = \delta\theta \hat{n}$   
 $\Rightarrow \delta\vec{k} = \delta\theta \hat{n} \times \vec{k} (= \delta\theta \epsilon_{ijk} \hat{n}_i k_j \hat{k}_k \dots)$



Let  $\hat{n} = \hat{z} = \frac{\vec{z}}{z} : \delta\vec{\omega} = \delta\theta \hat{z} \Rightarrow \delta\vec{k} = \delta\vec{\omega} \times \vec{k} = \delta\theta (\hat{z} \times \vec{k}) = \delta\theta [\hat{z} k_x - \hat{x} k_y]$

Set  $\hat{R}_z(\delta\theta) \hat{z} = \hat{z} - i\delta\theta \hat{I}_z \Leftrightarrow \hat{I}_z = \frac{\hat{z} - \hat{R}_z(\delta\theta) \hat{z}}{i\delta\theta} = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$  since  $\hat{R}_z(\delta\theta) = \begin{pmatrix} 1 - \delta\theta & 0 & 0 \\ \delta\theta & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$

$(e^{i\delta\theta \hat{I}_z} = 1 - i\delta\theta \hat{I}_z - \frac{\delta\theta^2}{2} \hat{I}_z^2 + \dots)$

$\Rightarrow \boxed{\vec{k}' = \hat{R}_z(\delta\theta) \vec{k} = (1 - i\delta\theta \hat{I}_z) \vec{k}}$

$\hat{I}_x = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}$   
 $\hat{I}_y = \begin{pmatrix} 0 & 0 & i \\ 0 & 0 & 0 \\ -i & 0 & 0 \end{pmatrix}$

$[\hat{I}_i, \hat{I}_j] = i \epsilon_{ijk} \hat{I}_k (= i \sum_k \epsilon_{ijk} \hat{I}_k)$

In Hilbert (vec) space:

$|\psi'\rangle = \hat{R}_z(\delta\theta) |\psi\rangle = \left(1 - i\frac{\delta\theta}{\hbar} \hat{J}_z\right) |\psi\rangle \quad (\langle\psi| = \langle\psi| \hat{R}_z^\dagger)$

$\hat{I}_z = \frac{\hat{J}_z}{\hbar}$

2) finite rotation:  $\delta\theta = \lim_{N \rightarrow \infty} \theta/N$

$\boxed{\hat{R}_z(\theta) = \lim_{N \rightarrow \infty} [\hat{R}_z(\delta\theta)]^N = \lim_{N \rightarrow \infty} \left[1 - i\frac{\theta}{N\hbar} \hat{J}_z\right]^N = e^{-i\frac{\theta}{\hbar} \hat{J}_z}$

$\hat{J}_z$  - ang. momentum op. = gen. of rotations (infinitesimal)

$\hat{J}_z |\pm z\rangle \stackrel{sg}{=} \hbar \left(\pm \frac{1}{2}\right) |\pm z\rangle$  (EVP for  $\hat{J}_z$ )  
 show, why  $\hat{R}_z(\theta)$

Read: Eigenstates Eigenvalues p.137-41)

Read: Projection & identity ops (sec. 2.3)  
 Matrix rep. of ops (sec. 2.4)  
 Change of basis (sec. 2.5)

# ANGULAR MOMENTUM (Ch 3 Townsend)

Read: 3.1

(3.1) Rotations do not commute (read p. 75-78)

Finite rot:  $\hat{R}_z(\phi) = e^{-i \frac{\hat{J}_z \phi}{\hbar}}$ ,  $\hat{R}_x = \dots$ ,  $\hat{R}_y = \dots$

$$\hat{R}_x \hat{R}_y = e^{-i \frac{\hat{J}_x \phi}{\hbar}} e^{-i \frac{\hat{J}_y \phi}{\hbar}}$$

Taylor, for small  $\phi$

$$\approx \sum_n \left(\frac{-i\phi}{\hbar}\right)^n \frac{\hat{J}_x^n}{n!} \sum_m \left(\frac{-i\phi}{\hbar}\right)^m \frac{\hat{J}_y^m}{m!}$$

$$\stackrel{\text{Eq (3.8)}}{=} [\hat{R}_x(\phi), \hat{R}_y(\phi)] = \hat{R}_z(\phi) - \hat{1}$$

$$\stackrel{\text{O(2)}}{=} \left(\hat{1} - i \frac{\hat{J}_z \phi^2}{\hbar}\right) - \hat{1}$$

To O(2)

$$\Rightarrow \hat{J}_x \hat{J}_y - \hat{J}_y \hat{J}_x = i\hbar \hat{J}_z$$

$$[\hat{J}_i, \hat{J}_j] = i\hbar \epsilon_{ijk} \hat{J}_k$$

$$\boxed{\begin{matrix} [\hat{J}_x, \hat{J}_y] = i\hbar \hat{J}_z \\ \hat{J}_z \\ \hat{J}_y \\ \hat{J}_x \end{matrix}}$$

Ang. mom.  
commutation  
relations

(3.2) Commuting operators share e-states

Let  $[\hat{A}, \hat{B}] = 0$ . Assume  $|a\rangle$  is only e-state of  $\hat{A}$ :

$$\hat{A}|a\rangle = a|a\rangle$$

$$\left. \begin{aligned} \hat{B} \hat{A}|a\rangle &= \hat{B} a|a\rangle = a(\hat{B}|a\rangle) \\ &= \hat{A}(\hat{B}|a\rangle) \end{aligned} \right\} \Rightarrow |\hat{B}a\rangle \equiv \hat{B}|a\rangle \text{ is also e-state of } \hat{A}$$

But that e-state is unique by construction

$$\rightarrow \hat{B}|a\rangle \propto |a\rangle \quad \text{i.e. } \hat{B}|a\rangle = b|a\rangle$$



(3.3) E-values and E-states of Angular Momentum

$$\hat{J}^2 = \hat{J} \cdot \hat{J} = \hat{J}_x^2 + \hat{J}_y^2 + \hat{J}_z^2$$

Use  $[\hat{A}, \hat{B}\hat{C}] = \hat{B}[\hat{A}, \hat{C}] + [\hat{A}, \hat{B}]\hat{C}$  to show

$$[\hat{J}_z, \hat{J}^2] = 0 \Rightarrow \text{share e-states } |\lambda, m\rangle$$

$$\begin{aligned} \hat{J}^2 |\lambda m\rangle &= \lambda \hbar^2 |\lambda m\rangle \\ \hat{J}_z |\lambda m\rangle &= m \hbar |\lambda m\rangle \end{aligned}$$

$\lambda \geq 0$   
(see proof p. 83)  
p. 83

Read Spin -1 p. 83-85

Special Ops: Ladder Operators:

$$\hat{J}_x = \frac{\hbar}{\sqrt{2}} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}; \quad \hat{J}_y = \frac{\hbar}{\sqrt{2}} \begin{pmatrix} 0 & -i & 0 \\ i & 0 & -i \\ 0 & i & 0 \end{pmatrix}; \quad \hat{J}_z = \hbar \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

in  $\hat{J}_z$  basis.

$$\hat{J}^2 = 2\hbar^2 \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = 2\hbar^2 \mathbb{1} \Rightarrow [\hat{J}^2, \hat{J}_i] = 0$$

$i = x, y, z$

$\Rightarrow$  shared e-states (basis):

$$\begin{aligned} |1, 1\rangle &= \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} & |1, 0\rangle &= \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} & |1, -1\rangle &= \begin{pmatrix} 0 \\ 0 \\ +1 \end{pmatrix} \\ \downarrow & & \downarrow & & \downarrow \\ +\hbar & & 0 & & -\hbar \end{aligned}$$

$$\begin{aligned} \hat{J}_z |1, 1\rangle &= \hbar |1, 1\rangle \\ \hat{J}_z |1, 0\rangle &= 0 \\ \hat{J}_z |1, -1\rangle &= -\hbar |1, -1\rangle \end{aligned}$$

Now, from special  
relations:

$$\hat{J}_x + i\hat{J}_y = \hbar\sqrt{2} \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \equiv \hat{J}_+$$

$$[\hat{J}_+, \hat{J}_-] = 2\hbar\hat{J}_z \quad (1)$$

$$\hat{J}_x - i\hat{J}_y = \hbar\sqrt{2} \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \equiv \hat{J}_-$$

$$[\hat{J}_+, \hat{J}_z] = \hbar\hat{J}_+ \quad (2)$$

$$\hat{J}_- \hat{J}_+ = \hat{J}^2 - \hat{J}_z^2 - \hbar\hat{J}_z \quad (3)$$

how do  
they operate?

$$(\hat{J}_x + i\hat{J}_y) |1, 1\rangle = \hbar\sqrt{2} |1, 0\rangle$$

$$(\hat{J}_x + i\hat{J}_y) |1, 0\rangle = \hbar\sqrt{2} |1, 1\rangle$$

raises e-values

$$(\hat{J}_x + i\hat{J}_y) |1, 1\rangle = 0 \quad \left( = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \right)$$

$$(\hat{J}_x - i\hat{J}_y) |1, -1\rangle = 0$$

$$(\hat{J}_x - i\hat{J}_y) |1, 0\rangle = \hbar\sqrt{2} |1, -1\rangle$$

lowers e-values

$$(\hat{J}_x - i\hat{J}_y) |1, 1\rangle = \hbar\sqrt{2} |1, 0\rangle$$

$$\hat{J}_\pm \equiv \hat{J}_x \pm i\hat{J}_y \quad \begin{array}{l} \text{raising} \\ \text{lowering} \end{array} \text{ operators}$$

("ladder")

Properties

$$\hat{J}_\pm^\dagger = \hat{J}_\mp \quad (\text{not Hermitian!})$$

$$[\hat{J}_z, \hat{J}_\pm] = \pm \hbar \hat{J}_\pm$$

Explicit action of  $\hat{J}_\pm$

$$\begin{aligned} \hat{J}_z \hat{J}_+ |\lambda m\rangle &= (\hat{J}_+ \hat{J}_z + \hbar \hat{J}_+) |\lambda m\rangle \\ &= \hat{J}_+ (m\hbar |\lambda m\rangle) + \hbar \hat{J}_+ |\lambda m\rangle \\ &= (m+1)\hbar \hat{J}_+ |\lambda m\rangle \end{aligned}$$

$\rightarrow$  " $\hat{J}_+ |\lambda m\rangle$ " is e-state of  $\hat{J}_z$ , for e-value  $(m+1)\hbar$

$$\hat{J}_z \hat{J}_- |\lambda m\rangle = (m-1)\hbar \hat{J}_- |\lambda m\rangle$$

$\rightarrow$  " $\hat{J}_- |\lambda m\rangle$ " is e-state of  $\hat{J}_z$ , for e-value  $(m-1)\hbar$

Also e-states of  $\hat{J}^2$  (since  $[\hat{J}^2, \hat{J}_\pm] = 0$ ):

$$\hat{J}^2 (\hat{J}_\pm |\lambda m\rangle) = \hat{J}_\pm \hat{J}^2 |\lambda m\rangle = \hbar^2 j(j+1) (\hat{J}_\pm |\lambda m\rangle)$$

Find e-values ( $\lambda$ ) on the ladder... :  
 e-states  $|\lambda m\rangle$

$$\begin{aligned} \hat{J}^2 |\lambda m\rangle &= j(j+1)\hbar^2 |\lambda m\rangle \\ \hat{J}_z |\lambda m\rangle &= m\hbar |\lambda m\rangle \end{aligned}$$

$m = -j, \dots, 0, \dots, +j$   $\{2j+1$

p. 20  
black notes

(3.4) MR of  $\hat{J}_\pm$ :

$$\begin{aligned} \hat{J}_\pm |j m\rangle &= \sqrt{j(j+1) - m(m\pm 1)} \hbar |j, m\pm 1\rangle \\ \langle j n | \hat{J}_\pm |j m\rangle &= \sqrt{j(j+1) - m(m\pm 1)} \hbar \delta_{n, m\pm 1} \end{aligned}$$

p. 24  
black notes

$\hookrightarrow$  Ex. 3.3 / 91

(p. 90)

### (3.5) Uncertainty Relations for Angular Momentum

Spin-1/2 EVP Derived from Commutation Relations

+ NT shared e-states for  $\{\hat{J}^2, \hat{J}_z, \hat{J}_x, \hat{J}_y\}$  ( $[\hat{J}^2, \hat{J}_i] = 0; [\hat{J}_i, \hat{J}_j] = \epsilon_{ijk} \hat{J}_k \neq 0$ )

Generalize:

$$\rightarrow \|\ [\hat{J}_x, \hat{J}_y] = i\hbar \hat{J}_z \Leftrightarrow [\hat{A}, \hat{B}] = i\hat{C} \ \| \leftarrow$$

+ Schwarz Ineq:  $\langle \alpha | \alpha \rangle \langle \beta | \beta \rangle \geq |\langle \alpha | \beta \rangle|^2$   $|\alpha|^2 |\beta|^2 \geq |\sum \alpha_i \beta_i|^2$

Let  $|\alpha\rangle = (\hat{A} - \langle A \rangle) |\psi\rangle$ ,  $\langle A \rangle = \langle \psi | \hat{A} | \psi \rangle$   
 $|\beta\rangle = (\hat{B} - \langle B \rangle) |\psi\rangle$ ,  $\langle B \rangle = \langle \psi | \hat{B} | \psi \rangle$   
 $\hat{A}, \hat{B}$  - Hermitian

→ Then

$$\langle \alpha | \alpha \rangle = \langle \psi | (\hat{A} - \langle A \rangle)^2 | \psi \rangle = \langle \psi | (\Delta A)^2 | \psi \rangle = \langle (\Delta A)^2 \rangle$$

$$\langle \beta | \beta \rangle = \dots \langle (\Delta B)^2 \rangle$$

→ Schwarz

$$\langle \alpha | \beta \rangle = \langle \psi | (\hat{A} - \langle A \rangle)(\hat{B} - \langle B \rangle) | \psi \rangle = \langle (\hat{A} - \langle A \rangle)(\hat{B} - \langle B \rangle) \rangle = \langle (\Delta A)(\Delta B) \rangle$$

→ Si:

$$\langle (\Delta A)^2 \rangle \langle (\Delta B)^2 \rangle \geq \frac{1}{4} |\langle [\hat{A}, \hat{B}] \rangle|^2 = \frac{1}{4} |\langle C \rangle|^2$$

√

$$\sqrt{\langle (\Delta A)^2 \rangle} \sqrt{\langle (\Delta B)^2 \rangle} \geq \frac{1}{2} |\langle C \rangle|$$

or simply

$$(\Delta A)(\Delta B) \geq \frac{1}{2} |\langle C \rangle|$$

just a notation

$$\sqrt{\langle (\Delta J_x)^2 \rangle \langle (\Delta J_y)^2 \rangle} \geq \frac{\hbar}{2} |\langle J_z \rangle|$$

or  $(\Delta J_x)(\Delta J_y) \geq \frac{\hbar}{2} |\langle J_z \rangle| \Rightarrow \vec{J}$  cannot be along any axis

$S = 1/2$ :  $\langle J_z \rangle = \pm \hbar/2 \neq 0 \Rightarrow$  uncertainty in  $J_x, J_y$

$\Rightarrow \vec{J}$  does not fully align with  $\hat{n}, \hat{x}, \hat{z}$

$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$

$\sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$

$\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

NEXT: Spin-1/2 E-value Problem (Townsend 3.6)  
from Commutator Relations, p.25 black notes  
for  $\hat{S}_z$

$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}; \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}; \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

E-value Problem for  $\hat{S}_x, \hat{S}_y$ :

$\hat{S}_z$  basis:  $\hat{S} = \frac{\hbar}{2} \vec{\sigma}, \vec{\sigma} = (\hat{\sigma}_x, \hat{\sigma}_y, \hat{\sigma}_z) =$  Pauli matrices

Generalize:  $\hat{S}_n = \hat{S} \cdot \hat{n}$ . Let  $\hat{S}_n | \mu \rangle = \mu \hbar/2 | \mu \rangle$ ,  $\hat{n} = \hat{x} \cos \phi + \hat{y} \sin \phi$   
(2D problem)

$\Rightarrow \hat{S}_n = \hat{S}_x \cos \phi + \hat{S}_y \sin \phi$

EVP:  $\Rightarrow (\hat{S}_x \cos \phi + \hat{S}_y \sin \phi) | \mu \rangle = \mu \hbar/2 | \mu \rangle$ ;  $| \mu \rangle = \frac{1}{\sqrt{2}} (| +z \rangle + | -z \rangle)$

$\Rightarrow (\hat{S}_x \cos \phi + \hat{S}_y \sin \phi) (| +x \rangle + | -x \rangle)$   
 $= \mu \hbar/2 (| +x \rangle + | -x \rangle)$

MR:

$$\begin{bmatrix} \cos \phi & \sin \phi \\ \sin \phi & -\cos \phi \end{bmatrix} \begin{pmatrix} \langle +x | \mu \rangle \\ \langle -x | \mu \rangle \end{pmatrix} = \mu \begin{pmatrix} \langle +x | \mu \rangle \\ \langle -x | \mu \rangle \end{pmatrix}$$

$$\begin{bmatrix} \cos \phi & -i \sin \phi \\ i \sin \phi & \cos \phi \end{bmatrix} \begin{pmatrix} \langle +z | \mu \rangle \\ \langle -z | \mu \rangle \end{pmatrix} = \mu \begin{pmatrix} \langle +z | \mu \rangle \\ \langle -z | \mu \rangle \end{pmatrix}$$

$$\begin{pmatrix} \cos \phi & e^{-i\phi} \\ e^{i\phi} & \cos \phi \end{pmatrix} \begin{pmatrix} \langle +z | \mu \rangle \\ \langle -z | \mu \rangle \end{pmatrix} = \mu \begin{pmatrix} \langle +z | \mu \rangle \\ \langle -z | \mu \rangle \end{pmatrix} \Leftrightarrow \begin{pmatrix} -\mu & e^{-i\phi} \\ e^{i\phi} & -\mu \end{pmatrix} \begin{pmatrix} \langle +z | \mu \rangle \\ \langle -z | \mu \rangle \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

$$\sigma_y = i(-1 + X - 1 + 1 - X + 1)$$

AM7

Non-trivial solutions iff

$$\begin{vmatrix} -\mu & e^{-i\phi} \\ e^{i\phi} & -\mu \end{vmatrix} = 0 \Leftrightarrow \mu^2 - 1 = 0 \Leftrightarrow \boxed{\mu = \pm 1}$$

$$\hat{S}_n(\mu) = \pm \frac{1}{2} |\mu\rangle$$

$\mu = +1$

$$\text{let } |\mu = +1\rangle = a_+ |+\rangle + b_+ |-\rangle$$

$$\begin{matrix} \text{or} \\ |+\mu\rangle \\ \text{or} \\ |+\mu\rangle \end{matrix} = \begin{pmatrix} a_+ \\ b_+ \end{pmatrix}$$

$$(|a\rangle \equiv |+\rangle)$$

EVP:

$$\begin{pmatrix} 0 & e^{-i\phi} \\ e^{i\phi} & 0 \end{pmatrix} \begin{pmatrix} a_+ \\ b_+ \end{pmatrix} = + \begin{pmatrix} a_+ \\ b_+ \end{pmatrix}$$

$$\Rightarrow \begin{cases} b e^{-i\phi} = a \\ a e^{i\phi} = b \end{cases} \Rightarrow \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} b e^{-i\phi} \\ b \end{pmatrix} = b \begin{pmatrix} e^{-i\phi} \\ 1 \end{pmatrix}$$

$$\text{Normalize: } \langle \mu | \mu \rangle = 1 \Rightarrow (a^* \ b^*) \begin{pmatrix} a \\ b \end{pmatrix} = 1$$

$$\Rightarrow |a|^2 + |b|^2 = 1 \Rightarrow |b|^2 + |b|^2 = 1 \Rightarrow 2|b|^2 = 1$$

$$\Rightarrow |b| = 1/\sqrt{2} \Rightarrow \boxed{b = 1/\sqrt{2}} \text{ up to a const. phase.}$$

$$\Rightarrow \boxed{a = 1/\sqrt{2} e^{-i\phi}}$$

$$\Rightarrow \boxed{|\mu = +1\rangle = 1/\sqrt{2} \begin{pmatrix} e^{-i\phi} \\ 1 \end{pmatrix} \equiv |+\mu\rangle} \text{ in } S_z \text{ basis}$$

$$\Rightarrow \boxed{|+\mu\rangle = 1/\sqrt{2} (e^{-i\phi} |+\rangle + |-\rangle) \text{ or } 1/\sqrt{2} (|+\rangle + e^{+i\phi} |-\rangle)}$$

$$\mu = -1 \Rightarrow \boxed{|-\mu\rangle = 1/\sqrt{2} (e^{+i\phi} |+\rangle - |-\rangle) \text{ or } 1/\sqrt{2} (|+\rangle - e^{+i\phi} |-\rangle)}$$

$$\phi = 0 \Rightarrow |\pm\mu\rangle = |\pm z\rangle = 1/\sqrt{2} (|+\rangle \pm |-\rangle)$$

$$\phi = \pi/2 \Rightarrow |\pm\mu\rangle = |\pm y\rangle = 1/\sqrt{2} (|+\rangle \pm i |-\rangle)$$

Theoretical predictions match SG exp.

Do Ex 3.4 / 99

Commutator algebra

# Tensor Product

AM8

$$[\hat{A}]_{m \times n},$$

$$[\hat{B}]_{p \times q}$$

$$\hat{A} \otimes \hat{B} = [a_{ij} \hat{B}] = \begin{pmatrix} a_{11} \hat{B} & \dots & a_{1n} \hat{B} \\ \vdots & & \vdots \\ a_{m1} \hat{B} & \dots & a_{mn} \hat{B} \end{pmatrix} \rightarrow mp \times nq$$

$$\hat{\Gamma}_x \otimes \hat{\Gamma}_z = \begin{pmatrix} 0 & \hat{\Gamma}_z \\ \hat{\Gamma}_x & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}$$

$$|u\rangle = \begin{pmatrix} a \\ b \end{pmatrix}$$

$$|v\rangle = \begin{pmatrix} c \\ d \end{pmatrix}$$

$$|u\rangle \otimes |v\rangle = \begin{pmatrix} a|v\rangle \\ b|v\rangle \end{pmatrix} = \begin{pmatrix} ac \\ ad \\ bc \\ bd \end{pmatrix} \equiv |uv\rangle \equiv |uv\rangle_{12}$$

## Properties

1)  $(\hat{A} \otimes \hat{B})(\hat{C} \otimes \hat{D}) = (\hat{A}\hat{C}) \otimes (\hat{B}\hat{D})$

$\hookrightarrow (A_1 \otimes B_1)(A_2 \otimes B_2)(A_3 \otimes B_3) = A_1 A_2 A_3 \otimes B_1 B_2 B_3$

$$A \otimes (B+C) = A \otimes B + A \otimes C$$

$$(\hat{A} \otimes \hat{B})^\dagger = \hat{A}^\dagger \otimes \hat{B}^\dagger, \quad (\hat{A} \otimes \hat{B})^{-1} = \hat{A}^{-1} \otimes \hat{B}^{-1}$$

$$\text{tr}(A \otimes B) = (\text{tr} A)(\text{tr} B)$$

$$\det(A \otimes B) = [\det(A)]^m [\det(B)]^n \quad \text{if } A \rightarrow m \times m, B \rightarrow n \times n$$

$$|a\rangle\langle b| \otimes |c\rangle\langle d| = (|a\rangle \otimes |c\rangle)(\langle b| \otimes \langle d|)$$

$$\langle a+1| \equiv \dots$$

$$\dots$$

$$\dots$$

> Orbital Angular Momentum as the Generator of Rotations

2D Rotations  $\hat{R}(\varphi, \hat{z})$  rot. about the  $\hat{z}$ -axis

$$\vec{r} = x\hat{x} + y\hat{y} \rightarrow \vec{r}' = x'\hat{x}' + y'\hat{y}' \quad \vec{p} = p_x\hat{x} + p_y\hat{y} \rightarrow \vec{p}' = p'_x\hat{x}' + p'_y\hat{y}'$$

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos\varphi & -\sin\varphi \\ \sin\varphi & \cos\varphi \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} \quad \begin{pmatrix} p'_x \\ p'_y \end{pmatrix} = \begin{pmatrix} \cos\varphi & -\sin\varphi \\ \sin\varphi & \cos\varphi \end{pmatrix} \begin{pmatrix} p_x \\ p_y \end{pmatrix}$$

Q.M. state:  $| \psi \rangle \rightarrow | \psi' \rangle = \hat{R}(\varphi, \hat{z}) | \psi \rangle$

- require that expectation values are rotated similarly:

$$\begin{pmatrix} \langle x' \rangle \\ \langle y' \rangle \end{pmatrix} = \begin{pmatrix} \cos\varphi & -\sin\varphi \\ \sin\varphi & \cos\varphi \end{pmatrix} \begin{pmatrix} \langle x \rangle \\ \langle y \rangle \end{pmatrix}$$

$$\begin{pmatrix} \langle p'_x \rangle \\ \langle p'_y \rangle \end{pmatrix} = \begin{pmatrix} \cos\varphi & -\sin\varphi \\ \sin\varphi & \cos\varphi \end{pmatrix} \begin{pmatrix} \langle p_x \rangle \\ \langle p_y \rangle \end{pmatrix}$$

ORBITAL ANGULAR MOMENTUM  $\hat{L} = \vec{r} \times \vec{p}$

Let  $\hat{R}(\varphi, \hat{z}) | \vec{r} \rangle = \hat{R}(\varphi, \hat{z}) | x, y \rangle = | x \cos\varphi - y \sin\varphi, x \sin\varphi + y \cos\varphi \rangle = | x', y' \rangle = | \vec{r}' \rangle$

Construct  $\hat{R}(\varphi, \hat{z})$ :

- infinitesimal rotation:  $\hat{R}(d\varphi, \hat{z}) = \hat{1} - \frac{id\varphi}{\hbar} \hat{L}_z$

$\hat{L}_z = ?$   
generator of the infinit. rotations

HW  $\left\{ \begin{aligned} \hat{R} | \vec{r} \rangle &= \hat{R} | x, y \rangle = | x - y d\varphi, x + y d\varphi \rangle \rightarrow O(1) \text{ in } d\varphi \\ \Rightarrow \langle x, y | \hat{R}(d\varphi, \hat{z}) | \psi \rangle &= \psi(x + y d\varphi, y - x d\varphi) \end{aligned} \right.$

$$\begin{aligned} \Rightarrow \langle x, y | \hat{1} - \frac{id\varphi}{\hbar} \hat{L}_z | \psi \rangle &= \underbrace{\langle x, y | \psi \rangle}_{\psi(x, y)} - \frac{id\varphi}{\hbar} \langle x, y | \hat{L}_z | \psi \rangle \approx \psi(x, y) + \frac{\partial \psi}{\partial x} (y d\varphi) - \frac{\partial \psi}{\partial y} (x d\varphi) \\ \Rightarrow \langle x, y | \hat{L}_z | \psi \rangle &\approx \left[ \frac{\partial \psi}{\partial x} (y d\varphi) - \frac{\partial \psi}{\partial y} (x d\varphi) \right] \frac{\hbar}{d\varphi} \\ &= x (-i\hbar \frac{\partial}{\partial y}) \psi - y (-i\hbar \frac{\partial}{\partial x}) \psi \end{aligned}$$



i.e.  $\hat{L}_z = \hat{x}\hat{p}_y - \hat{y}\hat{p}_x = \hbar(\vec{r} \times \vec{p})_z$  ✓

*is positive representation*

Commutators

$$[\hat{x}, \hat{L}_z] = -i\hbar \hat{y} \Rightarrow [\hat{x}_i, \hat{L}_j] = -i\hbar \epsilon_{ijk} \hat{x}_k$$

The E-value Problem of  $\hat{L}$

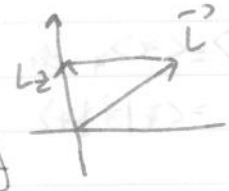
$$\hat{L}^2 = \vec{\hat{L}} \cdot \vec{\hat{L}} = \sum_{i=1}^3 \hat{L}_i^2$$

$$[\hat{L}_z, \hat{L}^2] = 0 \rightarrow [\hat{L}_i, \hat{L}^2] = 0, i=1,2,3 \Rightarrow \text{simult. eigenkets:}$$

$$\begin{cases} \hat{L}_z |\lambda m\rangle = m\hbar |\lambda m\rangle \\ \hat{L}^2 |\lambda m\rangle = \lambda\hbar^2 |\lambda m\rangle \end{cases} \quad |\lambda m\rangle$$

$\lambda > 0$  ( $\langle \lambda m | \hat{L}^2 | \lambda m \rangle > 0$ )

$\lambda \gg m^2$  (projection  $|L_z| \leq L$ )



~~$\hat{L}_z |\lambda m\rangle = m\hbar |\lambda m\rangle$~~   $\hat{L}_+ |\lambda m\rangle$

Define Raising lowering ops.

$$\hat{L}_{\pm} \equiv \hat{L}_x \pm i\hat{L}_y, \quad \hat{L}_+ \neq \hat{L}_+^\dagger$$

Utility:  $[\hat{L}_z, \hat{L}_{\pm}] = \pm \hbar \hat{L}_{\pm}$

$$\begin{aligned} \hat{L}_z \hat{L}_+ |\lambda m\rangle &= (\hat{L}_z + \hbar \hat{L}_+) |\lambda m\rangle \\ &= \hat{L}_z |\lambda m\rangle + \hbar \hat{L}_+ |\lambda m\rangle \\ &= (m+1)\hbar (\hat{L}_+ |\lambda m\rangle) \end{aligned}$$

$\Rightarrow \hat{L}_+ |\lambda m\rangle$  is an e-state of  $\hat{L}_z$  with e-value  $(m+1)\hbar$

$$\hat{L}_z \hat{L}_- |\lambda m\rangle = (m-1)\hbar \hat{L}_- |\lambda m\rangle$$

$\Rightarrow \hat{L}_- |\lambda m\rangle$  is an e-state of  $\hat{L}_z$  with e-value  $(m-1)\hbar$

$$[\hat{L}^2, \hat{L}_{\pm}] = 0 \rightarrow \text{simult e-states: } \hat{L}_{\pm} |\lambda m\rangle$$

Let  $m_{\max} = l \Rightarrow \boxed{\hat{L}_+ |\lambda l\rangle \stackrel{!}{=} 0}$  (top rung of ladder)

$$\hat{L}_- \hat{L}_+ |\lambda l\rangle \stackrel{!}{=} (\hat{L}_x - i\hat{L}_y)(\hat{L}_x + i\hat{L}_y) |\lambda l\rangle$$

$$= (\hat{L}_x^2 + \hat{L}_y^2 + i[\hat{L}_x, \hat{L}_y]) |\lambda l\rangle$$

$$= (\hat{L}_x^2 + \hat{L}_y^2 - \hbar \hat{L}_z) |\lambda l\rangle$$

$$= (L^2 - \hat{L}_z - \hbar \hat{L}_z) |\lambda l\rangle$$

$$= (\hbar - l^2 - l) \hbar^2 |\lambda l\rangle \left. \begin{array}{l} \stackrel{!}{=} 0 \end{array} \right\} \Rightarrow$$

$$\Rightarrow \boxed{\hbar = l(l+1) \equiv m_{\max}(m_{\max}+1)} \quad (1)$$

Let  $m_{\min} = l' \Rightarrow \boxed{\hat{L}_- |\lambda l'\rangle \stackrel{!}{=} 0}$  (bottom rung)

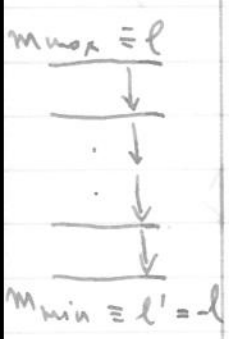
$$\hat{L}_+ \hat{L}_- |\lambda l'\rangle \stackrel{!}{=} \dots (\hbar - l'^2 + l') \hbar^2 |\lambda l'\rangle \left. \begin{array}{l} \stackrel{!}{=} 0 \end{array} \right\} \Rightarrow$$

$$\Rightarrow \boxed{\hbar = l'^2 - l' = l'(l'-1) \equiv m_{\min}(m_{\min}-1)} \quad (2)$$

$$(1), (2) \Rightarrow l(l+1) = l'(l'-1) = \hbar \Rightarrow \left. \begin{array}{l} l' = -l \\ l' = l+1 \end{array} \right\} \begin{array}{l} \text{no sense} \\ l' \neq l \end{array}$$

$$\Rightarrow \boxed{l' = -l \text{ or } m_{\min} \stackrel{!}{=} -m_{\max}}$$

Let  $m_{\max} = n m_{\min}$  (or  $l = n l'$ )



Start at the top and lower:  $\dots \equiv m \text{ steps}$

$2l (= 2m_{max})$  lowering steps  $(= l - l' = l - (-l) = 2l)$

$\Rightarrow$  allowed values for  $l : 0, 1/2, 1, 3/2, 2, \dots$   
 (w/ exclusion rules yet)

$\Rightarrow$  for each  $l : m = \underbrace{l, l-1, \dots, 0, -1, \dots, -l}_{2l+1 \text{ states}}$

$\Rightarrow |l, m\rangle \equiv |l(l+1), m\rangle = |l, m\rangle$

$\Rightarrow$  
 $\hat{L}^2 |l, m\rangle = l(l+1)\hbar^2 |l, m\rangle$   
 $\hat{L}_z |l, m\rangle = m\hbar |l, m\rangle$ 
  $m = -l, l$

Ex:  $l=0 \Rightarrow |0, 0\rangle$

$l=1/2 \Rightarrow |1/2, 1/2\rangle, |1/2, -1/2\rangle$

$l=1 \Rightarrow |1, 1\rangle, |1, -1\rangle, |1, 0\rangle$

### The Uncertainty Relation

$$[\hat{L}_i, \hat{L}^2] = 0, \quad [\hat{L}_i, \hat{L}_j] = \epsilon_{ijk} \hat{L}_k \quad \hbar$$

$\Rightarrow$  no simult. e-states  
 for  $L^2, L_x, L_y, L_z$

$\Rightarrow$  if  $\langle L^2 \rangle, \langle L_z \rangle$  are known  
 then there is uncertainty w.r.t.  $\langle L_x \rangle, \langle L_y \rangle$ :

Property: If  $[\hat{A}, \hat{B}] = i\hat{C} \Rightarrow (\Delta A)(\Delta B) \geq \frac{1}{2} |\langle C \rangle|$

when dispersions are given by  $\Delta A = \sqrt{\langle (A - \langle A \rangle)^2 \rangle} \dots$

$$\Rightarrow (\Delta L_x)(\Delta L_y) \geq \frac{\hbar}{2} |\langle L_z \rangle|$$

$\hookrightarrow$  "wave-function collapse" concept

### MR of $\hat{L}_{\pm}$

$$\hat{L}_{\pm} |l, m\rangle = c_{\pm} \hbar |l, m \pm 1\rangle; \quad \hat{L}_{\pm} = \hat{L}_x \pm i\hat{L}_y$$

$$\begin{aligned} \langle l, m | \hat{L}_- \hat{L}_+ |l, m\rangle &= \langle l, m | \hat{L}_+ \hat{L}_- |l, m\rangle = |c_+|^2 \hbar^2 \langle l, m+1 | l, m+1\rangle \\ &= \langle l, m | \hat{L}^2 - \hat{L}_z^2 - \hbar \hat{L}_z |l, m\rangle \\ &= (\hbar^2 l(l+1) - m(m+1)) \hbar^2 \langle l, m | l, m\rangle \end{aligned}$$

$$\langle l, m+1 | l, m+1\rangle = \langle l, m | l, m\rangle$$

$\Rightarrow$

$$\hat{L}_+ |l, m\rangle = \sqrt{l(l+1) - m(m+1)} \hbar |l, m+1\rangle$$

$$\hat{L}_- |l, m\rangle = \sqrt{l(l+1) - m(m-1)} \hbar |l, m-1\rangle$$

$$\begin{aligned} \langle l, m' | \hat{L}_{\pm} |l, m\rangle &= \sqrt{l(l+1) - m(m \pm 1)} \hbar \langle l, m' | l, m \pm 1\rangle \\ &= \sqrt{\dots} \hbar \delta_{m', m \pm 1} \end{aligned}$$

ApplicationSpin-1/2 E-value Problem Revisited

$$\hat{S}^2 |s m\rangle = s(s+1)\hbar^2 |s m\rangle$$

$$\hat{S}_z |s m\rangle = m\hbar |s m\rangle$$

$$s = 1/2 \Rightarrow m = \pm 1/2$$

Rotation

 $|\pm\rangle \equiv |\pm z\rangle$ 

$$\hat{S}_z \equiv \begin{pmatrix} \langle + | \hat{S}_z | + \rangle & \langle + | \hat{S}_z | - \rangle \\ \langle - | \hat{S}_z | + \rangle & \langle - | \hat{S}_z | - \rangle \end{pmatrix} = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \frac{\hbar}{2} \hat{\sigma}_z$$

$$\hat{S}_x = \frac{\hat{S}_+ + \hat{S}_-}{2}, \quad \hat{S}_y = \frac{\hat{S}_+ - \hat{S}_-}{2i} \quad \text{use M.R. of } \hat{S}_\pm$$

$$\hat{S}_+ \equiv \begin{pmatrix} \langle + | \hat{S}_+ | + \rangle & \langle + | \hat{S}_+ | - \rangle \\ \langle - | \hat{S}_+ | + \rangle & \langle - | \hat{S}_+ | - \rangle \end{pmatrix} \begin{matrix} \swarrow \text{see prev. page} \\ = \dots = \hbar \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \end{matrix}$$

$$\hat{S}_- \equiv \dots = \hbar \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

$$\Rightarrow \hat{S}_x = \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \frac{\hbar}{2} \hat{\sigma}_x$$

$$\hat{S}_y = \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} = \frac{\hbar}{2} \hat{\sigma}_y$$

$$\vec{\hat{S}} = \frac{\hbar}{2} \vec{\hat{\sigma}} = \frac{\hbar}{2} (\hat{\sigma}_x \vec{x} + \hat{\sigma}_y \vec{y} + \hat{\sigma}_z \vec{z})$$

## Ensembles and Expectation Values

$P_A$  defines the probability of obtaining  $a$ -value  $a_n$  of  $\hat{A}$  as the outcome of measurements of observable  $A$  on a system.

L> The statistical (probabilistic) interpretation relies on multiple measurements on an ensemble of systems identically prepared in state  $|\psi\rangle \rightarrow$  a "pure ensemble"

\* Expectation value of  $\hat{A}$  w.r.t. state  $|\psi\rangle$  :

$$\langle A \rangle = \langle \psi | \hat{A} | \psi \rangle$$

Meaning of  $\langle A \rangle$  :

$$\langle A \rangle = \langle \psi | \hat{A} | \psi \rangle = \langle \psi | \sum_i |a_i\rangle\langle a_i| \hat{A} \sum_j |a_j\rangle\langle a_j| | \psi \rangle$$

$$= \sum_{i,j} \langle \psi | a_i \rangle \langle a_i | \hat{A} | a_j \rangle \langle a_j | \psi \rangle$$

$$= \sum_{i,j} \langle \psi | a_i \rangle \langle a_i | a_j \rangle \langle a_j | \psi \rangle$$

$$= \sum_{i,j} \langle \psi | a_i \rangle \underbrace{\langle a_i | a_j \rangle}_{\delta_{ij}} \langle a_j | \psi \rangle$$

$$= \sum_i a_i \langle \psi | a_i \rangle \langle a_i | \psi \rangle =$$

$$= \sum_i a_i |\langle a_i | \psi \rangle|^2$$

$$= \sum_i a_i P(a_i) = \text{average (on the ensemble)}$$

$\Rightarrow$  The expectation value of an observable is the statistical average of the possible outcomes of measuring the observable on a pure ensemble (identically prepared systems).

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The Uncertainty Relation:

\* Define operator  $\Delta \hat{A} \equiv \hat{A} - \langle A \rangle$

\* Dispersion of A:  $\langle (\Delta A)^2 \rangle \equiv \langle \psi | (\Delta \hat{A})^2 | \psi \rangle$  in some state  $|\psi\rangle$

$$\langle (\Delta A)^2 \rangle = \langle (A - \langle A \rangle)^2 \rangle = \langle A^2 \rangle - \langle A \rangle^2$$

$$\langle (\Delta A)^2 \rangle \langle (\Delta B)^2 \rangle \geq \frac{1}{4} |\langle [A, B] \rangle|^2 \quad \text{UR (no proof } \rightarrow \text{ do at home)}$$

Position, Momentum, and Translation Operators

Position representation

$$\hat{X}(x) = x|x\rangle$$

$\psi(x) \equiv \langle x | \psi \rangle$  wavefunction in position representation

- any ket  $|\psi\rangle = \int dx |x\rangle \langle x | \psi \rangle \equiv \int dx |x\rangle \psi(x)$

- A selective measurement of <sup>the</sup> position of a particle will "collapse" the state  $|\psi\rangle$  of the system to within a small range of locations around the actual position  $x_0$ :

$$|\psi\rangle = \int dx |x\rangle \langle x | \psi \rangle \xrightarrow{\text{meas.}} |\psi\rangle_{\text{meas}} = \int_{x_0 - \delta/2}^{x_0 + \delta/2} dx |x\rangle \langle x | \psi \rangle$$

Dirac-sense normalization:  $\langle x | x' \rangle = \delta(x - x')$ ;  $\int dx \langle x | x \rangle = 1$   $\delta = \delta/2$   $\delta = \text{very small}$

\* Probability of localization at  $x = x_0$ :

$$dP(x_0) = |\langle x_0 | \psi \rangle|^2 dx_0 \quad (\text{with } \delta \equiv dx_0) \quad \begin{matrix} \text{probability} \\ \text{amplitude} \end{matrix}$$

\* Normalization (assuming  $\langle \psi | \psi \rangle = 1$ ):

$$\int dP(x) = \int |\langle x_0 | \psi \rangle|^2 dx_0 = 1 \quad \int |\psi(x)|^2 dx = 1$$

Position operators

3D:  $\hat{r}^2 |r\rangle = r^2 |r\rangle$ ;  $\hat{x} |r\rangle = x |r\rangle$ ;  $\hat{y} |r\rangle = y |r\rangle$ ;  $\hat{z} |r\rangle = z |r\rangle$ ;  $|\psi\rangle = \int d^3r |r\rangle \langle r | \psi \rangle$

$$[\hat{X}_i, \hat{X}_j] = 0$$

Expectation values:  $\langle X \rangle = \langle \psi | \hat{X} | \psi \rangle = \langle \psi | \hat{X} \int dx |x\rangle \langle x| \psi \rangle$   
 $= \int dx x \langle \psi | x \rangle \langle x | \psi \rangle = \int dx |\psi(x)|^2 x$

Spatial Translation Operator

$\hat{T}(d\vec{r}) | \vec{r}' \rangle = | \vec{r}' + d\vec{r} \rangle$  infinitesimal translation

$\hat{T}(d\vec{r}) | \psi \rangle = \hat{T}(d\vec{r}) \int_{\text{all space}} d\vec{r} | \vec{r} \rangle \langle \vec{r} | \psi \rangle = \int d\vec{r} \hat{T} | \vec{r} \rangle \langle \vec{r} | \psi \rangle$

$= \int d\vec{r} | \vec{r} + d\vec{r} \rangle \langle \vec{r} | \psi \rangle = \int d\vec{r} | \vec{r} \rangle \langle \vec{r} - d\vec{r} | \psi \rangle$

$\hat{T}(-d\vec{r}) | \vec{r} \rangle = | \vec{r} - d\vec{r} \rangle \Leftrightarrow [\hat{T}(d\vec{r})]^\dagger$   
 $\langle \vec{r} | [\hat{T}(-d\vec{r})]^\dagger = \langle \vec{r} - d\vec{r} |$

$\Rightarrow \hat{T}(d\vec{r}) | \psi \rangle = \int d\vec{r} | \vec{r} \rangle \langle \vec{r} | [\hat{T}(-d\vec{r})]^\dagger | \psi \rangle$

$\Rightarrow \hat{T}(d\vec{r}) \hat{T}(+d\vec{r}) | \psi \rangle = | \psi \rangle$

$= \hat{T}(-d\vec{r}) \int d\vec{r} | \vec{r} \rangle \langle \vec{r} | [\hat{T}(-d\vec{r})]^\dagger | \psi \rangle = \int d\vec{r} \hat{T}(-d\vec{r}) | \vec{r} \rangle \langle \vec{r} | [\hat{T}(-d\vec{r})]^\dagger | \psi \rangle$

$= \int d\vec{r} | \vec{r} - d\vec{r} \rangle \langle \vec{r} | [\hat{T}(-d\vec{r})]^\dagger | \psi \rangle = \int d\vec{r} | \vec{r} - d\vec{r} \rangle \langle \vec{r} - d\vec{r} | \psi \rangle$

$= | \psi \rangle$

$\Rightarrow \hat{T}(-d\vec{r}) \hat{T}(d\vec{r}) = \hat{T}(d\vec{r}) \hat{T}(-d\vec{r}) = \hat{1}$

$\Rightarrow \hat{T}(-d\vec{r}) = [\hat{T}(d\vec{r})]^{-1} = [\hat{T}(d\vec{r})]^\dagger$  (below)

i.e.  $\boxed{\hat{T}(d\vec{r}) [\hat{T}(d\vec{r})]^\dagger = [\hat{T}(d\vec{r})]^\dagger \hat{T}(d\vec{r}) = \hat{1}}$

$\hat{T}(d\vec{r})$  is unitary to conserve probability

to conserve probability, normalization

$\langle r | \hat{T}^\dagger \hat{T} | r \rangle = \langle r | \hat{T}^\dagger | r + dr \rangle = \langle r + dr | \hat{T} | r \rangle^* = \langle r + dr | r + dr \rangle = 1 = \langle r | r \rangle = \langle \hat{T}^\dagger \hat{T} = \hat{1} (= \hat{T} \hat{T}^\dagger)$



## Momentum as the generator of translations

ID:  $\hat{T}_1(dx) = \hat{1} - \frac{i}{\hbar} \hat{p}_x dx$ ,  $\hat{p}_x$  - generator of infinitesimal translations.

$$\hat{T}_1(dx)|x\rangle = |x+dx\rangle$$

Effect on  
wavefunction:

$$\psi_{\text{translated}}(x) = \langle x | \psi_{\text{transl}} \rangle = \langle x | \hat{T}_1(dx) | \psi_0 \rangle$$

Simpler

$$\hat{T}_\delta|x\rangle = |x+\delta\rangle$$

$$\hat{T}_\delta|x\rangle = |x-\delta\rangle$$

$$\Leftrightarrow \langle x | \hat{T}_\delta = \langle x-\delta |$$

$$\langle x | \hat{T}_\delta |\psi\rangle = \langle x-\delta | \psi \rangle = \psi(x-\delta)$$

$$= \langle x | \int d\xi |\xi\rangle \langle \xi| = \langle x | \hat{T}_1(dx) \int d\xi |\xi\rangle \langle \xi| \psi_0 \rangle$$

$$= \int d\xi \langle x | \hat{T}_1(dx) | \xi \rangle \langle \xi | \psi_0 \rangle$$

$$= \int d\xi \langle x | \xi + dx \rangle \langle \xi | \psi_0 \rangle = \int d\xi \delta(x - (\xi + dx)) \psi_0(\xi)$$

$$= \int d\xi \delta(\xi - (x - dx)) \psi_0(\xi) =$$

$$= \psi_0(x - dx) \rightarrow \text{propagation in the } \underline{+dx} \text{ direction}$$

Finite  
translation:

$$\hat{T}(a) = \lim_{N \rightarrow \infty} \left[ \hat{1} - \frac{i}{\hbar} \hat{p}_x \left( \frac{a}{N} \right) \right]^N = e^{-i(\hat{p}_x a)/\hbar}$$

$$\hat{p}_x^\dagger = \hat{p}_x \quad \text{Hermitian operator (use unitarity of } \hat{T} \text{ to prove)}$$

corresponds to observable " $p_x$ ".

$$[\hat{x}, \hat{p}_x] = i\hbar$$

$$[\hat{y}, \hat{p}_y] = i\hbar$$

$$[\hat{z}, \hat{p}_z] = i\hbar$$

Canonical commutation relations

$$[\hat{x}_i, \hat{p}_j] = i\hbar \delta_{ij}$$

$$[\hat{x}_i, \hat{p}_j] = i\hbar \delta_{ij} \quad | \quad [\hat{x}_i, \hat{x}_j] = 0$$

## The Momentum Operator in Position Representation

\* Basis :  $\{|x\rangle\}_{x \in (-\infty, \infty)}$  :  $\hat{X}|x\rangle = x|x\rangle$ ,  $x \in (-\infty, \infty)$

\* Infinitesimal translation:

$$\begin{aligned} \hat{T}_1(\delta x)|\psi\rangle &= \hat{T}_1(\delta x)\hat{1}|\psi\rangle = \hat{T}_1(\delta x)\int_{-\infty}^{\infty} dx|x\rangle\langle x|\psi\rangle = \\ &= \int_{-\infty}^{\infty} dx \hat{T}_1(\delta x)|x\rangle\langle x|\psi\rangle = \int_{-\infty}^{\infty} dx |x+\delta x\rangle\psi(x) = \int dx'|x'\rangle\psi(x'-\delta x) \end{aligned}$$

Taylor expand :  $\psi(x'-\delta x) \approx \psi(x') - \partial_{x'}\psi(x')\delta x + \frac{1}{2}\partial_{x'}^2\psi(x')\delta x^2 - \dots$

$$\begin{aligned} \Rightarrow \hat{T}_1(\delta x)|\psi\rangle &\approx \int dx'|x'\rangle \left( \psi(x') - \partial_{x'}\psi(x')\delta x \right) = \\ &= \int dx'|x'\rangle\langle x'|\psi\rangle - \int dx'|x'\rangle\partial_{x'}\langle x'|\psi\rangle\delta x \end{aligned}$$

in  
"operational"  
form

$$\begin{aligned} &= \hat{1}|\psi\rangle - \int dx'|x'\rangle\partial_{x'}\langle x'|\psi\rangle\delta x \\ &\stackrel{1}{=} \left( \hat{1} - \int dx'|x'\rangle\delta x\partial_{x'}\langle x'| \right)|\psi\rangle \\ &\stackrel{2}{=} \left( \hat{1} - \frac{i}{\hbar}\hat{p}_x\delta x \right)|\psi\rangle \end{aligned} \quad \Rightarrow$$

$$\Rightarrow \int dx'|x'\rangle\partial_{x'}\langle x'| = \frac{i}{\hbar}\hat{p}_x \quad \left| \frac{\hbar}{i} \right| |\psi\rangle$$

$$\begin{aligned} \Rightarrow \hat{p}_x|\psi\rangle &= -i\hbar \int dx'|x'\rangle\partial_{x'}\langle x'|\psi\rangle \\ &= -i\hbar \int dx'|x'\rangle\partial_{x'}\psi(x') \end{aligned}$$

① Action of  $\hat{p}_x$  operator in the position representation

$$\Rightarrow \hat{p}_x \equiv \int dx|x\rangle\langle x|(-i\hbar\partial_x)\langle x| \quad \left. \begin{array}{l} \text{Analogous to} \\ \text{spectral decomp.} \end{array} \right\} \text{differential op.}$$

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In position space:

Hit with  $\langle x |$ :

$$\begin{aligned} \langle x | \hat{p}_x | \psi \rangle &= -i\hbar \int_{-\infty}^{\infty} dx' \langle x | x' \rangle \partial_{x'} \psi(x') \\ &= -i\hbar \int dx' \delta(x-x') \partial_{x'} \psi(x') \quad (2) \\ &= -i\hbar \partial_x \psi(x) = -i\hbar \partial_x \langle x | \psi \rangle \end{aligned}$$

Exp. value of  $\hat{p}_x$  in state  $|\psi\rangle$ :

$$\begin{aligned} \langle p_x \rangle_{\psi} &= \langle \psi | \hat{p}_x | \psi \rangle \stackrel{(1)}{=} i\hbar \int dx' \langle \psi | x' \rangle \partial_{x'} \langle x' | \psi \rangle \\ &= \langle \psi | \left( -i\hbar \int dx' | x' \rangle \partial_{x'} \langle x' | \right) | \psi \rangle = \\ &= -i\hbar \int dx' \langle \psi | x' \rangle \partial_{x'} \langle x' | \psi \rangle \\ &= -i\hbar \int dx' \psi^*(x') \partial_{x'} \psi(x') \\ &= \langle \psi | \left( -i\hbar \partial_x \right) | \psi \rangle = \langle -i\hbar \partial_x \rangle_{\psi} \quad (3) \end{aligned}$$

(1)-(3)  $\Rightarrow$   $\hat{p}_x = -i\hbar \partial_x$  in position representation (hans)

$$\int_{-\infty}^{\infty} dx e^{i(k-k')x} = 2\pi\hbar \delta(k-k')$$

Normalization for  $\phi_p(x) = \langle x | p \rangle$ :

$$\begin{aligned} \delta(p-p') &\stackrel{(1)}{=} \langle p' | p \rangle = \int_{-\infty}^{\infty} dx \phi_{p'}^*(x) \phi_p(x) \\ &= |N|^2 \int_{-\infty}^{\infty} dx e^{i(p-p')x/\hbar} \end{aligned}$$

$N = \frac{1}{\sqrt{2\pi\hbar}}$

Momentum Representation

ID:

\* Basis  $\{|p\rangle\}_{p \in (-\infty, \infty)}$  :  $\hat{p}_x |p\rangle = p |p\rangle$

\* Any state :  $|\psi\rangle = \int_{-\infty}^{\infty} dp |p\rangle \langle p|\psi\rangle$

\* Dirac-sense orthonormality :  $\langle p'|p\rangle = \delta(p-p')$

$$\int_{-\infty}^{\infty} dp' \langle p'|p\rangle = \int_{-\infty}^{\infty} dp' \delta(p-p') = 1$$

\* Any normalized state :

$$\begin{aligned} \langle \psi|\psi\rangle &= 1 = \langle \psi|\psi\rangle = \langle \psi|\int dp |p\rangle \langle p|\psi\rangle = \int dp \langle \psi|p\rangle \langle p|\psi\rangle \\ &= \int dp \psi^*(p) \psi(p) = \int dp |\psi(p)|^2 \end{aligned}$$

$$d\mathcal{P}(p, p+dp) = |\langle p|\psi\rangle|^2 dp = |\psi(p)|^2 dp$$

\* Momentum-space wavefunction :  $\psi(p) \equiv \langle p|\psi\rangle$

\* Wavefunction of momentum state in position representation :

~~$\langle p'|p\rangle$~~

$$|p\rangle = \hat{1}|p\rangle = \int dx |x\rangle \langle x|p\rangle$$

$$\langle p'|p\rangle = \langle p'|\int dx |x\rangle \langle x|p\rangle = \int dx \underbrace{\langle p'|x\rangle}_{\phi_{p'}^*(x)} \underbrace{\langle x|p\rangle}_{\phi_p(x)}$$

$$\equiv \int dx \phi_{p'}^*(x) \phi_p(x) = \int dx e^{-i(p'-p)x/\hbar} = \frac{1}{2\pi\hbar} \int dx e^{i(p-p')x/\hbar}$$

$$= \delta(p-p') \text{ as required}$$

$$\begin{aligned} \langle x|\hat{p}_x|p\rangle &= p \langle x|p\rangle \equiv p \phi_p(x) \\ &= -i\hbar \partial_x \langle x|p\rangle \equiv -i\hbar \partial_x \phi_p(x) \end{aligned}$$

$-i\hbar \partial_x \phi_p(x) = p \phi_p(x)$

$\phi_p(x) = \frac{e^{iPx/\hbar}}{\sqrt{2\pi\hbar}}$

Dirac-sense Norm. Const.

$$\phi_p(x) \equiv \langle x | p \rangle = \frac{e^{ipx/\hbar}}{\sqrt{2\pi\hbar}}$$

Momentum-state wavefunction in position representation, normalized.

De Broglie:  $p = \hbar k = h/\lambda$   
 "particle" ↔ "wave"

Significance of  $\langle x | p \rangle$ :

$\langle x | p \rangle$  "switches" between position- and momentum-representation

$$\begin{aligned} \Psi(p) \equiv \langle p | \Psi \rangle &= \int dx \langle p | x \rangle \langle x | \Psi \rangle = \int dx \frac{e^{-ipx/\hbar}}{\sqrt{2\pi\hbar}} \Psi(x) \\ &= (1/\sqrt{2\pi\hbar}) \int dx \Psi(x) e^{-ipx/\hbar} = FT \{ \Psi(x) \} \end{aligned}$$

$$\begin{aligned} \Psi(x) \equiv \langle x | \Psi \rangle &= \int dp \langle x | p \rangle \langle p | \Psi \rangle = \int dp \frac{e^{+ipx/\hbar}}{\sqrt{2\pi\hbar}} \Psi(p) \\ &= (1/\sqrt{2\pi\hbar}) \int dp \Psi(p) e^{+ipx/\hbar} = FT^{-1} \{ \Psi(p) \} \end{aligned}$$

$\Psi(p)$  and  $\Psi(x)$  are a Fourier pair  
 ↳ QM 'knows' about FT!

Application

Heisenberg Uncertainty Principle

Since  $[\hat{x}, \hat{p}_x] = i\hbar \Rightarrow \dots \Rightarrow (\Delta p)(\Delta x) \geq \hbar/2$

Application

Gaussian Wave Packets (read)

$dP_{x, x+\delta x} = dx |\phi_p(x)|^2 = \frac{dx}{2\pi\hbar}$  ; Also  $\Delta p = 0$  ( $\langle p \rangle_p^2 = \langle p^2 \rangle_p = p^2$ )  
 $\Rightarrow \Delta x = \infty$

Free particle  $\rightarrow$  wave  $\langle \Psi | \Psi \rangle = 1$ , superposition  $\rightarrow$  Wave Packet

$\Psi(x)_G = \langle x | \Psi \rangle = \frac{e^{-x^2/2a^2}}{a\pi^{1/4}}$  ,  $(1 = \int dx |\Psi(x)|^2)$

$\Rightarrow (\Delta x)_G = \frac{a}{\sqrt{2}}$  ,  $(\Delta p)_G = \frac{\hbar}{a\sqrt{2}}$  }  $\Rightarrow (\Delta p)(\Delta x) = \frac{\hbar}{2}$

Physical significance of  $\Psi$ :

# Ch. 4 Time Evolution (Quantum Dynamics)

Time-evolution operator:  $\hat{U}(t)$  s.t.  $\boxed{\hat{U}(t)|\psi_0\rangle = |\psi(t)\rangle}$

Cons. of probability  $\leftrightarrow$  unitarity:  $\hat{U}(t-t_0)$  with  $t_0 \rightarrow 0$

$1 = \langle \psi(t) | \psi(t) \rangle = \langle \psi_0 | \psi_0 \rangle$   
 $\Rightarrow \langle \psi_0 | \hat{U}^\dagger \hat{U} | \psi_0 \rangle = \langle \psi_0 | \psi_0 \rangle \Rightarrow \boxed{\hat{U}^\dagger(t) \hat{U}(t) = 1}$

unitary op.

Generator of time translations:  $\hat{H}$  s.t.

$\hat{U}(dt) = 1 - \frac{i}{\hbar} \hat{H} dt \rightarrow$  infinitesimal time shift

$\frac{d\hat{U}}{dt} = \frac{\hat{U}(t+dt) - \hat{U}(t)}{dt} = \frac{\hat{U}(dt)\hat{U}(t) - \hat{U}(t)}{dt}$

$= \frac{(\hat{U}(dt) - 1)\hat{U}(t)}{dt} \approx -\frac{i}{\hbar} \hat{H} \hat{U}(t)$

or  $\hat{U}(t)\hat{U}(t')$   
and use  $[\hat{H}, \hat{U}] = 0$

$\Rightarrow \boxed{i\hbar \frac{d\hat{U}(t)}{dt} = \hat{H} \hat{U}(t)} \Big| \psi_0 \rangle \text{ (Apply to } |\psi_0\rangle \text{ :)}$

$i\hbar \frac{d}{dt} \underbrace{\hat{U}(t)|\psi_0\rangle}_{|\psi(t)\rangle} = \hat{H} \underbrace{\hat{U}(t)|\psi_0\rangle}_{|\psi(t)\rangle}$

$\Rightarrow \boxed{i\hbar \frac{d}{dt} |\psi(t)\rangle = \hat{H} |\psi(t)\rangle} \quad \underline{\text{T D S E}} \quad \text{fully describes the evolution of a system}$

Finite time shift  $t = Ndt$ ,  $N \rightarrow \infty$ :

$\hat{U}(t) = \lim_{N \rightarrow \infty} [\hat{U}(dt)]^N = \lim_{N \rightarrow \infty} \left[ 1 - \frac{i}{\hbar} \hat{H} dt \right]^N = \lim_{N \rightarrow \infty} \left[ 1 - \frac{i}{\hbar} \hat{H} \frac{t}{N} \right]^N \rightarrow$

$\Rightarrow \boxed{\hat{U}(t) = e^{-i\hat{H}t/\hbar}} = \sum_{n=0}^{\infty} \frac{1}{n!} \left( -\frac{i\hat{H}t}{\hbar} \right)^n$

$\hat{H} = \hat{U}^\dagger \hat{U} = e^{i\frac{\hat{H}^\dagger - \hat{H}}{\hbar}t} \Rightarrow \boxed{\hat{H}^\dagger = \hat{H} \text{ Hermitian op}}$   
 Hamiltonian

↳ total energy of the system

Significance of  $\hat{H}$ :

$\langle H \rangle_{(t)} = \langle \psi(t) | \hat{H} | \psi(t) \rangle$   
 $= \langle \psi_0 | \hat{U}^\dagger \hat{H} \hat{U} | \psi_0 \rangle = \langle \psi_0 | \hat{U}^\dagger \hat{U} \hat{H} | \psi_0 \rangle$   
 $= \langle \psi_0 | \hat{H} | \psi_0 \rangle = \langle H \rangle_0$   
 time invariance of exp. value of  $H$

\* Eigenstates of the Hamiltonian:

$\hat{H} |E\rangle = E |E\rangle$  - energy eigenstates

$e^{-i\hat{H}t/\hbar} |E\rangle = \sum_{n=0}^{\infty} \frac{1}{n!} (-i\hat{H}t/\hbar)^n |E\rangle = \dots = e^{-iEt/\hbar} |E\rangle$   
 only when  $e^{-i\hat{H}t/\hbar}$  acts on an energy  $e$ -state!

Appl: \* Initial state  $|\psi_0\rangle = |E\rangle$

$\Rightarrow |\psi(t)\rangle = \hat{U}(t) |\psi_0\rangle = \hat{U}(t) |E\rangle$   
 $= e^{-i\hat{H}t/\hbar} |E\rangle = e^{-iEt/\hbar} |E\rangle$

just an overall phase factor  $= e^{-i\varphi}$   
 $= |\psi_0\rangle$  state properties are preserved

\* Energy  $e$ -states  $|E\rangle$  are stationary states

Q: So what makes things interesting? A:  $|\psi_0\rangle = \sum a_i |E_i\rangle$  superposition of stationary states

## Time Dependence of Expectation Values

$$\frac{d}{dt} \langle A \rangle = \frac{d}{dt} \langle \psi(t) | \hat{A} | \psi(t) \rangle =$$

$$= \left( \frac{d}{dt} \langle \psi(t) | \right) \hat{A} | \psi(t) \rangle + \langle \psi(t) | \frac{\partial \hat{A}}{\partial t} | \psi(t) \rangle + \langle \psi(t) | \hat{A} \left( \frac{d}{dt} | \psi(t) \rangle \right)$$

(TDSE)

$$= \left( \frac{-i}{\hbar} \langle \psi(t) | \hat{H} \right) \hat{A} | \psi(t) \rangle + \left\langle \frac{\partial \hat{A}}{\partial t} \right\rangle + \left( \frac{i}{\hbar} \hat{H} | \psi(t) \rangle \right)$$

$$= \frac{i}{\hbar} \langle [\hat{H}, \hat{A}] \rangle + \left\langle \frac{\partial \hat{A}}{\partial t} \right\rangle$$

↳ explicit time dependence

Application Precession of spin-1/2 particle in a uniform magnetic field

Interaction Hamiltonian:  $\hat{H} = -\vec{\mu} \cdot \vec{B} = -\frac{gQ}{2mc} \vec{S} \cdot \vec{B}$

↓ electron

$$\rightarrow = \frac{-g(-e)}{2mc} \hat{S}_z B_0 = \omega_0 \hat{S}_z = \frac{\hbar \omega_0}{2} \hat{T}_z$$

si:  $\vec{\mu} = g \frac{Q}{2m} \vec{S}$

for electron  
g=2

$$\hat{H} | \pm z \rangle = \omega_0 \hat{S}_z | \pm z \rangle = \pm \frac{\hbar \omega_0}{2} | \pm z \rangle = E_{\pm} | \pm z \rangle$$

$$| \psi(t) \rangle =$$

As time goes on:  $U(t) | \psi_0 \rangle = e^{-i\hat{H}t/\hbar} | \psi_0 \rangle =$

- for electron (g=-e/c):

$$= e^{-i\omega_0 \hat{S}_z t/\hbar} | \psi_0 \rangle = e^{-i\omega_0 t \hat{T}_z/2} | \psi_0 \rangle$$

$\vec{B}_0 \uparrow$  —  $E_+ = +\frac{1}{2}\omega_0$  ( $\vec{S} \uparrow \vec{B}_0$ )

$\vec{B}_0 \downarrow$  —  $E_- = -\frac{1}{2}\omega_0$  ( $\vec{S} \downarrow \vec{B}_0$ )

$$\vec{\mu}_e = -\frac{e}{m} \vec{S}; \hat{H} = +\frac{e}{m} B_0 \hat{S}_z$$

$$= \hat{R}(\phi, \vec{z}) | \psi_0 \rangle \equiv \hat{R}_z(\phi) | \psi_0 \rangle$$

↳ i.e. rotation (CCW) with phase angle  $\phi = \omega_0 t = \phi(t)$



Let initial state be  $| \psi_0 \rangle = | +z \rangle$  (e.g. prepared with an SG<sub>x</sub> device)

At later time  $t$ :

$$| \psi(t) \rangle = U(t) | \psi_0 \rangle = e^{-i\hat{H}t/\hbar} | +z \rangle = e^{-i\hat{H}t/\hbar} \left( \frac{1}{\sqrt{2}} | +z \rangle + \frac{1}{\sqrt{2}} | -z \rangle \right)$$

$$= \frac{1}{\sqrt{2}} e^{-i\hat{H}t/\hbar} | +z \rangle + \frac{1}{\sqrt{2}} e^{-i\hat{H}t/\hbar} | -z \rangle$$

$$= \frac{1}{\sqrt{2}} e^{-i\omega_0 t/2} | +z \rangle + \frac{1}{\sqrt{2}} e^{-i\frac{\omega_0 t}{\hbar} \hat{S}_z} | -z \rangle + \frac{1}{\sqrt{2}} e^{-i\frac{\omega_0 t}{\hbar} \hat{S}_z} | -z \rangle$$

Taylor

$$= \frac{1}{\sqrt{2}} \sum_{n=0}^{\infty} \frac{1}{n!} \left( -i\frac{\omega_0 t}{\hbar} \right)^n \hat{S}_z^n | +z \rangle + \frac{1}{\sqrt{2}} \sum_{n=0}^{\infty} \frac{1}{n!} \left( -i\frac{\omega_0 t}{\hbar} \right)^n \hat{S}_z^n | -z \rangle$$

$$= \dots = \frac{1}{\sqrt{2}} e^{-i\frac{\omega_0 t}{2}} | +z \rangle + \frac{1}{\sqrt{2}} e^{+i\frac{\omega_0 t}{2}} | -z \rangle$$

$$\left( = \frac{1}{\sqrt{2}} e^{-iE_+ t/\hbar} | +z \rangle + \frac{1}{\sqrt{2}} e^{-iE_- t/\hbar} | -z \rangle \right)$$

$$= \frac{1}{\sqrt{2}} e^{-iE_+ t/\hbar} \left( | +z \rangle + e^{i(E_+ - E_-)t/\hbar} | -z \rangle \right)$$

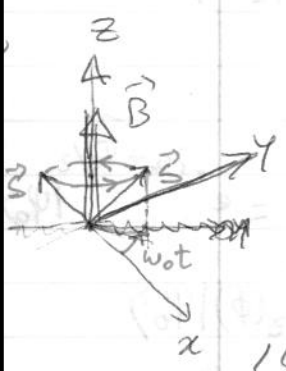
$$= \frac{1}{\sqrt{2}} e^{-i\omega_0 t/2} \left( | +z \rangle + e^{i\omega_0 t} | -z \rangle \right)$$

small phase factor

Probabilities and expectation values of  $S_x, S_y, S_z$ :

**z-axis:** "spin-up":  $P_{|+z\rangle} = | \langle +z | \psi(t) \rangle |^2 = \frac{1}{2} = \text{const}$   
 "spin-down":  $P_{|-z\rangle} = | \langle -z | \psi(t) \rangle |^2 = \frac{1}{2} = \text{const}$   
 $\langle S_z \rangle_t = 0$

**x-y plane**



$$P_{|+x\rangle} = | \langle +x | \psi(t) \rangle |^2 = \dots = \cos^2 \frac{\omega_0 t}{2}$$

$$P_{|-x\rangle} = | \langle -x | \psi(t) \rangle |^2 = \dots = \sin^2 \frac{\omega_0 t}{2}$$

$$P_{|+y\rangle} = | \langle +y | \psi(t) \rangle |^2 = \dots = \frac{1}{2} (1 + \sin \omega_0 t)$$

$$P_{|-y\rangle} = | \langle -y | \psi(t) \rangle |^2 = \dots = \frac{1}{2} (1 - \sin \omega_0 t)$$

$$\langle S_x \rangle_t = (\hbar/2) \cos \omega_0 t \rightarrow e^{i\omega_0 t} \text{ e.c.c.}$$

$$\langle S_y \rangle_t = (\hbar/2) \sin \omega_0 t \rightarrow e^{i(\omega_0 t + \pi/2)} \text{ e.c.c.}$$

$$\langle S_z \rangle_t = \langle \psi(t) | \hat{S}_z | \psi(t) \rangle = \dots = \frac{\hbar}{2} \cos \omega_0 t$$

Precession in x-y plane

$$\langle S_y \rangle_t = \langle \psi(t) | \hat{S}_y | \psi(t) \rangle = \dots = \frac{\hbar}{2} \sin \omega_0 t$$

Expectation values:  $\langle \dot{\cdot} \rangle \equiv \frac{d}{dt} \langle \cdot \rangle$

$[\hat{H}, \hat{S}_z] = 0$   
symmetry op.

$$\langle \dot{S}_z \rangle = \frac{i}{\hbar} \langle [\hat{H}, \hat{S}_z] \rangle + \langle \hat{S}_z / dt \rangle = \frac{i}{\hbar} \langle [\hat{H}, \hat{S}_z] \rangle = 0$$

$$= \frac{i}{\hbar} \langle [\omega_0 \hat{S}_z, \hat{S}_z] \rangle = 0 \Rightarrow \langle S_z \rangle = \text{constant} \checkmark$$

constant of motion  $\leftarrow [\hat{H}, \hat{S}_z] = 0$

$$\langle \dot{S}_x \rangle = \frac{i}{\hbar} \langle [\hat{H}, \hat{S}_x] \rangle = \frac{i}{\hbar} \langle [\omega_0 \hat{S}_z, \hat{S}_x] \rangle = \frac{i}{\hbar} \omega_0 \langle [\hat{S}_z, \hat{S}_x] \rangle$$

$$= -\omega_0 \langle \hat{S}_y \rangle \neq 0 \quad ([\hat{H}, \hat{S}_x] \neq 0)$$

$$\langle \dot{S}_y \rangle = \dots = \omega_0 \langle \hat{S}_x \rangle \neq 0 \quad ([\hat{H}, \hat{S}_y] \neq 0)$$

$$\langle \ddot{S}_x \rangle = -\omega_0 \langle \dot{S}_y \rangle = -\omega_0^2 \langle S_x \rangle$$

$$\langle \ddot{S}_x \rangle + \omega_0^2 \langle S_x \rangle = 0 \Rightarrow \begin{cases} \langle S_x \rangle_t = A e^{-i\omega_0 t} \\ \langle S_y \rangle_t = iA e^{-i\omega_0 t} + B \end{cases}$$

Energy-Time "Uncertainty" Relation:  $\boxed{(\Delta E)(\Delta t) \geq \hbar/2}$

↑ uncertainty  $\rightarrow$  "evolutionary" time

$$\Delta E = \sqrt{\langle E^2 \rangle - \langle E \rangle^2}$$

$$\langle E^2 \rangle = \langle \psi(t) | \hat{H} \cdot \hat{H} | \psi(t) \rangle = \langle \psi(t) | \omega_0^2 \hat{S}_z^2 | \psi(t) \rangle = \omega_0^2 \langle \psi(t) | \hat{S}_z^2 | \psi(t) \rangle$$

$$= \frac{\omega_0^2}{2} \left[ \langle +z | +z \rangle e^{-i\omega_0 t} \langle -z | \hat{S}_z^2 (|+z\rangle + e^{+i\omega_0 t} | -z \rangle) \right] =$$

$$= \frac{\omega_0^2}{2} \left[ \langle +z | \hat{S}_z^2 | +z \rangle + \langle -z | \hat{S}_z^2 | -z \rangle \right] = \frac{\omega_0^2}{2} \left[ \frac{\hbar^2}{4} + \frac{\hbar^2}{4} \right] = \frac{\omega_0^2 \hbar^2}{4}$$

$$\langle E \rangle = \langle \psi(t) | \hat{H} | \psi(t) \rangle = \omega_0 \langle \psi | \hat{S}_z | \psi \rangle = \frac{\omega_0}{2} \left( \frac{\hbar}{2} - \frac{\hbar}{2} \right) = 0$$

$$\Rightarrow \boxed{\Delta E = \frac{\omega_0 \hbar}{2}} \Rightarrow \boxed{\Delta t \geq \frac{\hbar/2}{(\omega_0 \hbar/2)} = \frac{1}{\omega_0}} \rightarrow \text{precession period } T = \frac{2\pi}{\omega_0}$$

$\rightarrow$  time scale of spin dynamics.

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Driving (oscillating) field  $\vec{B}(t)$  induces an magnetic dipole which generates oscillatory perturbation  $\rightarrow$  absorption / emission of energy

Magnetic Resonance: Electron Spin Resonance  
- for unpaired electrons

$$\vec{B} = B_0 \vec{e}_z \Rightarrow \hat{H} = -\vec{\mu} \cdot \vec{B} = -\mu_B B_0 \hat{S}_z, \quad \omega_0 = \frac{g\mu_B}{\hbar} B_0$$

$[\hat{H}, \hat{S}_z] = 0 \Rightarrow \{| \pm z \rangle\}$  are stationary states (and simultaneous e-kets) corresp. to e-values  $E_{\pm} = \pm \hbar \omega_0 / 2$ .

Goal: Measure precession frequency  $\omega_0$  as  $\omega_0 = \frac{E_+ - E_-}{\hbar}$

Method: Induce resonant transitions between  $|+z\rangle$  and  $|-z\rangle$  states typically  $\mu$ wave 9-10GHz,  $B_0 \sim 0.35T$  ( $\approx 3500G$ )

Approach: Add oscillatory B-field to  $B_0$ :  $\vec{B}(t) = B_0 \vec{e}_z + B_1 \cos \omega t \vec{e}_x$   
( $B_1 \ll B_0$ )

$$\rightarrow \boxed{\hat{H} = -\vec{\mu} \cdot \vec{B} = \omega_0 \hat{S}_z + \omega_1 \hat{S}_x \cos \omega t} \quad \omega_1 = \frac{g\mu_B}{\hbar} B_1$$

$\hat{H} = \hat{H}(t)!$       ①

TDSE:  $\hat{H}|\psi(t)\rangle = i\hbar d/dt |\psi(t)\rangle$  ②      Work in  $S_z$  basis

Initial cond.:  $| \psi_0 \rangle = | +z \rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ . Let  $|\psi(t)\rangle = \begin{pmatrix} a(t) \\ b(t) \end{pmatrix}$  TBD

$$\hat{S}_x = \hbar/2 \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}; \quad \hat{S}_z = \hbar/2 \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\Rightarrow \textcircled{2}: \quad \hbar/2 \begin{pmatrix} \omega_0 & \omega_1 \cos \omega t \\ \omega_1 \cos \omega t & -\omega_0 \end{pmatrix} \begin{pmatrix} a(t) \\ b(t) \end{pmatrix} = i\hbar \begin{pmatrix} \dot{a}(t) \\ \dot{b}(t) \end{pmatrix} \quad \textcircled{3}$$

$$B_1 \ll B_0 \Rightarrow \omega_1 \ll \omega_0$$

Start with  
if  $\omega_1 = 0$   
( $B_1 = 0$ )

$$\text{TDSE} \Rightarrow \hbar/2 \begin{pmatrix} \omega_0 & 0 \\ 0 & -\omega_0 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = i\hbar \begin{pmatrix} \dot{a} \\ \dot{b} \end{pmatrix} \Rightarrow \dots \Rightarrow \begin{cases} \dot{a} + i(\omega_0/2)a = 0 \\ \dot{b} - i(\omega_0/2)b = 0 \end{cases}$$

$$\Rightarrow \begin{cases} a(t) = a_0 e^{-i\omega_0 t/2} \\ b(t) = b_0 e^{+i\omega_0 t/2} \end{cases}$$

known: spin precession (Larmor)  
for  $\vec{B} = B_0 \vec{e}_z = \text{const}$

Then,   
 \* for  $\omega_1 > 0$  try sol. of the form:

$$|\psi'(t)\rangle := \hat{R}_z^+(\omega_0 t) |\psi(t)\rangle$$

$$\begin{pmatrix} C(t) \\ D(t) \end{pmatrix} = \hat{R}_z^+(\omega_0 t) \begin{pmatrix} a(t) \\ b(t) \end{pmatrix}$$

$$\begin{cases} a(t) = C(t) e^{-i\omega_0 t/2} \\ b(t) = D(t) e^{+i\omega_0 t/2} \end{cases}$$

i.e. change to rotating frame

$C(t), D(t) = ?$    
 $\Rightarrow$   $C(t), D(t) \sim e^{i\omega_1 t}$  ( $\omega_1 \ll \omega_0$ )

TDSE:

$$\textcircled{3} : i \begin{pmatrix} \dot{C}(t) \\ \dot{D}(t) \end{pmatrix} = \frac{\omega_1}{2} \omega_0 t \begin{pmatrix} D(t) e^{i\omega_0 t} \\ C(t) e^{-i\omega_0 t} \end{pmatrix} = \frac{\omega_1}{4} \begin{pmatrix} D(t) [e^{i(\omega_0+\omega_1)t} + e^{i(\omega_0-\omega_1)t}] \\ C(t) [e^{i(\omega_0-\omega_1)t} + e^{i(\omega_0+\omega_1)t}] \end{pmatrix}$$

\* time scale of  $C(t), D(t) \propto \tau \sim \omega_1^{-1} (\ll \omega_0^{-1})$  low freq.

$$\left\{ e^{i(\omega_0-\omega_1)t}, e^{-i(\omega_0+\omega_1)t} \right\} \times e^{\pm i\omega_1 t}$$

average out to zero unless  $\omega \approx \omega_0$  (resonance)

Also for  $\omega \approx \omega_0$  we have:

Bottomlines for  $\omega \approx \omega_0$ :   
 $\left\{ e^{\pm i(\omega_0+\omega_1)t} \right\} \ll \left\{ e^{\pm i(\omega_0-\omega_1)t} \right\}$    
 $\Rightarrow e^{i(\omega_0+\omega_1)t}$  much faster than  $e^{i(\omega_0-\omega_1)t}$    
 (Rotating-wave approximation)  $\Rightarrow \langle e^{i(\omega_0+\omega_1)t} \rangle \sim 0$    
 $\Rightarrow$  neglect  $\omega_0 + \omega_1$  terms   
 $\Rightarrow$  at  $|\omega| = \omega_0$ :  $e^{\pm 2i\omega_0 t} \rightarrow 0, e^{\pm i(\omega_0-\omega_0)t} \rightarrow 1$

TDSE

$$i \begin{pmatrix} \dot{C} \\ \dot{D} \end{pmatrix} \approx \frac{\omega_1}{4} \begin{pmatrix} D \\ C \end{pmatrix} \quad \left| \frac{d}{dt} \right. \rightarrow \begin{pmatrix} \ddot{C} \\ \ddot{D} \end{pmatrix} = -\left(\frac{\omega_1}{4}\right)^2 \begin{pmatrix} C \\ D \end{pmatrix}$$

i.c.

$$\begin{matrix} C(0) = 1 \\ D(0) = 0 \end{matrix} \quad i|\psi_0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \Rightarrow \begin{cases} C(t) = \cos\left(\frac{\omega_1 t}{4}\right) \\ D(t) = -i \sin\left(\frac{\omega_1 t}{4}\right) \end{cases}$$

Probabilities

$$P_{-z} = |\langle -z | \psi(t) \rangle|^2 = b^*(t) b(t) = D^*(t) D(t) = \sin^2 \frac{\omega_1 t}{4} \quad (\text{flip})$$

$$P_{+z} = \cos^2 \frac{\omega_1 t}{4}$$

$$P_{-z} + P_{+z} = 1$$

Electron Spin Resonance: Population & Energy Exchange Resonance + Relaxation

Book: Slichter Principles of Magnetic Resonance Ch. 1 (p. 6)

$$\hat{H} = -\hat{\mu} \cdot \vec{B} = -\frac{g\mu_B}{2m} \hat{S} \cdot \vec{B} = \frac{e\hbar}{m_e} \hat{S} \cdot \vec{B} = \frac{e\hbar}{m_e} \hat{S} \cdot (\vec{B}_1 \cos \omega t + \vec{B}_0)$$

$$\hat{H} = \frac{e\hbar}{m_e} \hat{S}_x B_1 \cos \omega t + \frac{e\hbar}{m_e} \hat{S}_z B_0 \equiv \omega_0 \hat{S}_z + (\omega_1 \cos \omega t) \hat{S}_x$$

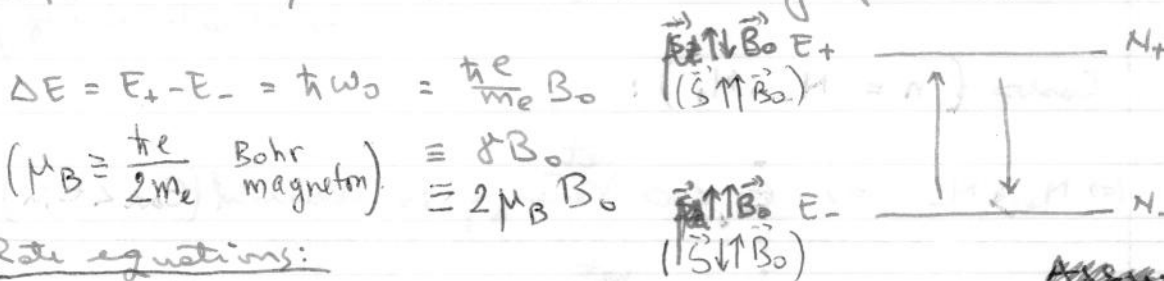
$$\omega_0 \equiv (e\hbar/m_e) B_0, \quad \omega_1 \equiv (e\hbar/m_e) B_1$$

Adopted from Slichter, "Principles of Magnetic Resonance" (Sec. 1.3, p. 4) for ESR instead of NMR

let  $\hat{H}_0 \equiv \omega_0 \hat{S}_z$   
 $\hat{H}_1 \equiv \omega_1 \hat{S}_x \cos \omega t$   
 $\Rightarrow \hat{H} = \hat{H}_0 + \hat{H}_1$

EVP  $\hat{H}_0 | \pm z \rangle = \pm \frac{\hbar \omega_0}{2} | \pm z \rangle \equiv E_{\pm} | \pm z \rangle$

Population dynamics under rotating field  $\vec{B}_1$ :



$$\Delta E = E_+ - E_- = \hbar \omega_0 = \frac{\hbar e}{m_e} B_0$$

$$\left( \mu_B \equiv \frac{\hbar e}{2m_e} \text{ Bohr magneton} \right) \equiv \gamma B_0 \equiv 2\mu_B B_0$$

Rate equations:

$$\begin{cases} \dot{N}_+ = -k_{+-} N_+ + k_{-+} N_- \\ \dot{N}_- = -k_{-+} N_- + k_{+-} N_+ \end{cases} \quad k_{\pm\mp} = \frac{\text{probability}}{\text{time}} : k_{+-} \equiv k_{-+} \equiv k$$

Work on  $\dot{N}_+$  equation:  $\Rightarrow \begin{cases} \dot{N}_+ = -k(N_+ - N_-) \text{ (1) relaxation equation} \\ \dot{N}_- = -k(N_- - N_+) \text{ (2) equation} \end{cases}$

Work on  $\dot{N}_+$  equation: let  $n \equiv -N_+ + N_-$ ;  $N \equiv N_+ + N_-$   $\dot{N} = 0$  (total population is constant)

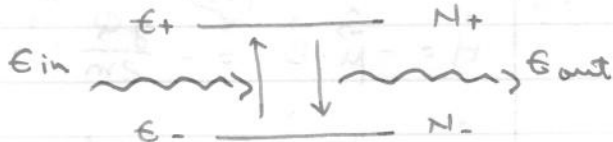
$\Rightarrow N_{\pm} = (N \mp n) / 2 \Rightarrow E_{\pm} \text{ (1) becomes:}$

$$\begin{cases} \frac{1}{2} (\dot{N} + \dot{n}) = +kn \\ \text{but } \dot{N} = 0 \text{ (conservation of total nr of particles)} \end{cases} \Rightarrow \dot{n} = -2kn \text{ (3)}$$

$\Rightarrow \text{sol: } n(t) = n_0 e^{-2kt} \text{ (4)}$

lim  $n(t) = 0 \Rightarrow N_+ = N_-$  equilibrium due to transitions induced by  $B_1(t)$   
 unrealistic

Rate of energy absorption:



Energy absorbed (from the oscillating field) per unit time, by the electrons (two-level system):

absorption:  $E_- \rightarrow E_+$  by absorbing energy from the field, the electron population ( $N_- \rightarrow N_+$ )

$$\dot{E} = k_{-+} N_- \hbar \omega - k_{+-} N_+ \hbar \omega$$

$$= +k (N_- - N_+) \hbar \omega$$

$$\Rightarrow \dot{E} = k n \hbar \omega \quad (5)$$

A population difference ( $n = N_- - N_+$ ) is needed to absorb energy

Cases ( $n = N_- - N_+$ ):

1)  $n < 0 \Leftrightarrow N_+ > N_- \Rightarrow \dot{E} < 0$  <sup>net</sup> energy is absorbed ( $E_{out} < E_{in}$ )

2)  $n > 0 \Leftrightarrow N_+ < N_- \Rightarrow \dot{E} > 0$  <sup>net</sup> energy is released ( $E_{out} > E_{in}$ )

$\hookrightarrow$  amplifiers: (masers for nuclear spin resonance)

3)  $n = 0 \Leftrightarrow N_+ = N_- \Rightarrow \dot{E} = 0 \Rightarrow E = \text{constant} \hookrightarrow$  resonant absorption stops

4)  $k \neq 0 \Leftrightarrow \begin{cases} B_1 = 0 \\ B_0 \neq 0 \end{cases} \Rightarrow \dot{E} = 0 \Rightarrow n = N_- - N_+ = \text{const} (n \neq 0)$  see  $N_+$  on page 1

Forced spin alignment:  $\vec{S}_z \uparrow \vec{B}_0 \Rightarrow N_- > N_+$  (since  $E_+ \equiv E_{\uparrow\uparrow}, E_- \equiv E_{\uparrow\downarrow} \dots$ )  
 $(\vec{\mu} \parallel \vec{B}_0)$  Ideal magnetization:  $N_+ = 0$  (only at  $T = 0$  K)

$\Rightarrow G_2(3, 5)$  <sup>is incomplete and</sup> must be modified to account for thermal effects

(spin-lattice relaxation)

Approach: The transition rates  $k_{+-}, k_{-+}$  are equal ( $G_2(0)$ ) only for an ISOLATED system.

~~if the system releases heat to a heat reservoir via  $E_+ \rightarrow E_-$  transitions  $\Rightarrow$  system NOT ISOLATED  $\Leftrightarrow k_{+-} \neq k_{-+}$~~

Thermal Relaxation Effects

Spins will relax due to thermal fluctuations

~~Consider~~ Consider a piece of iron under a static field  $\vec{B}_0 = B_0 \hat{z}$

Apply  $\vec{B}_0 \Rightarrow$  magnetization  $\Rightarrow$   $\begin{cases} E_- \rightarrow E_+ \text{ (spin alignment)} \\ E_+ \rightarrow E_- \text{ (a number of spins anti-align i.e. } N_- \neq 0) \end{cases}$

The system is NOT ISOLATED but must be close to a heat reservoir! heating the sample



Heat flow continues until the final populations  $N_{\pm}$  correspond to the temperature  $T$  of the reservoir

Boltzmann

$$\frac{N_+^0}{N_-^0} = e^{-\Delta E/k_B T} = e^{-\gamma B_0/k_B T} = e^{-2\mu_B B_0/k_B T}$$

Population kinetics: (Notation:  $k_{+-} \equiv k_{\downarrow}, k_{-+} \equiv k_{\uparrow}$ )

$\dot{N}_+ = N_- k_{\uparrow} - N_+ k_{\downarrow}$  (6)

Steady state  $\dot{N}_+ = 0 \Leftrightarrow N_-^0 k_{\uparrow} = N_+^0 k_{\downarrow} \Rightarrow \frac{k_{\uparrow}}{k_{\downarrow}} = \frac{N_+^0}{N_-^0}$  (7)

$\Rightarrow \frac{k_{\uparrow}}{k_{\downarrow}} = e^{\Delta E/k_B T} = e^{\gamma B_0/k_B T} = e^{-2\mu_B B_0/k_B T}$  (8) detailed balance

let:  $n_0 \equiv N_-^0 - N_+^0$ ,  $n \equiv N_- - N_+$ ,  $N \equiv N_+ + N_- = N_+ + N_-$  (total no. is constant)  $= \text{const} (\Rightarrow \dot{N} = 0)$

$N_{\pm} = \frac{1}{2}(N \mp n)$   $\Rightarrow$  (6):  $-\frac{1}{2}\dot{n} = \frac{1}{2}(N+n)k_{\uparrow} - \frac{1}{2}(N-n)k_{\downarrow}$  using  $N_{\pm} = \frac{1}{2}(N \mp n)$

$\dot{n} = +N(k_{\downarrow} - k_{\uparrow}) - n(k_{\uparrow} + k_{\downarrow})$  (9)

$$\dot{n} = \underbrace{(k_{\uparrow} + k_{\downarrow})}_{=1/\tau} \left[ +N \frac{k_{\downarrow} - k_{\uparrow}}{k_{\uparrow} + k_{\downarrow}} - n \right]$$

(Spin-Lattice) relaxation time

$\Rightarrow \dot{n} = \frac{n_0 - n}{\tau}$  (10) Correction to (3)

relaxation-type equation

Soln for (10):

$$n(t) = n_0 + C e^{-t/\tau} \quad (11), \quad C = \text{constant}$$

Example: Initially unpolarized sample:  $n(0) = 0 \Leftrightarrow 0 = n_0 + C$

$$\Rightarrow C = -n_0 \Rightarrow n(t) = n_0 (1 - e^{-t/\tau})$$

(While the external  $\vec{B}_0$  field is applied, the <sup>average</sup> number of aligned spins - magnetization - increases exponentially until equilibrium is attained for which  $n(t \rightarrow \infty) = n_0$ )

~~Plot of n(t) vs t~~  
 $\Rightarrow$  ~~is a straight line~~ due to thermal processes:

Population evolution due to both induced transitions and thermal effects:

$$\dot{n} = \dot{n}_{\text{induced}} + \dot{n}_{\text{thermal}} = -2Kn + \frac{n_0 - n}{\tau} \quad (12)$$

Absorption rate, corrected for thermal effects:

$\dot{n} = 0 \Leftrightarrow n_{\text{corr}} \approx \frac{n_0}{1 + 2K\tau} \quad (13)$

Steady state (equil. approx)

$$\epsilon_{\text{corr}} = K n_{\text{corr}} \hbar \omega = \frac{K}{1 + 2K\tau} n_0 \hbar \omega$$

(Note: K is a rate in 1/sec i.e. "frequency" !)



# Schrodinger's equation in Position Representation

TDSE:

$$\langle x | \hat{H} | \psi(t) \rangle = i\hbar \frac{d}{dt} \langle x | \psi(t) \rangle$$

$$\langle x | \hat{H} | \psi(t) \rangle = i\hbar \langle x | \frac{d}{dt} | \psi(t) \rangle \quad (1)$$

$$\hat{H} = \frac{\hat{p}^2}{2m} + V(\hat{x}) = -\frac{\hbar^2}{2m} \nabla_x^2 + V(\hat{x})$$

$\{x\}$  position space  $\langle x | x \rangle = x | x \rangle$

$$\rightarrow \langle x | \hat{H} | \psi(t) \rangle = \langle x | \left( -\frac{\hbar^2}{2m} \nabla_x^2 + V(x) \right) | \psi(t) \rangle$$

$$\hat{H} \langle x | \psi(t) \rangle = \left( -\frac{\hbar^2}{2m} \nabla_x^2 + V(x) \right) \langle x | \psi(t) \rangle$$

$$\hat{H} \psi(x,t) = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \psi(x,t) + V(x) \psi(x,t) \quad (2)$$

(Diff. equation:  $\frac{\partial \psi}{\partial t} = \nabla^2 \psi$ )

$$\textcircled{1} \textcircled{2} \Rightarrow \boxed{\left[ -\frac{\hbar^2}{2m} \nabla_x^2 + V(x) \right] \psi(x,t) = i\hbar \frac{\partial}{\partial t} \psi(x,t)}$$

TDSE in x-space

If  $|\psi_E(t)\rangle$  is an energy e-state i.e.  $|\psi_E(t)\rangle = |E\rangle e^{iEt/\hbar}$  such that  $\hat{H}|E\rangle = E|E\rangle$ , then  $\psi_E(x,t) \equiv \langle x | \psi_E(t) \rangle = \langle x | E \rangle e^{-iEt/\hbar}$

$$\rightarrow \text{TDSE: } \left[ -\frac{\hbar^2}{2m} \nabla_x^2 + V(x) \right] \langle x | E \rangle = E \langle x | E \rangle \quad \text{or}$$

$$\boxed{\left[ -\frac{\hbar^2}{2m} \nabla_x^2 + V(x) \right] \psi_E(x) = E \psi_E(x)} \quad \text{i.e. TDSE in position space}$$

where  $\psi_E(x) \equiv \langle x | E \rangle$

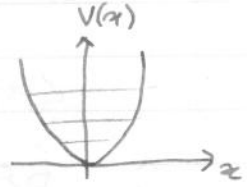
Read  
6.8 Potential well  
6.9 Infinite potential well

General  
TISE

$$\left[ -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x) \right] \psi(x) = E \psi(x), \quad \hat{H} \psi(x) = E \psi(x)$$

= Simple Harmonic Oscillator (SHO) =

$$V(x) = \frac{1}{2} m \omega^2 x^2 \equiv \frac{1}{2} m \omega^2 x \cdot x$$



$$\hat{H} = \frac{\hat{p}_x^2}{2m} + \frac{1}{2} m \omega^2 x^2, \quad [x, \hat{p}_x] = i\hbar$$

Define operators  $\hat{a} \equiv \sqrt{\frac{m\omega}{2\hbar}} \left( x + \frac{i}{m\omega} \hat{p}_x \right)$

$$\hat{a}^\dagger = \sqrt{\frac{m\omega}{2\hbar}} \left( x - \frac{i}{m\omega} \hat{p}_x \right)$$

$$[\hat{a}, \hat{a}^\dagger] = 1 \quad (C_0)$$

$$\Rightarrow \begin{cases} x = \left( \frac{\hbar}{2m\omega} \right)^{1/2} (\hat{a} + \hat{a}^\dagger) \\ \hat{p}_x = -i \left( \frac{m\omega\hbar}{2} \right)^{1/2} (\hat{a} - \hat{a}^\dagger) \end{cases} \quad \begin{array}{l} \text{Motivation:} \\ \text{seek } \hat{H} = \hbar\omega \left( \hat{X} + \hat{P}^2 \right) \\ \hat{X} \equiv \sqrt{\frac{m\omega}{2\hbar}} x, \quad \hat{P} \equiv \frac{1}{\sqrt{2m\hbar\omega}} \hat{p}_x \\ \hookrightarrow \hat{a} \equiv \hat{X} + i\hat{P}, \quad \hat{a}^\dagger \equiv \hat{X} - i\hat{P} \end{array}$$

$$\Rightarrow \hat{H} = \hbar\omega/2 (\hat{a}^\dagger \hat{a} + \hat{a} \hat{a}^\dagger) = \hbar\omega (\hat{a}^\dagger \hat{a} + 1/2) \equiv \hbar\omega (\hat{N} + 1/2)$$

$[\hat{H}, \hat{N}] = 0 \Rightarrow \hat{H}, \hat{N}$  share  $\psi$ -states  $\Rightarrow$  the  $\psi$ -value problem for  $\hat{H}$  is transformed to  $\hat{N}$

Let  $\hat{N} |\eta\rangle = \eta |\eta\rangle$

$\hookrightarrow$  stationary states  
(of Energy Op)

$$\langle N \rangle_\eta = \langle \eta | \hat{N} | \eta \rangle = \eta \langle \eta | \eta \rangle + \begin{cases} \langle \eta | \hat{a}^\dagger \hat{a} | \eta \rangle \\ \langle \eta | \hat{a} \hat{a}^\dagger | \eta \rangle \end{cases} \equiv \langle a\eta | a\eta \rangle$$

$$\Rightarrow \langle a\eta | a\eta \rangle = \eta \langle \eta | \eta \rangle \Rightarrow \boxed{\eta > 0} \quad \text{positive } \psi\text{-values of } \hat{N}$$

Commutators:

$$\left[ \begin{array}{l} [\hat{N}, \hat{a}] = -\hat{a} = \hat{N}\hat{a} - \hat{a}\hat{N} \quad (C_1) \\ [\hat{N}, \hat{a}^\dagger] = \hat{a}^\dagger = \hat{N}\hat{a}^\dagger - \hat{a}^\dagger\hat{N} \quad (C_2) \end{array} \right]$$

Goal: Find  $\epsilon$ -values and  $\epsilon$ -states of  $\hat{H}$ :

Start at  $|\gamma\rangle$ :

$$\hat{N}\hat{a}^+|\gamma\rangle \stackrel{\text{②}}{=} (\hat{a}^+\hat{N} + \hat{a}^+)|\gamma\rangle = (\hat{a}^+\gamma + \hat{a}^+)|\gamma\rangle = (\gamma+1)\hat{a}^+|\gamma\rangle \Rightarrow \boxed{\hat{a}^+|\gamma\rangle = C_+|\gamma+1\rangle}$$

'raising' 'creation' operator

$$\hat{N}\hat{a}|\gamma\rangle \stackrel{\text{①}}{=} (\gamma-1)\hat{a}|\gamma\rangle \Rightarrow \boxed{\hat{a}|\gamma\rangle = C_-|\gamma-1\rangle}$$

'lowering' 'annihilation' operator

Bottom rung:  $\hat{a}|\gamma_{\min}\rangle \stackrel{\text{①}}{=} \emptyset$  null state

$$\hat{a}^+(\hat{a}|\gamma_{\min}\rangle) \stackrel{\text{①}}{=} \hat{N}|\gamma_{\min}\rangle = \gamma_{\min}|\gamma_{\min}\rangle \stackrel{\text{②}}{=} \emptyset \Rightarrow$$

$\gamma \rightarrow n \Rightarrow \boxed{\gamma_{\min} = 0, |\gamma_{\min}\rangle = |0\rangle}$  label for ground state ( $\neq$  null state)

Raise  $n$  times: from GND state  $|0\rangle$   $\hat{N}|n\rangle = n|n\rangle, n=0,1,2,\dots$

$$\Rightarrow \boxed{\hat{H}|n\rangle = \hbar\omega(\hat{N} + 1/2)|n\rangle = \hbar\omega(n + 1/2)|n\rangle \equiv E_n|n\rangle}$$

$n=0,1,2,\dots$

$E_n = \hbar\omega(n + 1/2)$  energy levels of SHO

$C_+, C_- = ?$

$$\boxed{\hat{a}^+|n\rangle = C_+|n+1\rangle, \hat{a}|n\rangle = C_-|n-1\rangle}$$

$$\langle n|\hat{a}\hat{a}^+|n\rangle \stackrel{\text{①}}{=} |C_+|^2 \langle n+1|n+1\rangle \stackrel{\text{②}}{=} (n+1)\langle n|n\rangle \Rightarrow |C_+|^2 = (n+1) \frac{\langle n|n\rangle}{\langle n+1|n+1\rangle} = n+1$$

choose  $C_+ = \sqrt{n+1}$

$$\langle n|\hat{a}^+\hat{a}|n\rangle \stackrel{\text{①}}{=} |C_-|^2 \langle n-1|n-1\rangle \stackrel{\text{②}}{=} n\langle n|n\rangle \Rightarrow \boxed{C_- = \sqrt{n}}$$

$$\Rightarrow \begin{cases} \hat{a}|n\rangle = \sqrt{n}|n-1\rangle \\ \hat{a}^+|n\rangle = \sqrt{n+1}|n+1\rangle \end{cases}$$

M.R. of  $\hat{a}, \hat{a}^+$  in energy basis:

$$(a^+)_{n'n} \equiv \langle n' | \hat{a}^+ | n \rangle = \sqrt{n+1} \delta_{n', n+1}$$

$$a_{n'n} \equiv \langle n' | \hat{a} | n \rangle = \sqrt{n} \delta_{n', n-1}$$

$\rightarrow$  infinite-dimensional matrices  
 $\rightarrow$  easy to get the M.R. of  $\hat{p}, \hat{x}$ .

\* Any energy  $\epsilon$ -state:

$$\boxed{\epsilon_n = \hbar\omega(n + 1/2)}$$

$n = 0, 1, 2, \dots$

$$\boxed{|n\rangle = \frac{(\hat{a}^+)^n}{\sqrt{n!}} |0\rangle}$$

Here,  
 $|0\rangle = |\eta_{min}\rangle =$   
 $= |\text{ground state}\rangle$   
 (NOT null state)

Position-space SHO wave functions:

Start at  
ground state

$$\hat{a}|0\rangle = 0 \quad (\text{bottom rung})$$

$$\langle x | \hat{a} | 0 \rangle = 0$$

$$\hat{a} \rightarrow \sqrt{\frac{m\omega}{2\hbar}} \left( x + \frac{\hbar}{m\omega} \frac{\partial}{\partial x} \right) \quad \left. \begin{aligned} &= \left( \frac{m\omega}{2\hbar} \right)^{1/2} \langle x | \hat{x} + \frac{i}{m\omega} \hat{p}_x | 0 \rangle = 0 \\ &\Rightarrow \langle x | \hat{x} + \frac{i}{m\omega} \hat{p}_x | 0 \rangle = 0 \end{aligned} \right\} \Rightarrow$$

$$\Rightarrow \langle x | \hat{x} + \frac{i}{m\omega} \hat{p}_x | 0 \rangle = 0$$

$$\langle x | \hat{x} | 0 \rangle + \frac{i}{m\omega} \langle x | \hat{p}_x | 0 \rangle = 0$$

$$x \langle x | 0 \rangle + \frac{i}{m\omega} \hbar \frac{\partial}{\partial x} \langle x | 0 \rangle = 0$$

$$\Rightarrow \frac{\partial}{\partial x} \langle x | 0 \rangle = -\frac{m\omega}{\hbar} x \langle x | 0 \rangle$$

$$\Rightarrow \langle x | 0 \rangle = C e^{-m\omega x^2 / 2\hbar} = \psi_0(x)$$

Normalise

$$\int_{-\infty}^{\infty} \psi_0^*(x) \psi_0(x) dx = 1 \Rightarrow |C|^2 = \sqrt{\frac{\hbar}{m\omega}} \rightarrow C = \left( \frac{\hbar}{m\omega} \right)^{1/4}$$

$$\psi_0(x) \equiv \langle x|0\rangle = \left(\frac{m\omega}{\hbar}\right)^{1/4} e^{-m\omega x^2/2\hbar}$$

Ground-state wavefunction of SHO

$$\psi_n(x) \equiv \langle x|n\rangle = \langle x|\frac{(\hat{a}^\dagger)^n}{\sqrt{n!}}|0\rangle = \frac{1}{\sqrt{n!}} \langle x|(\hat{a}^\dagger)^n|0\rangle$$

$$= \frac{1}{\sqrt{n!}} \left(\frac{m\omega}{2\hbar}\right)^{n/2} \left(x - \frac{\hbar}{m\omega} \frac{d}{dx}\right)^n \left(\frac{m\omega}{\hbar}\right)^{1/4} e^{-m\omega x^2/2\hbar}$$

$\hat{a}^n$

$n = 0, 1, 2, \dots$

\* Exp. value of KE:

$$\langle KE \rangle_n = \left\langle \frac{\hat{p}_x^2}{2m} \right\rangle_n = \langle n|\frac{\hat{p}_x^2}{2m}|n\rangle = \langle n|\int dx |x\rangle\langle x|\frac{\hat{p}_x^2}{2m}|n\rangle$$

$$= \int dx \langle n|x\rangle \frac{1}{2m} \langle x|\hat{p}_x^2|n\rangle$$

$$= \frac{1}{2m} \int dx \langle n|x\rangle \left(-\hbar^2 \frac{d^2}{dx^2}\right) \langle x|n\rangle = -\frac{\hbar^2}{2m} \int dx \langle n|x\rangle \frac{d^2}{dx^2} \langle x|n\rangle$$

$$= -\frac{\hbar^2}{2m} \int dx \psi_n^*(x) \frac{d^2}{dx^2} \psi_n(x) //$$

$\hookrightarrow$  ~~n~~ n. of nodes (zeros) of  $\psi_n(x) = n$

\* Exp. value of PE:

$$\langle PE \rangle_n = \left\langle \frac{1}{2} m\omega^2 x^2 \right\rangle_n = \frac{1}{2} m\omega^2 \int dx x^2 |\langle x|n\rangle|^2$$

$$= \left\langle \frac{1}{2} m\omega^2 x^2 \right\rangle_n = \frac{m\omega^2}{2} \int dx x^2 |\psi_n(x)|^2 //$$

$\rightarrow$  with n. of nodes of  $\psi_n(x)$

Total energy:

$$\langle E \rangle_n = \langle KE \rangle_n + \langle PE \rangle_n = \dots$$

$\geq PE$  (7.5)

$$n=0 \rightarrow \langle E \rangle_0 =$$

$$E_0 = \hbar\omega/2$$

QHO in the Classical Limit (large  $n$ )

$$E_n = \begin{cases} \hbar\omega(n+1/2) & \text{quantum} \\ \hbar\sqrt{g/L} & \text{classical pendulum} \approx 10^{-33} \text{ J} \end{cases}$$

$$E_n = \hbar\omega(n+1/2) \Rightarrow \Delta E = \hbar\omega \sim \begin{cases} E(n+1/2)^{-1}, \text{ QHO} \\ \hbar\sqrt{g/L}, \text{ CHO (pendulum)} \approx 10^{-33} \text{ J} \end{cases}$$

$$\Leftrightarrow \frac{E}{n+1/2} \sim 10^{-33} \text{ J} \Rightarrow \boxed{n \sim \frac{E}{10^{-33} \text{ J}} - \frac{1}{2}} \quad E \sim 1 \text{ J} \Rightarrow \boxed{n \sim 10^{33}}$$

classically

Classically, pendulum turns at classical turning points where  $E = V$ :  
( $KE=0$ )

$$E_n = \hbar\omega(n+1/2) \stackrel{!}{=} \frac{1}{2} m\omega^2 x_n^2$$

Wavefunctions  $\psi_n(x)$  "clump" around CTPs as  $n \uparrow$

: CORRESPONDENCE  
PRINCIPLE

see  
 $\hookrightarrow$  coherent states

(7.7) Time Dependence of QHO Wavefunctions

Let  $\boxed{|\psi_0\rangle = c_n|n\rangle + c_{n+1}|n+1\rangle}$ ,  $A$  indep of time  $\Rightarrow$

$$\rightarrow |\psi(t)\rangle = e^{-i\hat{H}t/\hbar} |\psi_0\rangle = c_n e^{-iE_n t/\hbar} |n\rangle + c_{n+1} e^{-iE_{n+1} t/\hbar} |n+1\rangle$$

$$= c_n e^{-i\omega(n+1/2)t} |n\rangle + c_{n+1} e^{-i\omega(n+3/2)t} |n+1\rangle$$

$$= e^{-i(n+1/2)\omega t} \left[ c_n |n\rangle + c_{n+1} e^{-i\omega t} |n+1\rangle \right] \quad \checkmark \text{ not a stationary state}$$

$$\langle x \rangle_t \approx c_n \cos(\omega t + \Gamma) \approx \tilde{A} e^{-i\omega t}$$

for  $\hat{A} = \hat{A}_{SHO}$

Expectation values:

EVP of SHO - alternate method

$$[\hat{a}, \hat{a}^\dagger] = \hat{1} \quad (C_0)$$

$$[\hat{N}, \hat{a}] = -\hat{a} \quad (C_1)$$

$$[\hat{N}, \hat{a}^\dagger] = \hat{a}^\dagger \quad (C_2)$$

$$\hat{H} = (\hat{a}^\dagger \hat{a} + 1/2) \hbar \omega = (\hat{N} + 1/2) \hbar \omega$$

$$[\hat{H}, \hat{N}] = 0 \Rightarrow \begin{cases} \hat{H}|u\rangle = E_u |u\rangle \\ \hat{N}|u\rangle = A_u |u\rangle \end{cases} \quad A_u \in \mathbb{R} \text{ b/c } \hat{N}^\dagger = \hat{N}$$

\*  $\hat{N}(\hat{a}^k |u\rangle) = ?$  :

①  $\rightarrow [\hat{N}, \hat{a}]|u\rangle \stackrel{C_1}{=} (\hat{N}\hat{a} - \hat{a}\hat{N})|u\rangle = -\hat{a}|u\rangle \Rightarrow$

$\hat{N}\hat{a}|u\rangle = \hat{a}\hat{N}|u\rangle - \hat{a}|u\rangle = \hat{a}A_u|u\rangle - \hat{a}|u\rangle = \underline{(A_u - 1)\hat{a}|u\rangle}$  ;

②  $\rightarrow [\hat{N}, \hat{a}^2](\hat{a}|u\rangle) = (\hat{N}\hat{a}^2 - \hat{a}^2\hat{N})(\hat{a}|u\rangle) = -\hat{a}^2(\hat{a}|u\rangle) \Rightarrow$

$\hat{N}\hat{a}^2(\hat{a}|u\rangle) = \hat{a}^2\hat{N}(\hat{a}|u\rangle) - \hat{a}^2(\hat{a}|u\rangle) = \hat{a}^2(A_{u-1})(\hat{a}|u\rangle) - \hat{a}^2(\hat{a}|u\rangle)$   
 $= \underline{(A_{u-2})\hat{a}^2(\hat{a}|u\rangle)}$

i.e.  $\hat{N}\hat{a}^2|u\rangle = (A_{u-2})\hat{a}^2|u\rangle$

③  $\rightarrow \boxed{\hat{N}(\hat{a}^k |u\rangle) = (A_{u-k})(\hat{a}^k |u\rangle)}$   $\rightarrow$  lowering by  $k$  quanta

Similarly

$\boxed{\hat{N}((\hat{a}^\dagger)^k |u\rangle) = (A_{u+k})((\hat{a}^\dagger)^k |u\rangle)}$   $\rightarrow$  raising by  $k$  quanta

\*  $\{A_n\}$  form a discrete spectrum :

- eigenkets of  $\hat{N}$  assoc with  $e$  values  $A_u, A_{u-k}, A_{u+k}$  :

$$|u\rangle, \hat{a}^k |u\rangle, (\hat{a}^\dagger)^k |u\rangle$$

$\rightarrow$  sequence of discrete numbers :  $\{A_u, A_{u\pm 1}, A_{u\pm 2}, \dots\}$

\*  $A_u \geq 0$  :  $\langle N \rangle = \langle u | \hat{N} | u \rangle = \langle u | \hat{a}^\dagger \hat{a} | u \rangle \geq 0$  (norm of  $\hat{a}|u\rangle \equiv |u\rangle$ )

$\stackrel{?}{=} \langle u | A_u | u \rangle = A_u \langle u | u \rangle \Rightarrow \underline{A_u \geq 0}$

\* Lowest e-value  $A_0 = 0$ : let  $|0\rangle$  <sup>be lowest</sup> state such that

$$\hat{N}|0\rangle = A_0|0\rangle \quad (\text{not null state, but ground state of SHO})$$

$$\hat{N}(\hat{a}|0\rangle) = (A_0 - 1)(\hat{a}|0\rangle) \quad \left. \begin{array}{l} \text{impossible since } A_0 \text{ was postulated} \\ \text{as the lowest e-value} \end{array} \right\} \Rightarrow$$

$$\Rightarrow \boxed{\hat{a}|0\rangle \stackrel{!}{=} 0 \text{ null state}} \quad \langle 0|\hat{a}^\dagger = 0$$

$$\langle N \rangle_0 \equiv \langle 0|\hat{a}^\dagger \hat{a}|0\rangle = 0$$

$$\stackrel{!}{=} \langle 0|\hat{N}|0\rangle = \langle 0|A_0|0\rangle = A_0 \langle 0|0\rangle \Rightarrow \boxed{A_0 = 0}$$

$\Rightarrow$  EVP for  $\hat{H}$ :

$$\hat{N}|n\rangle = A_n|n\rangle, \quad A_n = 0, 1, 2, \dots$$

$$\Rightarrow \boxed{A_n \equiv n} \quad (n=0, 1, 2, \dots) \quad \text{Therefore } \boxed{\hat{N}|n\rangle = n|n\rangle}$$

integer!  $n=0, 1, 2, 3, \dots$

$$\boxed{\hat{H}|n\rangle = (\hat{N} + 1/2)\hbar\omega|n\rangle = (n + 1/2)\hbar\omega|n\rangle} \quad \Rightarrow \hat{H}|n\rangle = E_n|n\rangle$$

$$\boxed{E_n = (n + 1/2)\hbar\omega}$$

$\rightarrow |0\rangle$  Gnd state of SHO

$$\langle x \rangle_n = 0$$

$\rightarrow \frac{1}{2}\hbar\omega = \text{ZPE of SHO}$

$$\langle p \rangle_n = 0$$

$\rightarrow \{|n\rangle\}$  orthonormal basis:  $\langle n|m\rangle = \delta_{nm}$

$$\hat{1} = \sum_{n=0}^{\infty} |n\rangle\langle n|$$

\* Role of ZPE =  $\hbar\omega/2$ :

Preservation of Heisenberg U.R.  $(\Delta x)(\Delta p) \geq \hbar/2$

Gnd state energy

Proof:

$$\text{Assume } \text{ZPE} = 0 \Leftrightarrow E_0 = 0 \Leftrightarrow \hat{H}|0\rangle = 0|0\rangle \Leftrightarrow KE + PE \stackrel{!}{=} 0$$

$$\Leftrightarrow \{KE \stackrel{!}{=} 0 \text{ and } PE \stackrel{!}{=} 0\} \quad (KE = \frac{p^2}{2m} \geq 0, PE = \frac{1}{2}m\omega^2 x^2 \geq 0)$$

$$\Leftrightarrow p = x \stackrel{!}{=} 0 \Leftrightarrow \boxed{(\Delta p) \stackrel{!}{=} 0 \stackrel{!}{=} (\Delta x)}, \text{ in contradiction with HUR!}$$

$$\langle E \rangle_0 = \frac{\langle p^2 \rangle_0}{2m} + \frac{1}{2}m\omega^2 \langle x^2 \rangle_0 = \frac{1}{2m} [\langle p^2 \rangle_0 + \langle p^2 \rangle_0] + \frac{m\omega^2}{2} [\langle x^2 \rangle_0 + \langle x^2 \rangle_0] \quad \text{ZPE}$$

$$\text{ZPE} = \frac{(\Delta p)_0^2}{2m} + \frac{m\omega^2}{2} (\Delta x)_0^2 = \frac{1}{2m} \frac{m\omega\hbar}{2} + \frac{m\omega^2}{2} \frac{\hbar}{2m\omega} = \frac{\omega\hbar}{4} + \frac{\omega\hbar}{4} = \frac{\hbar\omega}{2} \quad \checkmark$$

$$\text{In any state } |n\rangle: (\Delta x)_n (\Delta p)_n = (n + 1/2)\hbar \geq \hbar/2 \quad \checkmark$$



\* Action of Ladder Ops on  $|n\rangle$

$$\left\{ \begin{aligned} \hat{N}\hat{a}|n\rangle &\stackrel{C_1}{=} (\hat{a}\hat{N} - \hat{a})|n\rangle = (n-1)\hat{a}|n\rangle && (\text{we also p.1}) \\ \hat{N}\hat{a}^+|n\rangle &\stackrel{C_2}{=} (\hat{a}^+\hat{N} + \hat{a}^+)|n\rangle = (n+1)\hat{a}^+|n\rangle \end{aligned} \right.$$

Use property: If  $[\hat{A}, \hat{B}] = \lambda \hat{B}$  &  $\hat{A}|\alpha\rangle = \alpha|\alpha\rangle \Rightarrow \hat{B}|\alpha\rangle \sim |\alpha \pm 1\rangle$

ket:  $\Rightarrow \begin{cases} |\hat{a}|n\rangle \stackrel{!}{=} C_- |n-1\rangle & (\hat{N}|n\rangle = n|n\rangle, \hat{N}|n-1\rangle = (n-1)|n-1\rangle \dots) \\ |\hat{a}^+|n\rangle \stackrel{!}{=} C_+ |n+1\rangle & (\hat{N}|n+1\rangle = (n+1)|n+1\rangle, \hat{N}|n\rangle = n|n\rangle \dots) \end{cases}$

bra:  $\begin{cases} \langle n|\hat{a}^+ = C_-^* \langle n-1 \\ \langle n|\hat{a} = C_+^* \langle n+1 \end{cases} \Rightarrow \begin{cases} \langle n|\hat{a}^+\hat{a}|n\rangle = |C_-|^2 \langle n-1|n-1\rangle \\ \langle n|\hat{a}\hat{a}^+|n\rangle = |C_+|^2 \langle n+1|n+1\rangle \end{cases}$

$\Rightarrow \begin{cases} \langle n|\hat{N}|n\rangle = n \langle n|n\rangle \stackrel{!}{=} |C_-|^2 \langle n-1|n-1\rangle \\ \langle n|\hat{N}^+|n\rangle \stackrel{C_0}{=} (n+1) \langle n+1|n+1\rangle \stackrel{!}{=} |C_+|^2 \langle n+1|n+1\rangle \end{cases}$   $[\hat{a}, \hat{a}^+] = \hat{1}$

$\langle 1 \rangle = \text{const}$

$\Rightarrow \begin{cases} |C_-|^2 = n \Rightarrow \boxed{C_- = \sqrt{n}} \\ |C_+|^2 = n+1 \Rightarrow \boxed{C_+ = \sqrt{n+1}} \end{cases}$

$\Rightarrow \begin{cases} \hat{a}|n\rangle = \sqrt{n}|n-1\rangle & \text{lowering/annihilation} \leftarrow \begin{matrix} \text{process} \\ \text{of} \\ \text{detection} \\ \text{(measurement)} \end{matrix} \\ \hat{a}^+|n\rangle = \sqrt{n+1}|n+1\rangle & \text{raising/creation} \end{cases}$

\* Create <sup>any</sup> energy e-state  $|n\rangle$  from the ground state  $|0\rangle$ :

$(\hat{a}^+)^n |0\rangle = \sqrt{n!} |n\rangle \Rightarrow$

$|n\rangle = \frac{(\hat{a}^+)^n |0\rangle}{\sqrt{n!}}$

$\langle n| = \frac{\langle 0|\hat{a}^n}{\sqrt{n!}}$

$E_n = (n+1/2)\hbar\omega$  energy e-value

\* Successive lowering / raising - from e-state  $|n\rangle$ :

$$(\hat{a})^k |n\rangle = \frac{\sqrt{n!}}{\sqrt{(n-k)!}} |n-k\rangle \quad \left( = \sqrt{n(n-1)\dots(n-k+1)} |n-k\rangle \right)$$

$$\langle n | (\hat{a})^k = \sqrt{\frac{n!}{(n-k)!}} \langle n-k |$$

$$(\hat{a}^\dagger)^k |n\rangle = \sqrt{\frac{(n+k)!}{n!}} |n+k\rangle \quad \left( = \sqrt{n(n+1)\dots(n+k)} |n+k\rangle \right)$$

$$\langle n | (\hat{a}^\dagger)^k = \sqrt{\frac{(n+k)!}{n!}} \langle n+k |$$

\* Matrix Representation of  $\hat{a}$ ,  $\hat{a}^\dagger$ :

$$a_{mn} = \langle m | \hat{a} | n \rangle = \sqrt{n} \delta_{m, n-1}$$

$$(a^\dagger)_{mn} = \langle m | \hat{a}^\dagger | n \rangle = \sqrt{n+1} \delta_{m, n+1}$$

→  $\infty$  dimension space

use: easily get MR of  $\hat{x}$ ,  $\hat{p}$ .

$$\hat{a} = \begin{pmatrix} 0 & \sqrt{1} & 0 & 0 & 0 & 0 \\ 0 & 0 & \sqrt{2} & 0 & 0 & 0 \\ 0 & 0 & 0 & \sqrt{3} & 0 & 0 \\ 0 & 0 & 0 & 0 & \sqrt{4} & 0 \\ 0 & 0 & 0 & 0 & 0 & \sqrt{5} \dots \end{pmatrix} \quad \hat{a}^\dagger = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ \sqrt{1} & 0 & 0 & 0 & 0 & 0 \\ 0 & \sqrt{2} & 0 & 0 & 0 & 0 \\ 0 & 0 & \sqrt{3} & 0 & 0 & 0 \\ 0 & 0 & 0 & \sqrt{4} & 0 & 0 \\ 0 & 0 & 0 & 0 & \sqrt{5} & 0 \dots \end{pmatrix}$$

(Matrices arbitrarily truncated...)

Gr + Tr (regular)  
 page 52 → position-space wavefunctions

# Coherent States of QHO

→ used to describe "classical" behavior of QHOs; EM field quantization  
 → e-lets of lowering op: classical EM field

$|\alpha\rangle$  s.t.  $\hat{a}|\alpha\rangle = \alpha|\alpha\rangle$ ,  $\hat{a}^\dagger \neq \hat{a} \Rightarrow \alpha \in \mathbb{C}$

$|\alpha\rangle = ?$

Let  $|\alpha\rangle = \sum_{n=0}^{\infty} c_n |n\rangle$ ,  $\hat{H}|n\rangle = E_n |n\rangle$ ,  $E_n = \hbar\omega(n+1/2)$

$$\hat{a}|\alpha\rangle = \sum_{n=0}^{\infty} c_n \hat{a}|n\rangle = \sum_{n=0}^{\infty} c_n \sqrt{n} |n-1\rangle = \sum_{n=1}^{\infty} c_n \sqrt{n} |n-1\rangle$$

$$\stackrel{!}{=} \alpha |\alpha\rangle = \alpha \sum_{n=0}^{\infty} c_n |n\rangle$$

$$\Rightarrow \alpha \sum_{n=0}^{\infty} c_n |n\rangle = \sum_{n=1}^{\infty} c_n \sqrt{n} |n-1\rangle = \sum_{n=0}^{\infty} c_{n+1} \sqrt{n+1} |n\rangle \Rightarrow$$

$$\Rightarrow \alpha c_n = \sqrt{n+1} c_{n+1} \Rightarrow \dots \Rightarrow \boxed{c_n = \frac{\alpha^n}{\sqrt{n!}} c_0}$$

$$\Rightarrow \boxed{|\alpha\rangle = c_0 \sum_{n=0}^{\infty} \frac{\alpha^n}{\sqrt{n!}} |n\rangle}$$

$$\langle \alpha | = c_0^* \sum_{n=0}^{\infty} \frac{(\alpha^*)^n}{\sqrt{n!}} \langle n |$$

$$\langle \alpha | \alpha \rangle = |c_0|^2 e^{|\alpha|^2} \stackrel{!}{=} 1 \Rightarrow c_0 = e^{-|\alpha|^2/2}$$

$$\Rightarrow \left\| \begin{aligned} |\alpha\rangle &= e^{-|\alpha|^2/2} \sum_{n=0}^{\infty} \frac{\alpha^n}{\sqrt{n!}} |n\rangle \\ \langle \alpha | &= e^{-|\alpha|^2/2} \sum_{n=0}^{\infty} \frac{(\alpha^*)^n}{\sqrt{n!}} \langle n | \end{aligned} \right\|$$

\* Scalar product:  $\hat{a}|\alpha\rangle = \alpha|\alpha\rangle$ ,  $\hat{a}|\beta\rangle = \beta|\beta\rangle$   
 ~~$\langle\beta|\alpha\rangle = e^{-|\alpha-\beta|^2/2}$~~   $\langle\beta|\alpha\rangle = e^{\beta^*\alpha} e^{-(1/2)(|\alpha|^2+|\beta|^2)}$   
 $|\langle\beta|\alpha\rangle|^2 = e^{-|\alpha-\beta|^2}$

\* Completeness (closure) relation:

$$\hat{1}_\alpha = \frac{1}{\pi} \int_{-\infty}^{\infty} d\text{Re}(\alpha) \int_{-\infty}^{\infty} d\text{Im}(\alpha) |\alpha\rangle\langle\alpha|$$

(Proof in  
Rouman-Blair  
p. 172 ↓)

Quantum  
Oscillators  
book

\* Energy fluctuations in a coherent state:

$$\langle H \rangle_\alpha = \langle \alpha | \hat{H} | \alpha \rangle = \hbar\omega \langle \alpha | \hat{a}^\dagger \hat{a} + 1/2 | \alpha \rangle$$

$$= \hbar\omega \underbrace{\langle \alpha | \hat{a}^\dagger \hat{a} | \alpha \rangle}_{\langle \alpha | \alpha^* \alpha | \alpha \rangle} + \frac{1}{2} \langle \alpha | \alpha \rangle = \hbar\omega (|\alpha|^2 + 1/2)$$

$$\langle H^2 \rangle_\alpha = \langle \alpha | \hat{H}^2 | \alpha \rangle = (\hbar\omega)^2 \langle \alpha | (\hat{a}^\dagger \hat{a} + 1/2)^2 | \alpha \rangle$$

$$= (\hbar\omega)^2 \langle \alpha | (\hat{a}^\dagger \hat{a})(\hat{a}^\dagger \hat{a}) + \hat{a}^\dagger \hat{a} + 1/4 | \alpha \rangle = \dots = (\hbar\omega)^2 (|\alpha|^4 + 2|\alpha|^2 + 1/4)$$

Energy fluctuation:

$$\Delta E_\alpha \equiv \Delta H_\alpha = \sqrt{\langle H^2 \rangle_\alpha - \langle H \rangle_\alpha^2} =$$

$$= \hbar\omega \sqrt{(|\alpha|^4 + 2|\alpha|^2 + 1/4) - (|\alpha|^4 + |\alpha|^2 + 1/4)} = \hbar\omega |\alpha| \neq 0$$

Relative fluctuation:

$$\epsilon_\alpha \equiv \frac{\Delta H_\alpha}{\langle H \rangle_\alpha} = \frac{|\alpha|}{|\alpha|^2 + 1/2} \rightarrow 0 \text{ for } |\alpha| \rightarrow \infty$$

↑  
"classical"  
behavior

↓  
n → ∞

$|\alpha\rangle$  not e-ket  
of  $\hat{H}$

\* Coherent States are Minimum-Uncertainty States:

$$\begin{aligned} \langle x \rangle_\alpha &= \langle \alpha | \hat{x} | \alpha \rangle = \sqrt{\frac{\hbar}{2m\omega}} \langle \alpha | \hat{q}^\dagger + \hat{q} | \alpha \rangle \\ &= \sqrt{\frac{\hbar}{2m\omega}} (\alpha^* + \alpha) \end{aligned}$$

$$\begin{aligned} \langle p \rangle_\alpha &= \langle \alpha | \hat{p} | \alpha \rangle = i \sqrt{\frac{m\hbar\omega}{2}} \langle \alpha | \hat{q}^\dagger - \hat{q} | \alpha \rangle \\ &= i \sqrt{\frac{m\hbar\omega}{2}} (\alpha^* - \alpha) \end{aligned}$$

$$\langle x^2 \rangle_\alpha = \langle \alpha | \hat{x}^2 | \alpha \rangle = \dots = \frac{\hbar}{2m\omega} [(\alpha + \alpha^*)^2 + 1]$$

$$\langle p^2 \rangle_\alpha = \langle \alpha | \hat{p}^2 | \alpha \rangle = \dots = -\frac{m\hbar\omega}{2} [(\alpha^* - \alpha)^2 - 1]$$

$$(\Delta x)_\alpha (\Delta p)_\alpha = \frac{\hbar}{2}$$

Dynamics (Time Evolution) of Coherent States

$$|\alpha\rangle \rightarrow |\alpha(t)\rangle : |\alpha(t)\rangle = e^{-i\hat{H}t/\hbar} |\alpha\rangle$$

$$\begin{aligned} |\alpha(t)\rangle &= e^{-i\hat{H}t/\hbar} \left( e^{-|\alpha|^2/2} \sum_{n=0}^{\infty} \frac{\alpha^n}{\sqrt{n!}} |n\rangle \right) \\ &= e^{-|\alpha|^2/2} \sum_{n=0}^{\infty} \frac{\alpha^n}{\sqrt{n!}} e^{-i(n+1/2)\omega t} |n\rangle = e^{-i\omega t/2} e^{-|\alpha|^2/2} \sum_{n=0}^{\infty} \frac{(\alpha e^{-i\omega t})^n}{\sqrt{n!}} |n\rangle \\ &\equiv e^{-i\omega t/2} |\alpha e^{-i\omega t}\rangle \end{aligned}$$

↳ in time  $|\alpha(t)\rangle$  ~~becomes~~ acquires a phase, becoming complex

\* Time-dep. of position and momentum expectation values

$$\langle x \rangle_t = \langle \alpha(t) | \hat{x} | \alpha(t) \rangle = \sqrt{\frac{\hbar}{2m\omega}} \langle \alpha(t) | \hat{a} + \hat{a}^\dagger | \alpha(t) \rangle$$

$$= \dots = \sqrt{\frac{\hbar}{2m\omega}} (\alpha e^{-i\omega t} + \alpha^* e^{i\omega t})$$

$$\langle x \rangle_t = \sqrt{\frac{\hbar}{2m\omega}} 2|\alpha| \cos(\omega t + \delta)$$

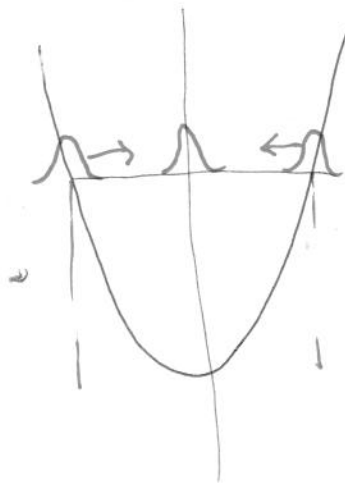
$$\langle p \rangle_t = \langle \alpha(t) | \hat{p} | \alpha(t) \rangle = -i \sqrt{\frac{m\omega\hbar}{2}} \langle \alpha(t) | \hat{a} - \hat{a}^\dagger | \alpha(t) \rangle$$

$$= \dots = -i \sqrt{\frac{m\omega\hbar}{2}} (\alpha e^{-i\omega t} - \alpha^* e^{i\omega t})$$

$$= -\sqrt{\frac{m\omega\hbar}{2}} 2|\alpha| \sin(\omega t + \delta)$$

$$\langle x \rangle_t \langle p \rangle_t = \frac{\hbar}{2}$$

Still a minimum-uncertainty state (unlike Gaussian wave packet)



↓ class. turning pts

# Application of SHO

## Charged SHO in an Electric Field.

Electrical Susceptibility of an Electrically Bound Electron

$$\hat{H}' = \frac{\hat{p}^2}{2m} + \frac{1}{2} m \omega^2 \hat{x}^2 - q \hat{E} \hat{x} = \hat{H}_{SHO} - q \hat{E} \hat{x}$$

electric perturbation  $\left( \begin{matrix} q = e \\ \hat{E} = \vec{E} \end{matrix} \right)$

Let  $|\psi'_\epsilon\rangle$  st.  $\hat{H}' |\psi'_\epsilon\rangle = \epsilon' |\psi'_\epsilon\rangle$

$$\langle x | \left( -\frac{\hbar^2}{2m} \partial_x^2 + \frac{1}{2} m \omega^2 x^2 - q \hat{E} x \right) \psi'_\epsilon(x) = \epsilon' \psi'_\epsilon(x) = \hbar \omega \left( \frac{1}{2} + n \right) \psi'_\epsilon(x)$$

$$\left[ -\frac{\hbar^2}{2m} \partial_x^2 + \frac{1}{2} m \omega^2 \left( x - \frac{q \hat{E}}{m \omega^2} \right)^2 - \frac{q^2 \hat{E}^2}{2m \omega^2} \right] \psi'_\epsilon(x) = \epsilon' \psi'_\epsilon(x) \quad (1)$$

complete the square

Let  $u = x - \frac{q \hat{E}}{m \omega^2} \quad (2)$

$$\Rightarrow \left[ -\frac{\hbar^2}{2m} \partial_u^2 + \frac{1}{2} m \omega^2 u^2 \right] \psi'_\epsilon(u) = \epsilon'' \psi'_\epsilon(u) \quad (3) \leftarrow SHO$$

with  $\epsilon'' = \epsilon' + \frac{q^2 \hat{E}^2}{2m \omega^2} \quad (4)$

Sol. of (3) is SHO:  $\epsilon''_n = \left( n + \frac{1}{2} \right) \hbar \omega \quad (5) \quad (u = 0, 1, 2, \dots)$

Eigenvalues:  $\epsilon'_n = ?$

$$(4) + (5) \Rightarrow \epsilon'_n = \hbar \omega \left( n + \frac{1}{2} \right) - \frac{q^2 \hat{E}^2}{2m \omega^2} = \epsilon_n(\hat{E}) \quad (6)$$

$\hookrightarrow$  energies with  $\hat{E}$ -field present

$\uparrow$  correction due to  $\hat{E}$ -field (spectrum shift)

Eigenfunctions:  $\psi'_n(x) = ?$

(2)  $\Rightarrow u = x - \frac{q \hat{E}}{m \omega^2}$

$$\psi'_n(x) = \psi_n \left( x - \frac{q \hat{E}}{m \omega^2} \right) \quad (7)$$

$\uparrow$  with  $\hat{E}$

$\uparrow$  without  $\hat{E}$

$\rightarrow$  shifted e-funs (due to the force from the  $\hat{E}$ -field on the particle)

(56)

electric dipole moment of atom

$$\underline{D} = qz \quad (8)$$

polarization

$$E = 0: \langle D \rangle = q \langle \psi_0 | \hat{z} | \psi_0 \rangle = 0 \quad (9)$$

$E \neq 0$   
(slowly incr.)

$|\psi_0\rangle \rightarrow |\psi'_0\rangle$   
slowly

$$\Rightarrow \langle D \rangle' = q \langle \psi'_0 | \hat{z} | \psi'_0 \rangle = q \int dx x |\psi'_0(x)|^2 \quad (10)$$

$$\begin{aligned} (9) + (10) \Rightarrow \langle D \rangle' &= q \int u |\psi_0(u)|^2 du + \frac{q^2 E}{m\omega^2} \int du |\psi_0(u)|^2 \\ &= \frac{q^2 E}{m\omega^2} \quad (11) \end{aligned}$$

electrical susceptibility of atomic electron (classically bound)

$$\chi = \frac{\langle D \rangle'}{E} = \frac{q^2}{m\omega^2} \quad (12)$$

Interpretation of (11), (12):

$E$  shifts the classical equilibrium position of the electron

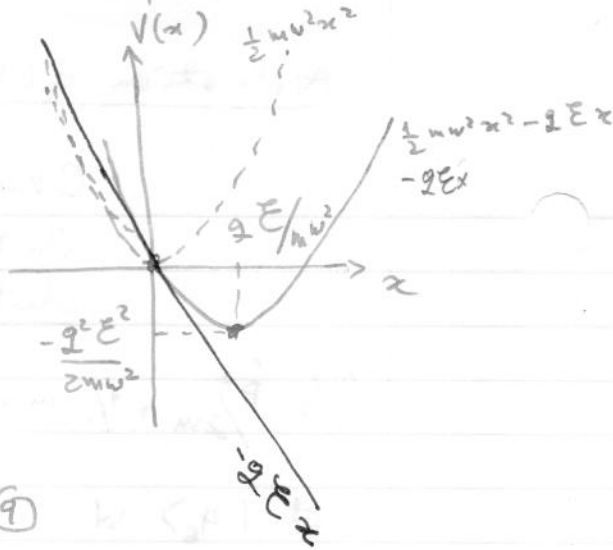
$$\text{i.e. } \Delta x = \sqrt{\langle x^2 \rangle - \langle x \rangle^2} \text{ in QM}$$

$\Downarrow$

induced dipole moment

$$\chi(\omega) \sim 1/\omega^2 \Rightarrow \chi \rightarrow \text{if restoring force } \downarrow$$

$$\chi \downarrow \text{ if restoring force } \rightarrow$$





Orbital

Position - Representation of Angular Momentum. (Townsend 9.9)

Eigenfunctions of  $\hat{L}^2, \hat{L}_z$

$\hat{r} |\vec{r}\rangle = \vec{r} |\vec{r}\rangle, \quad |\vec{r}\rangle = |x, y, z\rangle = |r, \theta, \varphi\rangle = |l, m, z\rangle \text{ etc.}$

$\hat{L} = \hat{r} \times \hat{p}$

$$\begin{cases} \hat{L}_x = -i\hbar (y \partial_z - z \partial_y) \\ \hat{L}_y = -i\hbar (z \partial_x - x \partial_z) \\ \hat{L}_z = -i\hbar (x \partial_y - y \partial_x) \end{cases} \quad \text{or } L_i = -i\hbar \epsilon_{ijk} x_j \partial_k$$

\* Spherical coord:  $x = r \sin\theta \cos\varphi$   
 $y = r \sin\theta \sin\varphi, \quad r > 0, \theta \in [0, \pi], \varphi \in [0, 2\pi]$   
 $z = r \cos\theta$

$dV = d^3r = r^2 dr d\Omega = r^2 dr \sin\theta d\theta d\varphi$

$\Rightarrow \begin{cases} \hat{L}_x = i\hbar (\sin\theta \partial_\theta + \frac{\cos\varphi}{\tan\theta} \partial_\varphi) \\ \hat{L}_y = i\hbar (-\cos\varphi \partial_\theta + \frac{\sin\varphi}{\tan\theta} \partial_\varphi) \\ \hat{L}_z = -i\hbar \partial_\varphi \end{cases}$

$\Rightarrow \begin{cases} \hat{L}^2 = -\hbar^2 \left( \partial_\theta^2 + \frac{1}{\tan\theta} \partial_\theta + \frac{1}{\sin^2\theta} \partial_\varphi^2 \right) \\ \hat{L}_+ = \hbar e^{i\varphi} (\partial_\theta + i \cot\theta \partial_\varphi) \\ \hat{L}_- = \hbar e^{-i\varphi} (-\partial_\theta + i \cot\theta \partial_\varphi) \end{cases}$

no dependence on the radial coordinate,  $r$

\* E-value problem:

No spin

$\langle \vec{r} | \hat{L}^2 | l m \rangle = \hbar^2 l(l+1) | l m \rangle$   
 $\langle \vec{r} | \hat{L}_z | l m \rangle = \hbar m | l m \rangle, \quad m = -l, \dots, +l$

$\begin{cases} \langle \vec{r} | \hat{L}^2 | l m \rangle = \hbar^2 l(l+1) \langle \vec{r} | l m \rangle \\ \langle \vec{r} | \hat{L}_z | l m \rangle = \hbar m \langle \vec{r} | l m \rangle \end{cases} \quad \text{①}$

$\hat{L}_x, \hat{L}_z$  only depend on  $\theta, \varphi \rightarrow$

separable  
↓

$$\langle r | l m \rangle = \langle r, \theta, \varphi | l m \rangle = \Phi_{lm}(r, \theta, \varphi) = R(r) Y_{lm}(\theta, \varphi)$$

$$\Rightarrow \begin{cases} - \left( \partial_\theta^2 + \frac{1}{\tan \theta} \partial_\theta + \frac{1}{\sin^2 \theta} \partial_\varphi^2 \right) Y_{lm}(\theta, \varphi) = l(l+1) Y_{lm}(\theta, \varphi) \\ -i \partial_\varphi Y_{lm}(\theta, \varphi) = m Y_{lm}(\theta, \varphi) \end{cases}$$

$$\begin{aligned} \hat{L}^2 Y_{lm}(\theta, \varphi) &= \hbar^2 l(l+1) Y_{lm}(\theta, \varphi) \\ \hat{L}_z Y_{lm}(\theta, \varphi) &= m \hbar Y_{lm}(\theta, \varphi) \end{aligned}$$

$$\hat{L}_\pm Y_{lm}(\theta, \varphi) = \hbar \sqrt{l(l+1) - m(m \pm 1)} Y_{l, m \pm 1}(\theta, \varphi)$$

$$Y_{lm}(\theta, \varphi) = (-1)^m \sqrt{\frac{2l+1}{4\pi} \frac{(l-m)!}{(l+m)!}} P_l^m(\cos \theta) e^{im\varphi} \quad (m \geq 0)$$

$$= \frac{(-1)^m}{2^l l!} \sqrt{\frac{1}{\sin^m \theta} \frac{d^{l-m}}{d(\cos \theta)^{l-m}} (\sin \theta)^{2l}}$$

$P_l^m(\cos \theta)$  - Associated Legendre polynomials:

$$P_l^m(x) = (-1)^m \frac{1}{2} \frac{d^{|m|}}{dx^{|m|}} P_l(x), \quad P_l^0(x) = P_l(x)$$

$P_l(x)$  - Legendre polynomials:

$$Y_{lm}(\theta, \varphi) = (-1)^m Y_{l, -m}(\theta, \varphi)$$

$$P_0(x) = 1$$

$$P_1(x) = x$$

$$P_2(x) = (3x^2 - 1)/2 \text{ etc.}$$

Spherical harmonics

in  
university  
with us,  
Johannes  
J. J. J.

\* Normalization of angular eigenfunctions:

$$\langle l m^* | l m \rangle = 1 \quad \Rightarrow$$

$\uparrow$   
||<sub>r</sub>

$$\begin{aligned} 1 &= \langle l m | \int d^3 r |\vec{r} \times \vec{r}\rangle | l m \rangle = \int d^3 r \langle l m | \vec{r} \times \vec{r} | l m \rangle \\ &= \int d^3 r \Phi_{lm}^*(\vec{r}) \Phi_{lm}(\vec{r}) = \int d^3 r |\Phi_{lm}(\vec{r})|^2 \\ &= \underbrace{\int_0^\infty r^2 dr |R(r)|^2}_{||_r} \underbrace{\int_0^\pi \sin\theta d\theta \int_0^{2\pi} d\varphi |Y_{lm}(\theta, \varphi)|^2}_{||_{\Omega}} \end{aligned}$$

separate normalization of the radial and angular parts.

\* Orthogonality and closure of  $Y_{lm}$ :

$$\int_0^{2\pi} d\varphi \int_0^\pi \sin\theta d\theta \int_0^\infty r^2 dr Y_{l'm'}^*(\theta, \varphi) Y_{lm}(\theta, \varphi) = \delta_{ll'} \delta_{mm'} \quad (\text{orthogonality})$$

- Any  $f(\theta, \varphi) = \sum_{l=0}^{\infty} \sum_{m=-l}^l c_{lm} Y_{lm}(\theta, \varphi)$  (closure)

with  $c_{lm} = \int_0^{2\pi} d\varphi \int_0^\pi \sin\theta d\theta Y_{lm}^*(\theta, \varphi) f(\theta, \varphi)$

\* Orthogonal basis of  $\{Y_{lm}(\theta, \varphi)\}$ :

$$\begin{aligned} \sum_{l=0}^{\infty} \sum_{m=-l}^l Y_{lm}^*(\theta', \varphi') Y_{lm}(\theta, \varphi) &= \delta(\cos\theta - \cos\theta') \delta(\varphi - \varphi') \\ &= \frac{1}{\sin\theta} \delta(\theta - \theta') \delta(\varphi - \varphi') \end{aligned}$$

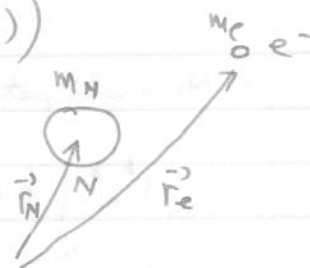
(completeness)

## Rotationally invariant problems

The Hydrogenic Atom (nucleus  $(Ze)$ , electron  $(e)$ )

CGS units

Reduced mass:  $\mu = \frac{m_N m_e}{m_N + m_e}$



$$\hat{H} = \frac{\hat{P}^2}{2\mu} + V(r)$$

$$\left[ -\frac{\hbar^2}{2\mu} \nabla^2 + V(r) \right] \psi(\vec{r}) = E \psi(\vec{r})$$

$$\nabla^2 = \frac{1}{r} \partial_r^2 r + \frac{1}{r^2} \left( \partial_\theta^2 + \frac{1}{\tan \theta} \partial_\theta + \frac{1}{\sin^2 \theta} \partial_\phi^2 \right)$$

$\Rightarrow H =$

$$\left[ -\frac{\hbar^2}{2\mu} \frac{1}{r} \partial_r^2 r + \frac{\hat{L}^2}{2\mu r^2} + V(r) \right] \psi(r, \theta, \phi) = E \psi(r, \theta, \phi)$$

Conservation of Linear and Angular Momentum.

Translational and Rotational Invariance

Two-body Hamiltonian:

(Towards 9.2)

$$\hat{H} = \hat{P}_1^2 / 2m_1 + \hat{P}_2^2 / 2m_2 + V(|\vec{r}_2 - \vec{r}_1|)$$

$$\hat{P}_i^2 = \sum_{\alpha=1}^3 \hat{P}_{i\alpha}^2, \quad \alpha=1,2,3 \text{ or } x,y,z$$

Neglect spin, Dot:  $|\vec{r}_1, \vec{r}_2\rangle = |\vec{r}_1\rangle_1 \otimes |\vec{r}_2\rangle_2$  ( $\otimes$  Direct or Tensor prod) (Joining of Hilbert spaces)

Translations:

$$\hat{T}_1(\vec{a}) |\vec{r}_1, \vec{r}_2\rangle = e^{-i\vec{P}_1 \cdot \vec{a} / \hbar} |\vec{r}_1, \vec{r}_2\rangle = |\vec{r}_1 + \vec{a}, \vec{r}_2\rangle$$

$$\hat{T}_2(\vec{a}) |\vec{r}_1, \vec{r}_2\rangle = e^{-i\vec{P}_2 \cdot \vec{a} / \hbar} |\vec{r}_1, \vec{r}_2\rangle = |\vec{r}_1, \vec{r}_2 + \vec{a}\rangle$$

$$\Rightarrow [\hat{P}_1, \hat{P}_2] = 0$$

$$\hat{T}_1(\vec{a}) \hat{T}_2(\vec{a}) |\vec{r}_1, \vec{r}_2\rangle = (\hat{T}_1(\vec{a}) |\vec{r}_1\rangle_1) \otimes (\hat{T}_2(\vec{a}) |\vec{r}_2\rangle_2) =$$

$$= e^{-i\vec{P}_1 \cdot \vec{a} / \hbar} |\vec{r}_1\rangle_1 \otimes e^{-i\vec{P}_2 \cdot \vec{a} / \hbar} |\vec{r}_2\rangle_2$$

$$= e^{-i(\vec{P}_1 + \vec{P}_2) \cdot \vec{a} / \hbar} |\vec{r}_1\rangle_1 \otimes |\vec{r}_2\rangle_2$$

$$= e^{-i\vec{P} \cdot \vec{a} / \hbar} |\vec{r}_1, \vec{r}_2\rangle$$

$\vec{P}$  is the generator of simultaneous translation of both pels.

where  $\vec{P} \equiv \vec{P}_1 + \vec{P}_2$

inter-pel. distance not affected

$$[\hat{H}, \hat{T}_1(\vec{a}) \hat{T}_2(\vec{a})] \Rightarrow [\hat{H}, \vec{P}] = 0$$

transl. invariance of  $\hat{H}$

$$\Rightarrow 0 = \langle [\hat{H}, \vec{P}] \rangle / \hbar = \frac{d\langle \vec{P} \rangle}{dt} \Rightarrow \langle \vec{P} \rangle = \text{const. of motion}$$

Generators of infinitesimal spatial rotations: Orbital angular momentum

$$\hat{R}_z(d\varphi) = \hat{1} - \frac{i\hat{L}_z d\varphi}{\hbar} \quad (\hat{L}_z = \hat{x}\hat{p}_y - \hat{y}\hat{p}_x \dots)$$

\* Rotations:

$$\hat{R}(d\varphi, \vec{z}) |\vec{r}\rangle = |x - z d\varphi, y + x d\varphi, z\rangle$$

$$\hat{L}_z = \hat{x}\hat{p}_y - \hat{y}\hat{p}_x \quad \hat{L}_z = \left( \hat{1} - \frac{i}{\hbar} \hat{L}_z d\varphi \right) |\vec{r}\rangle = \left( \hat{1} - \frac{i}{\hbar} \hat{L}_z d\varphi \right) |x, y, z\rangle$$

$$[\hat{L}_z, \hat{x}] = i\hbar \hat{y}; \quad [\hat{L}_z, \hat{y}] = -i\hbar \hat{x}; \quad [\hat{L}_z, \hat{z}] = 0$$

$$\Rightarrow [\hat{L}_z, \hat{x}^2 + \hat{y}^2 + \hat{z}^2] = 0 = [\hat{L}_z, \hat{r}^2]$$

$$\Rightarrow \boxed{[\hat{L}_z, V(\hat{r})] = 0}$$

OR

$$|\vec{r}\rangle = |r, \theta, \varphi\rangle, \quad \hat{R}(d\varphi, \vec{z}) |r, \theta, \varphi\rangle = |r, \theta, \varphi + d\varphi\rangle$$

$$\hat{R}(d\varphi, \vec{z}) V(\hat{r}) |r, \theta, \varphi\rangle = \hat{R}(d\varphi, \vec{z}) V(r) |r, \theta, \varphi\rangle$$

$$= V(r) \hat{R}(d\varphi, \vec{z}) |r, \theta, \varphi\rangle = V(r) |r, \theta, \varphi + d\varphi\rangle$$

$$= V(\hat{r}) |r, \theta, \varphi + d\varphi\rangle = V(\hat{r}) \hat{R}(d\varphi, \vec{z}) |r, \theta, \varphi\rangle$$

$$\Rightarrow \hat{R} V(\hat{r}) = V(\hat{r}) \hat{R} \Rightarrow [\hat{R}(d\varphi, \vec{z}), V(\hat{r})] = 0$$

$$\Rightarrow \boxed{[\hat{L}_z, V(\hat{r})] = 0}$$

Also  $\boxed{[\hat{H}, \hat{L}_x] = [\hat{H}, \hat{L}_y] = [\hat{H}, \hat{L}_z] = 0}$

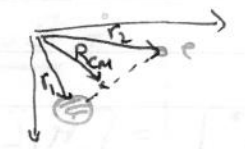
Rotational invariance of  $\hat{H}$

$$\hat{H} = [\hat{L}, \hat{H}] \leftarrow [\hat{L}_i, \hat{H}] = 0$$

(two) particles (two) particles (Toward 9.2)

Two-body Hamiltonian. Relative and CM coordinates

$$\hat{H} = \hat{P}_1^2/2m_1 + \hat{P}_2^2/2m_2 + V(|\vec{r}_2 - \vec{r}_1|)$$



$$\vec{r} = \vec{r}_1 - \vec{r}_2, \quad \vec{R}_{cm} = \frac{m_1 \vec{r}_1 + m_2 \vec{r}_2}{m_1 + m_2}, \quad \vec{P}_{cm} = \vec{P}_1 + \vec{P}_2 \leftarrow \text{total momentum}$$

$$[\hat{x}_i, \hat{P}_j] = 0; \quad [\hat{X}_i, \hat{P}_j] = i\hbar \delta_{ij}$$

relative mom:  $\hat{P} = \frac{m_2 \vec{P}_1 - m_1 \vec{P}_2}{m_1 + m_2}$   $\left( \begin{aligned} \vec{p} &= \frac{m_1 m_2}{m_1 + m_2} (\vec{v}_1 - \vec{v}_2) \\ &= \frac{m_2 \vec{p}_1 - m_1 \vec{p}_2}{m_1 + m_2} \end{aligned} \right)$

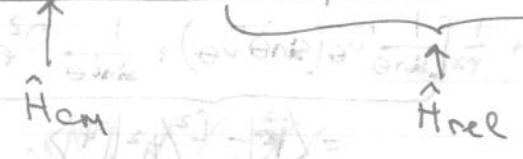
$$[\hat{x}_i, \hat{p}_j] = i\hbar \delta_{ij}, \quad [\hat{X}_i, \hat{P}_j] = 0$$

$$|\vec{r}_1 \vec{r}_2\rangle \rightarrow |\vec{r} \vec{R}\rangle \leftarrow \text{relative coordinates}$$

$$\hat{H} = \frac{\hat{P}_{cm}^2}{2M} + \frac{\hat{P}^2}{2\mu} + V(|\vec{r}|)$$

$$M = m_1 + m_2$$

$$\mu = \frac{m_1 m_2}{m_1 + m_2}$$



$$[\hat{H}_{cm}, \hat{H}_{rel}] = 0 \Rightarrow |E_{cm}, E_{rel}\rangle :$$

$$\hat{H} |E_{cm}, E_{rel}\rangle = (\hat{H}_{cm} + \hat{H}_{rel}) |E_{cm}, E_{rel}\rangle$$

$$= (E_{cm} + E_{rel}) |E_{cm}, E_{rel}\rangle$$

functions e-~~xxx~~ of  $\hat{H}_{cm}$ :  $\Psi_{\vec{P}_{cm}}(\vec{R}_{cm}) = \langle \vec{R}_{cm} | \vec{P}_{cm} \rangle = \frac{e^{i \vec{P}_{cm} \cdot \vec{R}_{cm} / \hbar}}{(2\pi\hbar)^{3/2}}$

Approach:

Analyze dynamics in the CM frame

$$\hookrightarrow \vec{P}_{cm} = 0, \quad E = E_{rel}$$

$$\hat{H} = \frac{\hat{P}^2}{2\mu} + V(\vec{r})$$





The problem is re-cast to a simple 1D problem of solving for the dynamics of a particle in the effective potential

$$V_{\text{eff}}(r) \equiv \frac{\hbar^2 l(l+1)}{2\mu r^2} + V(r) \quad \left( = \frac{L^2}{2I} + V(r) \right)$$

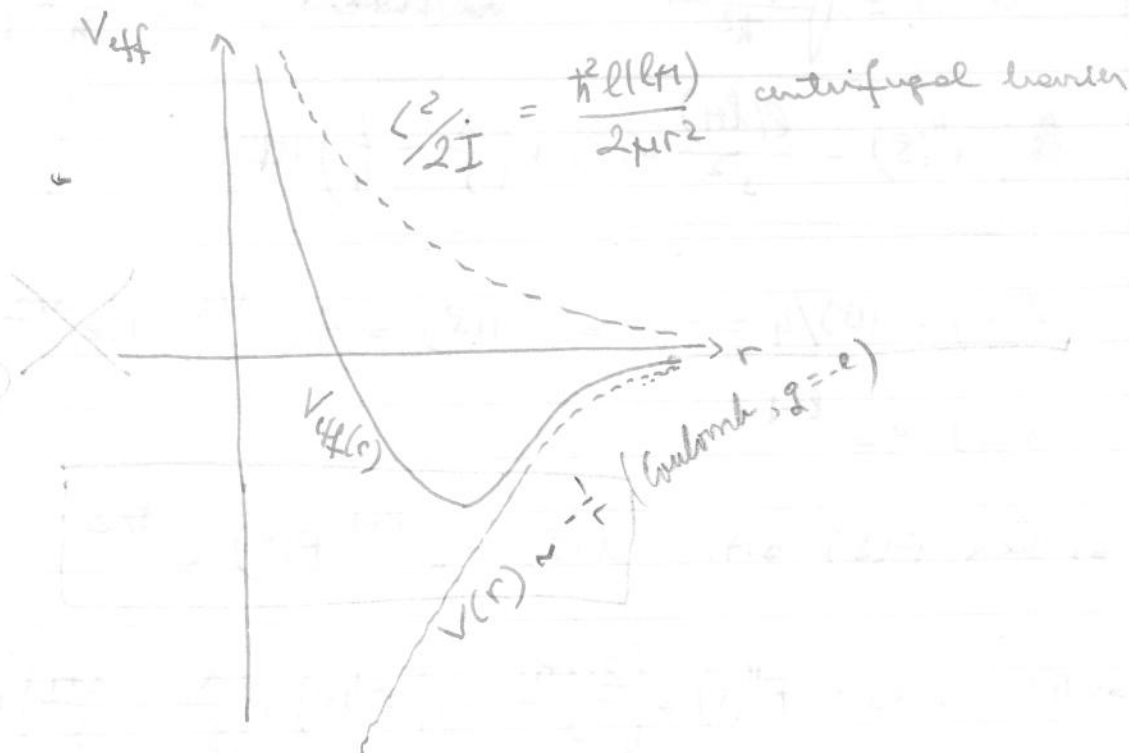
Note:

No " $m$ " dependence in the radial TISE  $\Leftrightarrow$   
 $\Leftrightarrow$  rotational invariance of  $\hat{H}$ .

This, however, is not the real state of the hydrogenic atoms  $\rightarrow$  need spin DOF such that  $|E l m s\rangle$

These are the states that form a complete set and can be used as an efficient basis.

$$\text{TISE: } \left[ \frac{-\hbar^2}{2\mu} \frac{d^2}{dr^2} + V_{\text{eff}}(r) \right] u(r) = E u(r)$$



10.1

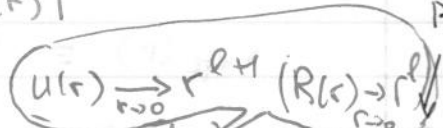
Bound States in Central Potentials

TISE on p. 64

Transfer of normalization from  $R(r)$  to  $u(r)$ :

$$\int_0^\infty dr |R(r)|^2 r^2 = 1 = \int_0^\infty dr |u(r)|^2$$

see Townsend p. 275-276 for details



Boundary conditions:

(BC1)  $\lim_{r \rightarrow 0} r^2 V(r) = 0$  i.e.  $V(r) \sim \frac{1}{r^k}$ ,  $k \leq 2$   $\Rightarrow \begin{cases} u(r) \rightarrow r^{l+1} \\ R(r) \rightarrow r^l \end{cases}$

(BC2)  $\lim_{r \rightarrow \infty} R(r) = \lim_{r \rightarrow \infty} u(r) = 0$   $\Rightarrow u(r) \rightarrow e^{-ar}$

Hydrogenic Atoms. The Coulomb Potential

$$V(r) = \frac{(Ze)(-e)}{r} = -\frac{Ze^2}{r} \quad (\text{SI: } e \rightarrow e/4\pi\epsilon_0)$$

TISE:  $\left[ -\frac{\hbar^2}{2\mu} \frac{d^2}{dr^2} + \frac{\hbar^2 u(l+1)}{2\mu r^2} - \frac{Ze^2}{r} \right] u(r) = E u(r)$

Bound states  $E = -|E| < 0$

Set  $\rho = \sqrt{\frac{8\mu|E|}{\hbar^2}} r$  ;  $\lambda \equiv \frac{Ze^2}{\hbar} \sqrt{\frac{\mu}{2|E|}}$

$$u''(\rho) - \frac{l(l+1)}{\rho^2} u(\rho) + \left( \frac{\lambda}{\rho} - \frac{1}{4} \right) u(\rho) = 0 \quad (1)$$

$\rho \rightarrow \infty$ :

$u''(\rho) - u(\rho)/4 = 0 \Rightarrow u(\rho) = A e^{-\rho/2} + B e^{\rho/2}$  (BC2)  $\Rightarrow$

$\rho \rightarrow 0$ :

$u(\rho) \sim \rho^{l+1}$

$\Rightarrow$  seek  $F(\rho)$  s.t.  $u(\rho) = \rho^{l+1} F(\rho) e^{-\rho/2}$

$\Rightarrow (1)$  becomes:  $F''(\rho) + \left[ \frac{2(l+1)}{\rho} - 1 \right] F'(\rho) + \left( \frac{\lambda}{\rho} - \frac{l+1}{\rho} \right) F(\rho) = 0$

Seek solution:  $F(\rho) = \sum_{k=0}^{\infty} c_k \rho^k$ ,  $c_0 \neq 0$  (3)

② becomes a recurrence relation:

$$\sum_{k=2}^{\infty} k(k-1) c_k \rho^{k-2} + \sum_{k=1}^{\infty} 2(l+1)k c_k \rho^{k-2} + \sum_{k=0}^{\infty} [-k + \lambda - (l+1)] c_k \rho^{k-1} = 0$$

chg. var:  $k' = k-1 \Rightarrow$

$$\sum_{k=0}^{\infty} \left\{ [k(k+1) + 2(l+1)(k+1)] c_{k+1} + [-k + \lambda - (l+1)] c_k \right\} \rho^{k-1} = 0$$

$$\Rightarrow \frac{c_{k+1}}{c_k} = \frac{k + l + 1 - \lambda}{(k+1)(k+2l+2)} \xrightarrow{k \rightarrow \infty} \frac{1}{k} \text{ same as } e^{\rho}$$

$\Rightarrow$  series for  $F(\rho)$  ③ must terminate to avoid exponential growth for  $\infty$ .

$\Downarrow$

set  $\lambda = 1 + l + n_r$ ,  $n_r = 0, 1, 2, 3, \dots$

to determine  $\underline{k}$  at which series must be truncated.

$\Rightarrow$   $F(\rho)$  is a  $n_r$ -degree polynomial.  $\Rightarrow$  Assoc. Laguerre Poly

$\Rightarrow$  Quantized energies:

$$E = - \frac{\mu Z^2 e^4}{2 \hbar^2 \lambda^2} = - \frac{\mu Z^2 e^4}{2 \hbar^2 (1 + l + n_r)^2}$$

$n \equiv 1 + l + n_r$  Principal Quantum Number.  $n$

$$E_n = - \frac{\mu Z^2 e^4}{2 \hbar^2 n^2}$$

,  $n = 1, 2, 3, \dots$

$$(R_y)_{\text{Si}} = \frac{\mu e^4}{8 \hbar^2 \epsilon_0} = 2.179 \times 10^{-18} \text{ J}$$

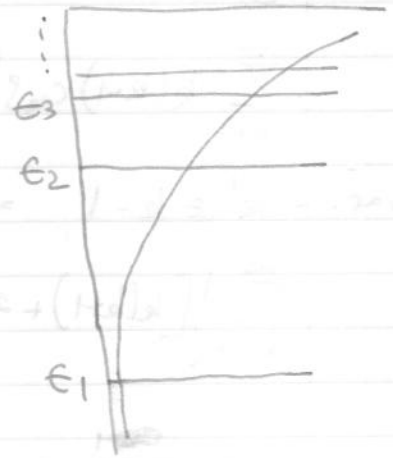
$$(R_y)_{\text{eV}} = \frac{\mu e^4}{2 \hbar^2} = 13.6 \text{ eV}$$

$$E_n = - Z^2 \frac{R_y}{n^2}$$

$$= - \frac{\mu c^2 Z^2}{2 n^2} \alpha^2, \quad \alpha = \frac{e^2}{\hbar c} = \frac{1}{137}$$

Hydrogen:  $Z = 1$

$$\Rightarrow E_n = - \frac{13.6 \text{ eV}}{n^2}$$



Transition  $h\nu = E_{n_i} - E_{n_f} = \frac{mc^2\alpha^2}{2} \left( \frac{1}{n_f^2} - \frac{1}{n_i^2} \right)$

$$\frac{1}{\lambda} = \underbrace{\frac{mc^2\alpha^2}{2h}}_{R_H} \left( \frac{1}{n_f^2} - \frac{1}{n_i^2} \right)$$

Eigenfunctions of the hydrogen atom:

$$\psi_{nlm}(r, \theta, \varphi) = R_{nl}(r) Y_{lm}(\theta, \varphi) \quad ; \quad 1 = \int_0^\infty dr |R(r)|^2 r^2 = \int_0^\infty dr |u(r)|^2$$
$$1 = \int d\Omega |Y_{lm}(\theta, \varphi)|^2$$

Radial  
e-funs:

## The Parity Operator: Spatial Inversion Symmetry

$$\hat{\Pi} |x\rangle = |-x\rangle$$

e-value problem:  $\hat{\Pi} |\lambda\rangle = \lambda |\lambda\rangle$  ;  $\hat{\Pi}^2 |\lambda\rangle \stackrel{1}{=} \lambda^2 |\lambda\rangle \stackrel{2}{=} |\lambda\rangle$  }  $\rightarrow$

$\lambda = \pm 1$  e-values of the parity operator.

Arbitrary state in position representation:  $\begin{cases} \langle x | \psi \rangle = \psi(x) \\ \langle -x | \psi \rangle = \psi(-x) \end{cases}$

$$\langle x | \hat{\Pi} | \psi \rangle = \langle -x | \psi \rangle = \psi(-x)$$

Parity e-states:  $\langle x | \hat{\Pi} | \psi_\lambda \rangle \stackrel{1}{=} \langle -x | \psi_\lambda \rangle = \psi_\lambda(-x)$   
 $\stackrel{2}{=} \langle x | \lambda | \psi_\lambda \rangle = \lambda \langle x | \psi_\lambda \rangle = \lambda \psi_\lambda(x)$  }

$$\Rightarrow \boxed{\psi_\lambda(-x) = \lambda \psi_\lambda(x) \text{ with } \lambda = \pm 1}$$

i.e.  $\begin{cases} \psi_+(-x) = \psi_+(x) & \text{even parity} \\ \psi_-(-x) = -\psi_-(x) & \text{odd parity} \end{cases}$

$$\langle x | \hat{\Pi} \hat{H} | \psi \rangle = \langle x | \hat{\Pi} \left( \frac{\hat{p}^2}{2m} + V(\vec{x}) \right) | \psi \rangle =$$

$$= \langle -x | \frac{\hat{p}^2}{2m} + V(\vec{x}) | \psi \rangle = \left[ -\frac{\partial_x^2}{2m} + V(-x) \right] \psi(-x)$$

$$\neq \left[ -\frac{\partial_x^2}{2m} + V(x) \right] \psi(-x) = \frac{\langle x | \hat{H} \hat{\Pi} | \psi \rangle}{\Rightarrow [\hat{H}, \hat{\Pi}] = 0} \text{ if } V(-x) = V(x) \text{ i.e. SHO } \sim x^2$$

$$\neq \left[ -\frac{\partial_x^2}{2m} - V(x) \right] \psi(-x) \neq \langle x | \hat{H} \hat{\Pi} | \psi \rangle \text{ if } V(-x) = -V(x) \text{ i.e. Coulomb } \frac{1}{r}$$

Usage: Observing behavior of  $\hat{H}$  under inversion simplifies calculation of expectation values, matrix elements etc without solving the e-value problem.

In 3D: inversion =  $\left\{ \begin{array}{l} r \rightarrow r \\ \theta \rightarrow \pi - \theta \\ \varphi \rightarrow \varphi + \bar{\varphi} \end{array} \right.$   
 in spherical coordinates

$$\hat{\Pi} Y_{lm}(\theta, \varphi) = (-1)^l Y_{lm}(\theta, \varphi)$$

"

$$\left( Y_{lm}(\pi - \theta, \varphi + \bar{\varphi}) \right) \left\{ \begin{array}{l} \cos \theta \rightarrow \cos(\pi - \theta) = -\cos \theta \\ \sin \theta \rightarrow \sin(\pi - \theta) = \sin \theta \\ e^{im\varphi} \rightarrow e^{im(\varphi + \bar{\varphi})} = (-1)^m e^{im\varphi} \end{array} \right.$$



$$\rightarrow Y_{lm}(\theta, \varphi) \rightarrow Y_{lm}(\theta, \varphi) (-1)^m (-1)^{l-m} = (-1)^l Y_{lm}(\theta, \varphi)$$

$(x)V = (x)V$ ;  $\langle \hat{\Pi} V | \hat{\Pi} V \rangle = \langle V | V \rangle$   
 (normalization)

# Time-Independent Perturbations

1) Non-degenerate theory

$$\hat{H} = \hat{H}_0 + \hat{H}_1 \lambda \quad (\hat{H}_1 \ll \hat{H}_0)$$

Known:  $\hat{H}_0 |\psi_n^0\rangle = \epsilon_n^0 |\psi_n^0\rangle$ ,  $|\psi_n^0\rangle \equiv |E_n^0\rangle$  (1)

Unknown:  $\hat{H} |\psi_n\rangle = \epsilon_n |\psi_n\rangle$ ,  $\epsilon_n, |\psi_n\rangle = ?$  (2)

$\lambda$  - perturbative parameter  $\lambda=0 \Rightarrow \hat{H} = \hat{H}_0$ ;  $\lambda \rightarrow 1 \Rightarrow \hat{H} \rightarrow \hat{H}_0 + \hat{H}_1$

seek  $|\psi_n\rangle = |\psi_n^0\rangle + \lambda |\psi_n^1\rangle + \lambda^2 |\psi_n^2\rangle + \dots = \sum_{\alpha=0}^{\infty} \lambda^{\alpha} |\psi_n^{\alpha}\rangle$  (3)

$\epsilon_n = \epsilon_n^0 + \lambda \epsilon_n^1 + \lambda^2 \epsilon_n^2 + \dots = \sum_{\alpha=0}^{\infty} \lambda^{\alpha} \epsilon_n^{\alpha}$  (4)

$\hat{H} |\psi_n\rangle = (\hat{H}_0 + \lambda \hat{H}_1) \sum_{\alpha=0}^{\infty} \lambda^{\alpha} |\psi_n^{\alpha}\rangle = \left( \sum_{\alpha=0}^{\infty} \lambda^{\alpha} \epsilon_n^{\alpha} \right) \left( \sum_{\beta} \lambda^{\beta} |\psi_n^{\beta}\rangle \right)$

$\Rightarrow$  power-wise identification (coeffs  $\lambda^k$ ):

$\lambda^0$ :  $\hat{H}_0 |\psi_n^0\rangle = \epsilon_n^0 |\psi_n^0\rangle$

$\lambda^1$ :  $\hat{H}_0 |\psi_n^1\rangle + \hat{H}_1 |\psi_n^0\rangle = \epsilon_n^0 |\psi_n^1\rangle + \epsilon_n^1 |\psi_n^0\rangle$

$\lambda^2$ :  $\hat{H}_0 |\psi_n^2\rangle + \hat{H}_1 |\psi_n^1\rangle = \epsilon_n^0 |\psi_n^2\rangle + \epsilon_n^1 |\psi_n^1\rangle + \epsilon_n^2 |\psi_n^0\rangle$

$\lambda^k$ :  $\hat{H}_0 |\psi_n^k\rangle + \hat{H}_1 |\psi_n^{k-1}\rangle = \epsilon_n^0 |\psi_n^k\rangle + \sum_{l=0}^{k-1} \epsilon_n^l |\psi_n^{k-l}\rangle$

1) First-order energy e-value correction: do  $\langle \psi_n^0 | \lambda^1$ -term

$$\langle \psi_n^0 | \hat{H}_0 | \psi_n^1 \rangle + \langle \psi_n^0 | \hat{H}_1 | \psi_n^0 \rangle = \epsilon_n^0 \langle \psi_n^0 | \psi_n^1 \rangle + \epsilon_n^1 \langle \psi_n^0 | \psi_n^0 \rangle$$

$$\epsilon_n^0 \langle \psi_n^0 | \psi_n^1 \rangle + \langle \psi_n^0 | \hat{H}_1 | \psi_n^0 \rangle = \epsilon_n^0 \langle \psi_n^0 | \psi_n^1 \rangle + \epsilon_n^1$$

$$\Rightarrow \boxed{\epsilon_n^1 = \langle \psi_n^0 | \hat{H}_1 | \psi_n^0 \rangle} \quad (5)$$

$|\psi_n\rangle = ?$

b) First-order  $\lambda$ -ket correction: do  $\langle \psi_k^0 | \lambda^1 \text{-term} \rangle$ : previous page (5)

$$\langle \psi_k^0 | \hat{H}_0 | \psi_n^1 \rangle + \langle \psi_k^0 | \hat{H}_1 | \psi_n^0 \rangle = \epsilon_n^0 \langle \psi_k^0 | \psi_n^1 \rangle + \epsilon_n^1 \langle \psi_k^0 | \psi_n^0 \rangle$$

$$\epsilon_k^0 \langle \psi_k^0 | \psi_n^1 \rangle + \langle \psi_k^0 | \hat{H}_1 | \psi_n^0 \rangle = \epsilon_n^0 \langle \psi_k^0 | \psi_n^1 \rangle + \langle \psi_n^0 | \hat{H}_1 | \psi_n^0 \rangle \delta_{kn}$$

$$\langle \psi_k^0 | \psi_n^1 \rangle (\epsilon_n^0 - \epsilon_k^0) = \langle \psi_k^0 | \hat{H}_1 | \psi_n^0 \rangle - \langle \psi_n^0 | \hat{H}_1 | \psi_n^0 \rangle \delta_{kn} = 0, \text{ for } k \neq n$$

$$\langle \psi_k^0 | \psi_n^1 \rangle = \frac{\langle \psi_k^0 | \hat{H}_1 | \psi_n^0 \rangle}{\epsilon_n^0 - \epsilon_k^0} \quad k \neq n \rightarrow \text{superposition coefficients (6)}$$

$$|\psi_n^1\rangle = \sum_k |\psi_k^0\rangle \langle \psi_k^0 | \psi_n^1 \rangle = \sum_{k \neq n} |\psi_k^0\rangle \frac{\langle \psi_k^0 | \hat{H}_1 | \psi_n^0 \rangle}{\epsilon_n^0 - \epsilon_k^0} + |\psi_n^0\rangle \langle \psi_n^0 | \psi_n^1 \rangle$$

$$\triangleq |\psi_n^0\rangle \langle \psi_n^0 | \psi_n^1 \rangle + \sum_{k \neq n} |\psi_k^0\rangle \langle \psi_k^0 | \psi_n^1 \rangle \quad (7)$$

$\langle \psi_n^0 | \psi_n^1 \rangle = ?$

$$1 \stackrel{!}{=} \langle \psi_n | \psi_n \rangle = \left( \sum_{\alpha=0}^{\infty} \lambda^\alpha \langle \psi_n^\alpha | \right) \left( \sum_{\beta=0}^{\infty} \lambda^\beta | \psi_n^\beta \rangle \right) =$$

$$= \sum_{\alpha} \sum_{\beta} \lambda^\alpha \lambda^\beta \langle \psi_n^\alpha | \psi_n^\beta \rangle$$

$$= \underbrace{\langle \psi_n^0 | \psi_n^0 \rangle}_{=1} + \lambda \underbrace{\langle \psi_n^0 | \psi_n^1 \rangle}_{=iA} + \lambda \underbrace{\langle \psi_n^1 | \psi_n^0 \rangle}_{=-iA} + \mathcal{O}(\lambda^2)$$

$\Rightarrow$   $iA$  (A real),  $\lambda$  is purely imaginary

$$|\psi_n^1\rangle = \langle \psi_n^0 | \psi_n^1 \rangle |\psi_n^0\rangle + \lambda |\psi_n^1\rangle + \lambda^2 |\psi_n^2\rangle + \dots$$

$$|\psi_n\rangle \stackrel{\textcircled{1}}{=} |\psi_n^0\rangle + (\beta A \lambda |\psi_n^0\rangle + \lambda \sum_{k \neq n} |\psi_k^0\rangle \langle \psi_k^0 | \psi_n^1 \rangle) + \dots \mathcal{O}(\lambda^2)$$

$$\approx e^{iA\lambda} |\psi_n^0\rangle + \lambda \sum_{k \neq n} |\psi_k^0\rangle \langle \psi_k^0 | \psi_n^1 \rangle + \dots \mathcal{O}(\lambda^2) \quad (8)$$

Phase of  $|\psi_n\rangle$  (A $\lambda$ ) is arbitrary  $\rightarrow$  can choose  $A=0$  st.  $\langle \psi_n^0 | \psi_n^1 \rangle \stackrel{!}{=} 0$   
 $\Rightarrow$  Phase of  $|\psi_n\rangle =$  Phase of  $|\psi_n^0\rangle$  to  $\mathcal{O}(\lambda^1)$  (9)





Time-Indep. Perturbation Theory with Degeneracy

Degeneracy: more states  $\{|\psi_{n,i}^0\rangle\}_{i=1,2,\dots,N}$  share energy  $E_n^0$

=> divergence of ~~1st~~<sup>2nd</sup>-order correction ~~term~~  $\frac{\langle \psi_n^0 | \hat{H}_1 | \psi_n^0 \rangle}{E_n^0 - E_k^0}$

+ Without degeneracy:  $|\psi_n^0\rangle \xrightarrow{\lambda} |\psi_n\rangle$

+ With degeneracy:  $\sum_{i=1}^N c_i |\psi_{n,i}^0\rangle \xrightarrow{\lambda} |\psi_n\rangle$

any l.c. of degenerate states can become the exact state perturbed  
 Question: which l.c. is the one that becomes  $|\psi_n\rangle$  as  $\lambda \rightarrow 1$ ?

Seek ~~l.c.~~

$$|\psi_n\rangle = \sum_{i=1}^N c_i |\psi_{n,i}^0\rangle + \lambda |\psi_n^1\rangle + \dots \quad (12) \quad \hat{H}|\psi_n\rangle = E_n |\psi_n\rangle$$

Identify term  $\langle \psi_{n,i}^0 | \psi_n \rangle$

$$\hat{H}_0 |\psi_n^1\rangle + \hat{H}_1 \sum_{i=1}^N c_i |\psi_{n,i}^0\rangle = E_n^0 |\psi_n^1\rangle + E_n^1 \sum_{i=1}^N c_i |\psi_{n,i}^0\rangle \quad (13)$$

$$\langle \psi_{n,i}^0 | \hat{H}_0 |\psi_n^1\rangle + \sum_j c_j \langle \psi_{n,i}^0 | \hat{H}_1 | \psi_{n,j}^0 \rangle = E_n^0 \langle \psi_{n,i}^0 | \psi_n^1 \rangle + E_n^1 \sum_j c_j \langle \psi_{n,i}^0 | \psi_{n,j}^0 \rangle$$

$$\Rightarrow \sum_{j>1} c_j \underbrace{\langle \psi_{n,i}^0 | \hat{H}_1 | \psi_{n,j}^0 \rangle}_{=(H_1)_{ji}} = E_n^1 \sum_{i=1}^N c_i \underbrace{\langle \psi_{n,i}^0 | \psi_{n,i}^0 \rangle}_{\delta_{ii}} = E_n^1 \sum_{i=1}^N \delta_{ii} c_i$$

$$\sum_{i=1}^N c_i (H_1)_{ji} = E_n^1 \sum_{i=1}^N c_i \delta_{ij} \quad (14) \Rightarrow E_n^1 = \dots \quad (\text{e-value problem to 1st order})$$

Ex:  $N=2 \Rightarrow \begin{bmatrix} (H_1)_{11} & (H_1)_{12} \\ (H_1)_{21} & (H_1)_{22} \end{bmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = E_n^{(1)} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} \quad (14')$

-> solve to get e-values  $E_n^{(1)}$  (1st order correction)

In the subspace of the degenerate states  $\{|\psi_n^0, i\rangle\}_{i=1, \dots, N}$  (here, belonging to the unperturbed Hamiltonian  $\hat{H}_0$ ), eq. (14) involves a diagonal perturbing Hamiltonian ( $\hat{H}_1$ ):

$$\sum_i c_i (H_1)_{ji} = E_n^{(1)} \sum_i c_i \delta_{ij} \Leftrightarrow \boxed{(H_1)_{jj} = E_n^{(1)}, j=1, 2, \dots, N} \quad (15)$$

i.e.  $\hat{H}_1 = \begin{pmatrix} E_n^{(1)} & & 0 \\ & E_n^{(1)} & \\ 0 & & \dots & E_n^{(1)} \end{pmatrix}$  so that ~~the~~ matrix form becomes:

$$\begin{pmatrix} 0 & & 0 \\ & \dots & \\ 0 & & E_n^{(1)} \end{pmatrix} \begin{pmatrix} c_1 \\ \vdots \\ c_N \end{pmatrix} = E_n^{(1)} \begin{pmatrix} c_1 \\ \vdots \\ c_N \end{pmatrix}$$

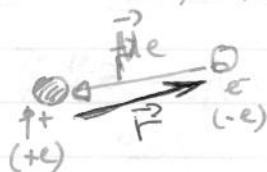
where  $\begin{pmatrix} c_1 \\ \vdots \\ c_N \end{pmatrix} = \sum_{i=1}^N c_i |\psi_n^0, i\rangle$  is the ~~state~~ approximate L.C. to be used to form the exact e-state  $|\psi_n\rangle$  (eg. (12)) of the perturbed Hamiltonian  $\hat{H} = \hat{H}_0 + \hat{H}_1$

Application

The Stark Effect in Hydrogen: H-atom in uniform  $\vec{E}$ -field

- unperturbed:  $\hat{H}_0 = \frac{\hat{p}^2}{2\mu} - \frac{e^2}{\hat{r}}$  (in SI,  $e \rightarrow e/4\pi\epsilon_0$ ),  $\mu = \frac{m_e m_p}{m_e + m_p}$

- perturbation:  $\hat{H}_1 = -\vec{\mu}_e \cdot \vec{E} = e\vec{r} \cdot \vec{E}$  (dipole interaction)



$\vec{\mu}_e = e(-\vec{r}) = -e\vec{r}$

$\hat{H}_0 |n\ell m\rangle = E_n^{(0)} |n\ell m\rangle$ ;  $|l^0\rangle_{n\ell m} \equiv |n\ell m^{(0)}\rangle$  unperturbed states

Let  $\vec{\mu}_e = -e\vec{r}$   $\Rightarrow \hat{H}_1 = -\vec{\mu}_e \cdot \vec{E} = e\vec{r} \cdot \vec{E}$

Let  $\vec{E} = E\hat{z} \Rightarrow \hat{H}_1 = -\vec{\mu}_e \cdot \vec{E} = eE\hat{z}$  (16)

Ground state:  $n=1$

$|l^0\rangle_{100} = |100\rangle$ , non-degenerate;  $E_1$

1st order:

$E_1^{(1)} = \langle l^0\rangle_{100} | \hat{H}_1 | l^0\rangle_{100} = \langle 100 | eE\hat{z} | 100 \rangle$

$= eE \langle 100 | \hat{z} | 100 \rangle = eE \int d^3r \langle 100 | \vec{r} \cdot \hat{z} | 100 \rangle$

$= eE \int d^3r \langle 100 | \vec{r} \cdot \hat{z} | 100 \rangle = eE \int d^3r z \langle 100 | \vec{r} \cdot \hat{z} | 100 \rangle$   
 $\equiv \langle \psi_{100}^{(0)}(\vec{r}) | \equiv \langle \psi_{100}^{(0)}(\vec{r})$

$= eE \int d^3r z |\psi_{100}^{(0)}(\vec{r})|^2$

$z = r \cos \theta$

$= eE \int_0^\infty dr r^2 \int_0^\pi \sin \theta d\theta \int_0^{2\pi} d\phi (r \cos \theta) |R_{10}(r)|^2 |Y_{00}(\theta, \phi)|^2$

$= eE \int_0^\infty dr r^3 e^{-2r/a_0} (4/a_0^3) \cdot (1/4\pi) \int d\theta \sin \theta d\theta \int d\phi \cdot 2\pi = 0$  (17)

But because  $z |\psi_{100}^{(0)}(\vec{r})|^2$  is odd under reflection

$E_1^{(1)} = 0 \Leftrightarrow$  no dipole moment in the ground state ( $n=1$ )

Permanent (intrinsic)

Technically this should be written  $E_{100}^{(1)}$

Gen: no permanent dipole in non-degenerate states

2nd order:  $n=1$ , ground state

$$E_{100}^{(2)} = \sum_{\substack{n \neq 1 \\ l \neq 0 \\ m \neq 0}} \frac{\langle \psi_{nlm}^{(0)} | e E \hat{z} | \psi_{100}^{(0)} \rangle^2}{E_1^{(0)} - E_n^{(0)}} =$$

induced dipole, which interacts, in turn, with the external field  $\vec{E}$   
 $\sim \mu_{induced} \cdot \vec{E} = E^2$

11  
Pg. 11P3

$$= e^2 E^2 \sum_{\substack{n \neq 1 \\ l \neq 0 \\ m \neq 0}} \frac{|\langle nlm | \hat{z} | 100 \rangle|^2}{E_1^{(0)} - E_n^{(0)}} \neq 0 \quad \left( \begin{array}{l} E_n^{(0)} = -\frac{13.6 \text{ eV}}{n^2} \\ E_1^{(0)} = -13.6 \text{ eV} \end{array} \right)$$

$$\langle nlm | \hat{z} | 100 \rangle = \int d^3r \langle nlm | \vec{r} \cdot \hat{z} | 100 \rangle = \int d^3r z \langle nlm | \vec{r} | 100 \rangle$$

$$= \int d^3r z \psi_{nlm}^{(0)*}(\vec{r}) \psi_{100}^{(0)}(\vec{r}) = \int_0^\infty dr \int_0^\pi d\theta \int_0^{2\pi} d\phi r^3 \sin\theta \cos\theta R_{nl}(r) R_{10}(r) Y_{lm}^*(\theta, \phi) Y_{00}(\theta, \phi)$$

$$R_{nl}(r) = \frac{u_{nl}(r)}{r} = \frac{1}{r} \left( \frac{8\mu |E_n^{(0)}|}{\hbar^2} \right)^{1/2} r^{l+1} e^{-\left( \frac{8\mu |E_n^{(0)}|}{\hbar^2} \right)^{1/2} r} F(r)$$

where  $\mu$  is the electron-proton reduced mass

$$\text{and } F(r) = \sum_{k=0}^{n-l} c_k r^k \left( \frac{8\mu |E_n^{(0)}|}{\hbar^2} \right)^{k/2} \quad a.s. \dots$$

we depart solution for (18) Gasiorowicz p. 181: actually an upper bound, not an exact solution)

$$\begin{aligned} E_n^{(0)} - E_1^{(0)} &= (E_n^{(0)} - E_2^{(0)}) + (E_2^{(0)} - E_1^{(0)}) \gg E_2^{(0)} - E_1^{(0)} \\ \Rightarrow [E_n^{(0)} - E_1^{(0)}]^{-1} &\leq [E_2^{(0)} - E_1^{(0)}]^{-1} \\ \Rightarrow |E_n^{(0)} - E_1^{(0)}|^{-1} &\leq |E_2^{(0)} - E_1^{(0)}|^{-1} \quad (E_n < 0 \text{ for bound states}) \end{aligned}$$

$$\Rightarrow |E_{100}^{(2)}| \leq e^2 E^2 \sum_{n \neq 1} \frac{|\langle nlm | \hat{z} | 100 \rangle|^2}{E_2^{(0)} - E_1^{(0)}} = \frac{e^2 E^2}{E_2^{(0)} - E_1^{(0)}} \sum_{n \neq 1} |\langle nlm | \hat{z} | 100 \rangle|^2$$

$$= \frac{e^2 E^2}{E_2^{(0)} - E_1^{(0)}} \sum_{n \neq 1} \langle 100 | \hat{z} | nlm \rangle \langle nlm | \hat{z} | 100 \rangle = \langle 100 | \hat{z} | 100 \rangle \frac{e^2 E^2}{E_2^{(0)} - E_1^{(0)}}$$

$$\frac{e^2 E^2}{E_2^{(0)} - E_1^{(0)}} \langle 100 | \hat{z}^2 | 100 \rangle = \frac{e^2 E^2 a_0^2}{E_2^{(0)} - E_1^{(0)}} \quad (a_0 = \text{Bohr Radius})$$

upper bound for  $E_1^{(2)}$ , (Gasiorowicz p. 181: beside the absolute spectrum, one must add the continuous spectrum (not bound))

TIP 8

$$E_{21}^{(0)} - E_{10}^{(0)} = -\frac{1}{2} m c^2 \alpha^2 \left( \frac{1}{4} - 1 \right) = \frac{8}{3} m c^2 \alpha^4 \quad (\alpha = 1/137)$$

$$\Rightarrow |E_{10}^{(2)}| \leq \frac{8 e^2 E^2 a_0^2}{3 m c^2 \alpha^2} = \frac{8}{3} \underbrace{(4 \pi \epsilon_0)}_{\text{energy}} \underbrace{E^2 a_0^3}_{\text{volume}}$$

$$\Rightarrow |E_{10}^{(2)}| = \text{const} (4 \pi \epsilon_0) E^2 a_0^3$$

First excited state ( $n=2$ )

Degeneracy is 4-fold:  $D = n^2 = 2^2 = 4$

$$\begin{aligned} |2, 0, 0\rangle &\equiv |\psi_{200}\rangle = |\psi_{2,1}\rangle \\ |2, 1, 0\rangle &\equiv |\psi_{210}\rangle = |\psi_{2,2}\rangle \\ |2, 1, 1\rangle &\equiv |\psi_{211}\rangle = |\psi_{2,3}\rangle \\ |2, 1, -1\rangle &\equiv |\psi_{21-1}\rangle = |\psi_{2,4}\rangle \end{aligned}$$

$$E_2^{(0)} = -13.6 \text{ eV}$$

Form matrix (14) for  $\hat{H}_1$ :

$$\hat{H}_1 = \begin{pmatrix} \langle \psi_{2,1}^0 | \hat{H}_1 | \psi_{2,1}^0 \rangle & \langle \psi_{2,1}^0 | \hat{H}_1 | \psi_{2,2}^0 \rangle & \langle \psi_{2,1}^0 | \hat{H}_1 | \psi_{2,3}^0 \rangle & \langle \psi_{2,1}^0 | \hat{H}_1 | \psi_{2,4}^0 \rangle \\ \langle \psi_{2,2}^0 | \hat{H}_1 | \psi_{2,1}^0 \rangle & \langle \psi_{2,2}^0 | \hat{H}_1 | \psi_{2,2}^0 \rangle & \langle \psi_{2,2}^0 | \hat{H}_1 | \psi_{2,3}^0 \rangle & \langle \psi_{2,2}^0 | \hat{H}_1 | \psi_{2,4}^0 \rangle \\ \langle \psi_{2,3}^0 | \hat{H}_1 | \psi_{2,1}^0 \rangle & \langle \psi_{2,3}^0 | \hat{H}_1 | \psi_{2,2}^0 \rangle & \langle \psi_{2,3}^0 | \hat{H}_1 | \psi_{2,3}^0 \rangle & \langle \psi_{2,3}^0 | \hat{H}_1 | \psi_{2,4}^0 \rangle \\ \langle \psi_{2,4}^0 | \hat{H}_1 | \psi_{2,1}^0 \rangle & \langle \psi_{2,4}^0 | \hat{H}_1 | \psi_{2,2}^0 \rangle & \langle \psi_{2,4}^0 | \hat{H}_1 | \psi_{2,3}^0 \rangle & \langle \psi_{2,4}^0 | \hat{H}_1 | \psi_{2,4}^0 \rangle \end{pmatrix} \quad (20)$$

Symmetry arguments make life easier:

(Parity) (S1) Under coordinate inversion ( $r \rightarrow r, \theta \rightarrow \pi - \theta, \varphi \rightarrow \varphi + \pi$ ),

$$Y_{lm}(\theta, \varphi) \rightarrow (-1)^l Y_{lm}(\pi - \theta, \varphi + \pi) = Y_{lm}(\pi - \theta, \varphi + \pi) \quad (21)$$

(Prob. 9.15 Townsend)

$$(H_1)_{ij} \equiv \langle \psi_{2,i}^0 | \hat{H}_1 | \psi_{2,j}^0 \rangle = e E \langle \psi_{2,i}^0 | \hat{z} | \psi_{2,j}^0 \rangle$$

simple:

$|i\rangle \equiv |2i\rangle$   
 $\equiv |nl; m_i\rangle$   
 $\equiv |2l; m_i\rangle$

$$\equiv e E \langle \psi_{2l; m_i}^0 | \hat{z} | \psi_{2l; m_j}^0 \rangle = e E \langle 2l; m_i | \hat{z} | 2l; m_j \rangle$$

$$= e E \int d^3 r \langle 2l; m_i | \vec{r} \cdot \hat{z} | 2l; m_j \rangle = e E \int d^3 r z \langle 2l; m_i | \vec{r} | 2l; m_j \rangle$$

( $z = r \cos \theta$ )

$$= e E \int_0^\pi d\theta \int_0^{2\pi} d\varphi \int_0^\infty dr r^3 \sin \theta \cos \theta R_{2l}^*(r) R_{2l}(r) Y_{l; m_i}^*(\theta, \varphi) Y_{l; m_j}(\theta, \varphi)$$

$$= e E \int_0^\pi d\theta r^3 R_{2l}^*(r) R_{2l}(r) \int d\Omega Y_{l; m_i}^*(\theta, \varphi) Y_{l; m_j}(\theta, \varphi) \quad (22)$$

(S1)  $\Rightarrow (H_1)_{ij} = 0$  for  $i \neq j$  i.e.  $(H_1)_{ii} = 0$  (diagonals are zero)

Also, wave function parity only depends on  $l$  (evenness/oddness)  $\Rightarrow (H_1)_{ij} = 0$  for  $l_i \neq l_j$

$\Rightarrow$  so far:

so far:

$$\hat{H}_1 = \begin{pmatrix} 0 & (H_1)_{12} & (H_1)_{13} & (H_1)_{14} \\ (H_1)_{21} & 0 & 0 & 0 \\ (H_1)_{31} & 0 & 0 & 0 \\ (H_1)_{41} & 0 & 0 & 0 \end{pmatrix}$$

(S2)  $\hat{\mathcal{E}} = \mathcal{E} \hat{\mathcal{Z}} \Rightarrow \boxed{\hat{H}_1 \text{ is invariant under rotations about the } z\text{-axis i.e. } [\hat{H}_1, \hat{L}_z] = 0}$

$\Rightarrow \langle 2l m_i | [\hat{H}_1, \hat{L}_z] | 2l j m_j \rangle = 0 \quad (i \neq j)$

$\Rightarrow \langle 2l m_i | \hat{H}_1 \hat{L}_z | 2l j m_j \rangle = \langle 2l m_i | \hat{L}_z \hat{H}_1 | 2l j m_j \rangle \quad (\hat{H}_1 = e \mathcal{E} \hat{\mathcal{Z}})$

$\langle 2l m_i | \hat{\mathcal{Z}} \hat{L}_z | 2l j m_j \rangle = \langle 2l m_i | \hat{L}_z \hat{\mathcal{Z}} | 2l j m_j \rangle$

$\hbar m_j \langle 2l m_i | \hat{\mathcal{Z}} | 2l j m_j \rangle = \hbar m_i \langle 2l m_i | \hat{\mathcal{Z}} | 2l j m_j \rangle$

$\Rightarrow \langle 2l m_i | \hat{\mathcal{Z}} | 2l j m_j \rangle = 0 \text{ if } m_i \neq m_j$

i.e.  $\boxed{(H_1)_{ij} = 0 \text{ if } m_i \neq m_j}$

~~... matter ...~~

Therefore,  $\neq 0$  for  $l_i = l_j$   
 $m_i = m_j$

$$\hat{H}_1 = \begin{pmatrix} 0 & (H_1)_{12} & 0 & 0 \\ (H_1)_{21} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

(23)

Using (22) and the hydrogen wavefunctions,

$(H_1)_{12} = (H_1)_{21} = -3e \mathcal{E} a_0 \quad (a_0 = \text{Bohr radius})$

Therefore the  $\alpha$ -value problem for the Stark effect in hydrogen becomes (using  $|14\rangle$  or  $|14'\rangle$ ):

$\langle 1, |4_2\rangle = \langle 1, |4_2'\rangle$

$$\begin{pmatrix} 0 & -3eEa_0 & 0 & 0 \\ -3eEa_0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \\ c_3 \\ c_4 \end{pmatrix} = E_2^{(1)} \begin{pmatrix} c_1 \\ c_2 \\ c_3 \\ c_4 \end{pmatrix}$$

Characteristic equation:  $\det(\hat{H}_1 - E_2^{(1)} \hat{1}) = 0$

$\alpha \equiv E_2^{(1)}$   
 $\alpha$ -value TSD

$$\Rightarrow \begin{vmatrix} -\alpha & -3eEa_0 & 0 & 0 \\ -3eEa_0 & -\alpha & 0 & 0 \\ 0 & 0 & -\alpha & 0 \\ 0 & 0 & 0 & -\alpha \end{vmatrix} = 0$$

*degeneracy is removed by E-field*

*still degenerate*

$$\Rightarrow \alpha (\equiv E_2^{(1)}) = \{ 0, 0, +3eEa_0, -3eEa_0 \}$$

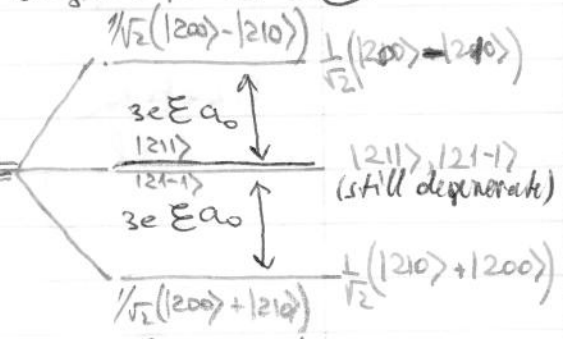
$\Rightarrow |4_2\rangle = \left\{ |211\rangle, |21-1\rangle, \frac{1}{\sqrt{2}}(|200\rangle - |210\rangle), \frac{1}{\sqrt{2}}(|200\rangle + |210\rangle) \right\}$

→ these states diagonalize  $\hat{H}_1$  in (23)

The uniform external electric field (partially) removes the degeneracy of the  $n=2$  level.

$E_2^{(0)}(n=2)$

$$E_2 = E_2^{(0)} + E_2^{(1)} = E_2^{(0)} \pm 3eEa_0$$



non-zero expectation permanent value of  $\hat{d}$  dipole moment which, in turn, interacts with the external field  $\vec{E}$ .  
 (This interaction would be "visible" in the second-order correction  $E_2^{(2)}$  via a  $E^2$  term)



Application: Symmetric rotator  $\hat{H}_0 = \hat{L}^2/2I$  under perturbation (Görnerwitz 11.2) given by  $\hat{H}_1 = \epsilon_1 \cos\theta$ . Calculate energy shifts for states with  $l=1$ .

1st order

$$E_{l,m}^{(1)} = \langle 1, m | \epsilon_1 \cos\theta | 1, m \rangle = \epsilon_1 \int_0^{2\pi} d\varphi \int_0^{\pi} d\theta \sin\theta \cos\theta |Y_{1m}(\theta, \varphi)|^2$$

$$l=1 \Rightarrow m = \{-1, 0, 1\} \text{ degenerate}$$

$$Y_{1,0}(\theta, \varphi) = \frac{1}{2} \sqrt{\frac{3}{4}} \cos\theta$$

$$Y_{1,\pm 1}(\theta, \varphi) = \pm \frac{1}{2} \sqrt{\frac{3}{2}} e^{\pm i\varphi} \sin\theta$$

$$\Rightarrow E_{l,m}^{(1)} = \begin{cases} 2\pi \epsilon_1 \int_0^{\pi} d\theta \sin\theta \cos\theta \left(\frac{3}{8}\right) \sin^2\theta = \frac{3\epsilon_1}{4} \int_{-1}^1 du u(1-u^2) = 0 & (m=\pm 1) \\ 2\pi \epsilon_1 \int_0^{\pi} d\theta \sin\theta \cos\theta \left(\frac{1}{2} \sqrt{\frac{3}{4}}\right)^2 \cos^2\theta = 0 & (m=0) \end{cases}$$

After all  $[\hat{T}, \hat{L}^2] = 0 \Rightarrow \hat{L}, \hat{T}$  share  $e$ -states  $Y_{lm}(\theta, \varphi)$   
 $\cos\theta = \text{odd}$  under inversion ( $\vec{r} \rightarrow -\vec{r}$ )

$E_{l,m}^{(1)} = 0$   $\rightarrow$  symmetric rotators do not have a permanent dipole moment

2nd order

$$E_{l,m}^{(2)} = \epsilon_1^2 \sum_{\substack{l', m' \\ (l' \neq 1)}} \frac{|\langle l, m | \cos\theta | l', m' \rangle|^2}{E_l - E_{l'}} \quad , \quad \text{where } E_{l'} = \frac{\hbar^2 l'(l'+1)}{2I}$$

Non-zero elements for  $l' = \{0, 2\}$  ( $l'=1$  see above; for  $l' \gg 3$ ,  $\cos\theta Y_{1,\pm 1}(\theta, \varphi) \sim Y_{2,\pm 1}$  and  $\cos\theta Y_{1,0} \sim a Y_{2,0} + b Y_{0,0} \Rightarrow$   
 $\Rightarrow$  using orthogonality of  $Y_{l'm'} \Rightarrow \langle l, m | \cos\theta | l', m' \rangle = 0$ )

Because of  $\int_0^{2\pi} d\varphi$ , for  $m = \pm 1$  only  $\{l'=2, m'=\pm 1\}$

term contributes; for  $m=0$  only  $\{l'=0\}$  and  $\{l'=2, m'=0\}$

terms contribute.

$$\Rightarrow \left\{ \begin{array}{l} E_{m=\pm 1}^{(2)} = -\frac{2I\epsilon_1^2}{\hbar^2} \frac{1}{15} \\ E_{m=0}^{(2)} = -\frac{2I\epsilon_1^2}{\hbar^2} \frac{1}{60} \end{array} \right.$$